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THOMAS B. STEPHENS
SECRETARY

**4TH QUARTER 2015
KEHP TRUST FUND
STATUS REPORT
(FOR THE PERIOD ENDING DECEMBER 31, 2015)**

In accordance with the provisions of KRS 18A.2254(3)(f), the attached document constitutes the 4th Quarter, 2015 report on the status of the Kentucky Employees' Health Plan (KEHP) Trust Fund. As directed by statute, this report will be provided to the Governor, the Interim Joint Committee on Appropriations and Revenue, the Kentucky Group Health Insurance Board, and the Advisory Committee of State Health Insurance Subscribers.

The report includes, as of December 31, 2015:

1. The current balance of the trust fund;
2. A detailed description of all income to the trust fund;
3. A detailed description of all receipts due to the trust fund;
4. The total amount of payments made for claims from the trust fund;
5. A detailed description of all payments made to the third party administrator (TPA);
6. Current enrollment data;
7. Any other information provided by the Secretary; and
8. Any other information requested by the Interim Joint Committee on Appropriations and Revenue.
9. Additional reports provided for 2nd and 4th quarter reports:
 - a. A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund
 - b. A statement of any other trust fund liabilities
 - c. A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes

- d. A detailed description of the current in-state and out-of-state networks provided under the plan;
- e. Specific data regarding the TPA's performance, including
 - i. Results or outcomes of disease management and wellness programs;
 - ii. Results of case management audits and educational and communication efforts;
 - iii. Comparison of actual measurable results to contract performance guarantees

1. Current Trust Fund Balance:

As of December 31, 2015, the balance of the self-insurance trust fund was \$531,371,411.23, which includes accounting for the 2006–2015 plan years, including reserves for Healthcare Reimbursement Accounts (HRA). Along with the detailed transactions, summary reports for each plan year, as well as a combined summary, have been provided.

2-5. Detailed Description of Trust Fund Transactions:

Detailed transactions of the trust fund are contained in **Attachment A**. Monthly detailed transaction reports have been provided to the Legislative Research Commission. A month by month summary of the Trust Fund accounting by premiums, interest income, drug rebates, claims and ASO fees is included as **Attachment B**.

6. Current Enrollment Data:

Included as **Attachment C** are charts that show the number of employees and the number of lives covered under the plan. The totals shown reflect the same information as provided in the previous report, updated to include the most recent quarter's data.

7. Additional Information Provided by the Secretary of the Personnel Cabinet:

- a. **Early Retiree Reinsurance Program (ERRP).** In an effort to slow the recent erosion in employer-based retiree health coverage, the Patient Protection and Affordable Care Act established a temporary program to reimburse employment-based plans for a portion of the costs they incur providing health coverage to early retirees, ages 55-64 (i.e. non-Medicare eligible).

Under Early Retiree Reinsurance Program ("ERRP"), as interpreted by regulations issued by the Department of Health and Human Services (HHS), a plan sponsor may be reimbursed for 80% of the qualifying retiree health benefit costs paid by its health plan. Qualifying costs attributable to an early retiree for a particular plan year are limited to those that exceed \$15,000 and do not exceed \$90,000. HHS originally set aside \$5 billion to pay these early retiree reimbursements.

Plan sponsors must use reimbursements under the program to lower their health benefit premiums or costs, to lower costs for plan participants, or any combination of the two. See 45 CFR § 149.200(a). The Commonwealth of Kentucky ERRP application stated:

Any funds received through reimbursement under the Early Retiree Reinsurance Program will be used (1) to offset increases in health benefit costs under KEHP for the Commonwealth of Kentucky, (2) to reduce premium contributions, copayments, deductibles, coinsurance, or other out-of-pocket costs for some or all participants in KEHP, or (3) both to offset increases in the Commonwealth's costs and to reduce participants' costs under KEHP...The Commonwealth expects that most or all of the funds it receives in 2010 or 2011 will be used to reduce future health care inflation trend costs for KEHP in 2012...By applying the funds in this manner, the Commonwealth anticipates that it will be able

to maintain KEHP benefits for 2012 at a higher level, and/or to keep participant contributions and cost-sharing amounts under KEHP for 2012 at a lower level, than these amounts would be if the Commonwealth received no reimbursements from the Early Retiree Reinsurance Program. To the extent that the reimbursement funds are applied to offset health care inflation trend costs, the funds will benefit all participants in KEHP.

Reimbursements may not be used as general revenue for the plan sponsor. See PPACA, Pub. L. No. 111-148, § 1102(c)(4) (2010); 45 CFR § 149.200(b). In order to ensure that reimbursements are not used as general revenue, sponsors who use reimbursements to lower their own health benefit premiums or costs must maintain their level of financial effort in supporting the plan. Such sponsors can use reimbursements to cover their share of premium increases from year to year, but they cannot use reimbursements to simply lower their level of plan contributions. See Preamble to Early Retiree Reinsurance Program, 75 Fed. Reg. 24450 (May 5, 2010). The sponsor must explain in the program application how it will maintain its contribution to the plan, as required by 45 CFR 149.40(a)(5)(iii).

Whether a sponsor has satisfied the maintenance of effort requirement generally will be determined by comparing the sponsor's health benefit costs (including premiums) for all plan participants for the applicable year to the sponsor's costs for the plan year cycle ending immediately prior to submission of the plan's certification application.

Early Retiree Reinsurance Program FAQs state: "[t]he regulations explain that the purpose of the maintenance of effort requirement is to prohibit sponsors from using program funds as general revenue. If a sponsor could show maintenance of effort by showing it paid the same percentage of total costs, such an approach would incentivize the deterioration of health benefits because sponsors could pay less to sponsor a plan by sponsoring a less robust plan but receive the same amount of reimbursement under the program, which is contrary to the purpose of the statute and our regulations."

The Commonwealth of Kentucky's ERRP Application response about uses of ERRP funds and maintenance of effort, in relevant part, states:

...For plan year 2010, the average annual employer contribution, based on enrollment, is estimated to be \$8,348.04 per participant. The average annual employer contribution for plan year 2012 is estimated to be \$8,515.08 per participant. As a result of these budgeted increases in employer contributions, the Commonwealth's level of support for KEHP is projected to be at least equal to and, in fact, greater than its support during plan year 2010.

The Commonwealth of Kentucky, as plan sponsor, has received \$95,474,715.51. Following the guidance of the Early Retiree Reinsurance Program FAQs the ERRP funds were deposited into a sub-account of the

public employee health insurance trust fund, in preparation for using the funds compliant with the Commonwealth's application and 45 CFR § 149.200 (a) and (b) in plan year 2013 and/or plan year 2014.

Prior to this Quarterly report the plan year 2013 balance included ERRP funds in the amount of \$10,159,793.00 and plan year 2014 included ERRP funds in the amount of \$85,687,746.30. In September of 2015, ERRP funds in the amount of \$85,687,746.30 were transferred from plan year 2014 to plan year 2013. Per ERRP guidelines concerning maintenance of contribution, it was determined to use all ERRP funds for claims incurred in plan year 2013. Specifically, KEHP had claims of approximately \$130 million incurred in plan year 2013 but paid in plan year 2014. The plan year 2014 balance now includes no ERRP funds and the plan year 2013 balance includes ERRP funds in the amount of \$95,847,539.30.

As of June 30, 2014, the Commonwealth of Kentucky, ERRP fund has been completely expended in accordance with ERRP program guidelines and requirements.

- b. House Bill (HB) 265:** HB 265 – enacted during the 2012 regular session of the Kentucky General Assembly and subjected to line item veto by the Governor – addresses certain issues with respect to the finances of the Kentucky Employees' Health Plan. Following the line item veto, the legislation reads in pertinent part as follows: "State Group Health Insurance Plan - Plan Year Closure: Notwithstanding KRS 18A.2254, plan years 2006, 2007, 2008, and 2009 shall be considered closed as of December 31, 2011, and all balances from those plan years shall be transferred to Plan Year 2010. All other income and expenses attributable to the closed plan years shall be deposited in or charged to the Plan Year 2010 account after that date. Notwithstanding KRS 18A.2254, transfer of funds from Plan Year 2010 is authorized." KEHP has made the necessary adjustments to its financial statements as directed by HB265.

8. Additional Information as Requested by the Committee:

No additional information has been requested by the Committee for inclusion in this report.

9. Additional reports provided for 2nd and 4th quarter reports:

- a. A projection of medical claims incurred but not yet reported that are considered liabilities to the trust fund:**

A statement of medical claims incurred but not yet reported (IBNR) has been prepared by KEHP's actuarial consultant, Aon-Hewitt. This report can be found at **Appendix D** of this report.

- b. A statement of any other trust fund liabilities:**

A statement of other trust fund liabilities as of June 30, 2015 is being prepared by KEHP's accounting consultant, Blue & Company. As of the date of this report, the statement of other trust fund liabilities had not been completed. This report will be updated with a statement of other trust fund liabilities as soon as the statement is received.

c. A detailed calculation of premium rates including base claims, trend assumptions, administrative fees and any benefit and plan changes:

As required by Kentucky Revised Statute (KRS) 18A.2254(1)(a), premium rates for the 2016 Plan Year were set forth in the 2016 KEHP Benefits Selection Guide, which was filed as a part of and appended to 101 Kentucky Administrative Regulation (KAR) 210 on or about September 15, 2015.

d. A detailed description of the current in-state and out-of-state networks provided under the plan:

Due to the addition of new providers and termination of established providers, provider networks change on a continuous basis and printed directories are often incomplete. As a result, KEHP's Third Party Administrator (TPA) — Anthem — encourages the use of on-line provider directories, which are updated at least weekly. The link to Anthem's *Find a Doctor* tool, which provides the capability to search for a provider based on provider type and location, is: <http://anthem.com/KEHP> (the "Find a Doctor, Pharmacy, Hospital or Urgent Care" feature is located at the right side of the page). Anthem's Customer Service Center can also provide assistance in locating a participating provider. Other than normal provider contracting activities, no proposed network changes are currently pending.

e. Specific data regarding the TPA's performance, including

i. Results or outcomes of disease management and wellness programs:

Results or outcomes of disease management and wellness programs are included in **Attachment E**, which contains information provided by our TPA, Anthem.

Additional results or outcomes of disease management and wellness programs are included in **Attachment F**, which contains information provided by HumanaVitality and the Department of Employee Insurance (DEI).

ii. Results of case management audits and educational and communication efforts:

Results or outcomes of case management and educational and communication efforts are included in **Attachment E**, which contains information provided by Anthem.

iii. Comparison of actual measurable results to contract performance guarantees:

Contract performance results of KEHP's Third Party Administrator (TPA) — Anthem — are included in this report as **Attachment G**. As per the contract with Anthem, analysis of annual performance guarantees for the plan year are due 180 days after the close of the plan year. Performance guarantee analysis for 2015 will be reported once the results are ready.

Contract performance results of KEHP's Pharmacy Benefits Manager (PBM) — CVS CareMark — are included in this report as **Attachment H**. CVS met all performance guarantees for 4th quarter of 2015.

Contract performance results of KEHP's HRA/FSA/COBRA Benefits Manager
– WageWorks – are included in this report as **Attachment I**.

OCTOBER 2015 COMBINED TRANSACTION REPORT

Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>Beginning Balance</u>	<u>\$484,681,922.76</u>			
11/03/15	INTEREST DISTRIBUTION OCT 2015	1,652.52	110	Interest Income	2012
10/01/15	MEDICAL VOIDS AUGUST 2015, PY 2012	72.00	201	Medical Claims - Humana	2012
10/01/15	MEDICAL CLAIM RECOVERY AUGUST 2015, PY 2012	35,382.81	201	Medical Claims - Humana	2012
10/01/15	MEDICAL ESCHEATS AUGUST 2015, PY 2012	1,124.86	201	Medical Claims - Humana	2012
10/28/15	UNCLAIMED PROPERTY- MEDICAL	(14,327.09)	201	Medical Claims - Humana	2012
10/01/15	MEDICAL CLAIMS 2012	(4,954.25)	201	Medical Claims - Humana	2012
10/01/15	MEDICAL CLAIMS 2012	(10,456.02)	201	Medical Claims - Humana	2012
10/02/15	MEDICAL CLAIMS 2012	(83.66)	201	Medical Claims - Humana	2012
10/06/15	MEDICAL CLAIMS 2012	(64.11)	201	Medical Claims - Humana	2012
10/07/15	MEDICAL CLAIMS 2012	33.55	201	Medical Claims - Humana	2012
10/09/15	MEDICAL CLAIMS 2012	(11.49)	201	Medical Claims - Humana	2012
10/12/15	MEDICAL CLAIMS 2012	288.72	201	Medical Claims - Humana	2012
10/14/15	MEDICAL CLAIMS 2012	(77.76)	201	Medical Claims - Humana	2012
10/19/15	MEDICAL CLAIMS 2012	(2,330.67)	201	Medical Claims - Humana	2012
10/23/15	MEDICAL CLAIMS 2012	(132.23)	201	Medical Claims - Humana	2012
10/27/15	MEDICAL CLAIMS 2012	(13,263.80)	201	Medical Claims - Humana	2012
10/13/15	MEDICAL CLAIMS 2012	(77.76)	201	Medical Claims - Humana	2012
10/15/15	HUMANA SHARED SAVINGS 08/2015	(4.01)	202	Humana Admin	2012
10/28/15	UNCLAIMED PROPERTY- HRA SELECT	(653.27)	203	HRA Embedded Claims - Humana	2012
10/28/15	UNCLAIMED PROPERTY- HRA WAIVER	(6,519.42)	204	HRA Waiver Claims - Humana	2012
10/06/15	EXPRESS SCRIPTS REFUND FOR CREDITS	931.04	301	Pharmacy Claims - Express Scripts	2012
11/03/15	INTEREST DISTRIBUTION OCT 2015	8,525.42	110	Interest Income	2013
10/01/15	MEDICAL VOIDS AUGUST 2015, PY 2013	2,107.50	201	Medical Claims - Humana	2013
10/01/15	MEDICAL CLAIM RECOVERY AUGUST 2015, PY 2013	234,624.03	201	Medical Claims - Humana	2013
10/01/15	MEDICAL ESCHEATS AUGUST 2015, PY 2013	216.66	201	Medical Claims - Humana	2013
10/28/15	UNCLAIMED PROPERTY- MEDICAL	(13,714.91)	201	Medical Claims - Humana	2013
10/01/15	MEDICAL CLAIMS 2013	(1,698.86)	201	Medical Claims - Humana	2013
10/01/15	MEDICAL CLAIMS 2013	(5,398.28)	201	Medical Claims - Humana	2013
10/02/15	MEDICAL CLAIMS 2014	(40,154.23)	201	Medical Claims - Humana	2013
10/02/15	MEDICAL CLAIMS 2013	(13,930.93)	201	Medical Claims - Humana	2013
10/05/15	MEDICAL CLAIMS 2013	(125.76)	201	Medical Claims - Humana	2013
10/06/15	MEDICAL CLAIMS 2013	(21,714.35)	201	Medical Claims - Humana	2013
10/07/15	MEDICAL CLAIMS 2013	(1,296.24)	201	Medical Claims - Humana	2013
10/08/15	MEDICAL CLAIMS 2013	(12,200.33)	201	Medical Claims - Humana	2013
10/09/15	MEDICAL CLAIMS 2013	(11,829.32)	201	Medical Claims - Humana	2013
10/12/15	MEDICAL CLAIMS 2013	(32,161.39)	201	Medical Claims - Humana	2013
10/14/15	MEDICAL CLAIMS 2013	(1,337.67)	201	Medical Claims - Humana	2013
10/14/15	MEDICAL CLAIMS 2013	(312.62)	201	Medical Claims - Humana	2013
10/15/15	MEDICAL CLAIMS 2013	(21,055.32)	201	Medical Claims - Humana	2013

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/16/15	MEDICAL CLAIMS 2013	(235.41)	201	Medical Claims - Humana	2013
10/19/15	MEDICAL CLAIMS 2013	724.55	201	Medical Claims - Humana	2013
10/20/15	MEDICAL CLAIMS 2013	81.61	201	Medical Claims - Humana	2013
10/20/15	MEDICAL CLAIMS 2014	(35,329.33)	201	Medical Claims - Humana	2013
10/22/15	MEDICAL CLAIMS 2013	(2,559.88)	201	Medical Claims - Humana	2013
10/23/15	MEDICAL CLAIMS 2013	(6,067.62)	201	Medical Claims - Humana	2013
10/26/15	MEDICAL CLAIMS 2013	(16,728.49)	201	Medical Claims - Humana	2013
10/27/15	MEDICAL CLAIMS 2013	(77.75)	201	Medical Claims - Humana	2013
10/27/15	MEDICAL CLAIMS 2013	(248.15)	201	Medical Claims - Humana	2013
10/29/15	MEDICAL CLAIMS 2013	24.49	201	Medical Claims - Humana	2013
10/13/15	MEDICAL CLAIMS 2013	(312.62)	201	Medical Claims - Humana	2013
10/15/15	HUMANA SHARED SAVINGS 08/2015	(465.53)	202	Humana Admin	2013
10/15/15	HUMANA MI HICA TAX	(65.08)	202	Humana Admin	2013
10/06/15	EXPRESS SCRIPTS REFUND FOR CREDITS	37,524.06	301	Pharmacy Claims - Express Scripts	2013
11/03/15	INTEREST DISTRIBUTION OCT 2015	10,797.03	110	Interest Income	2014
10/01/15	MEDICAL VOIDS AUGUST 2015, PY 2014	3,628.65	201	Medical Claims - Humana	2014
10/01/15	MEDICAL ESCHEATS AUGUST 2015, PY 2014	5,906.09	201	Medical Claims - Humana	2014
10/01/15	MEDICAL CLAIM RECOVERY AUGUST 2015, PY 2014	1,650,222.23	201	Medical Claims - Humana	2014
10/01/15	MEDICAL CLAIMS 2014	(44,413.12)	201	Medical Claims - Humana	2014
10/01/15	MEDICAL CLAIMS 2014	(13,055.04)	201	Medical Claims - Humana	2014
10/05/15	MEDICAL CLAIMS 2014	(35,952.72)	201	Medical Claims - Humana	2014
10/06/15	MEDICAL CLAIMS 2014	(23,913.86)	201	Medical Claims - Humana	2014
10/07/15	MEDICAL CLAIMS 2014	(196,724.77)	201	Medical Claims - Humana	2014
10/08/15	MEDICAL CLAIMS 2014	(123,328.09)	201	Medical Claims - Humana	2014
10/09/15	MEDICAL CLAIMS 2014	(101,758.29)	201	Medical Claims - Humana	2014
10/12/15	MEDICAL CLAIMS 2014	(36,698.57)	201	Medical Claims - Humana	2014
10/14/15	MEDICAL CLAIMS 2014	(96,852.05)	201	Medical Claims - Humana	2014
10/14/15	MEDICAL CLAIMS 2014	(105,975.69)	201	Medical Claims - Humana	2014
10/15/15	MEDICAL CLAIMS 2014	(28,585.58)	201	Medical Claims - Humana	2014
10/16/15	MEDICAL CLAIMS 2014	(75,416.46)	201	Medical Claims - Humana	2014
10/19/15	MEDICAL CLAIMS 2014	(230,652.45)	201	Medical Claims - Humana	2014
10/21/15	MEDICAL CLAIMS 2014	(92,327.91)	201	Medical Claims - Humana	2014
10/22/15	MEDICAL CLAIMS 2014	(303,682.61)	201	Medical Claims - Humana	2014
10/23/15	MEDICAL CLAIMS 2014	(34,063.29)	201	Medical Claims - Humana	2014
10/26/15	MEDICAL CLAIMS 2014	(110,553.44)	201	Medical Claims - Humana	2014
10/27/15	MEDICAL CLAIMS 2014	(54,593.47)	201	Medical Claims - Humana	2014
10/27/15	MEDICAL CLAIMS 2014	(50,920.44)	201	Medical Claims - Humana	2014
10/29/15	MEDICAL CLAIMS 2014	(28,564.47)	201	Medical Claims - Humana	2014
10/13/15	MEDICAL CLAIMS 2014	(105,975.69)	201	Medical Claims - Humana	2014
10/15/15	HUMANA MI HICA TAX	(226.75)	202	Humana Admin	2014

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/15/15	HUMANA SHARED SAVINGS 08/2015	(3,128.03)	202	Humana Admin	2014
10/27/15	HUMANA CPCI INCENTIVES FEE - BAPTIST MED ASSOC	(86,208.60)	202	Humana Admin	2014
10/28/15	REPLACEMENT ESCHEATED CHECK	(86.00)	203	HRA Embedded Claims - Humana	2014
10/13/15	2014 WAIVER HRA - WW RECOVERIES	28.38	204	HRA Waiver Claims - Humana	2014
10/22/15	REPLACEMENT ESCHEATED CHECK	(388.20)	204	HRA Waiver Claims - Humana	2014
10/06/15	EXPRESS SCRIPTS REFUND FOR CREDITS	46,764.90	301	Pharmacy Claims - Express Scripts	2014
10/06/15	EXPRESS SCRIPTS CLIENT LIABILITY CHARGES	(40,521.38)	301	Pharmacy Claims - Express Scripts	2014
10/23/15	AFFORDABLE CARE ACT - TRANSITIONAL REINSURANCE FEE	(2,362,794.00)	501	Operating Transfer/Exp & Adj	2014
10/12/15	UPPS PAYROLL	13,106,007.26	101	Premiums	2015
10/26/15	UPPS PAYROLL	13,149,387.39	101	Premiums	2015
10/01/15	UPPS PAYROLL	3,355.46	101	Premiums	2015
10/01/15	UPPS PAYROLL	35.62	101	Premiums	2015
10/07/15	UPPS PAYROLL	(354.28)	101	Premiums	2015
10/30/15	UPPS PAYROLL	(354.28)	101	Premiums	2015
10/20/15	RETURNED CHECK	(59.99)	101	Premiums	2015
10/19/15	VOID REFUND CHECK CUT IN ERROR	483.95	101	Premiums	2015
09/21/15	KRS #31100 09/15 HEALTH PREMIUM	176,836.83	101	Premiums	2015
09/24/15	HEALTH PREMIUMS 2015	187,666.19	101	Premiums	2015
09/29/15	HEALTH PREMIUMS 2015	473,720.97	101	Premiums	2015
09/29/15	HEALTH PREMIUMS 2015	1,034,435.89	101	Premiums	2015
09/29/15	HEALTH PREMIUMS 2015	640,044.80	101	Premiums	2015
10/01/15	HEALTH PREMIUMS 2015	283,164.53	101	Premiums	2015
10/01/15	HEALTH PREMIUMS 2015	432,979.61	101	Premiums	2015
10/02/15	COBRA PREMIUMS AUG 2015 - WW	64,553.98	101	Premiums	2015
10/02/15	KCTCS PREMIUM PAYMENT SEPT 2015	3,273,969.06	101	Premiums	2015
10/02/15	KCTCS PREMIUM PAYMENT SEPT 2015	68,805.44	101	Premiums	2015
10/02/15	HEALTH PREMIUMS 2015	1,504,297.66	101	Premiums	2015
10/05/15	EPAY-HEALTH PREMIUMS 2015	167,725.61	101	Premiums	2015
10/05/15	EPAY-HEALTH PREMIUMS 2015	157,422.43	101	Premiums	2015
10/05/15	EPAY-HEALTH PREMIUMS 2015	102,565.50	101	Premiums	2015
10/05/15	EPAY-HEALTH PREMIUMS 2015	35,002.99	101	Premiums	2015
10/05/15	EPAY-HEALTH PREMIUMS 2015	380,002.48	101	Premiums	2015
10/05/15	HEALTH PREMIUMS 2015	849,730.12	101	Premiums	2015
10/06/15	HEALTH PREMIUMS 2015	315,159.81	101	Premiums	2015
10/06/15	EPAY-HEALTH PREMIUMS 2015	472,231.91	101	Premiums	2015
10/06/15	EPAY-HEALTH PREMIUMS 2015	23,293.50	101	Premiums	2015
10/06/15	EPAY-HEALTH PREMIUMS 2015	564,379.90	101	Premiums	2015
10/07/15	EPAY-HEALTH PREMIUMS 2015	88,173.31	101	Premiums	2015
10/07/15	HEALTH PREMIUMS 2015	534,276.13	101	Premiums	2015
10/08/15	JEFFERSON CO BOE SEPT 2015 HEALTH	1,482,117.18	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/08/15	HEALTH PREMIUMS 2015	842,728.14	101	Premiums	2015
10/09/15	EPAY-HEALTH PREMIUMS 2015	150,568.26	101	Premiums	2015
10/09/15	EPAY-HEALTH PREMIUMS 2015	91,193.04	101	Premiums	2015
10/09/15	HEALTH PREMIUMS 2015	601,440.13	101	Premiums	2015
10/09/15	KRS #31100 10/15 HEALTH PREMIUM	14,572.75	101	Premiums	2015
10/13/15	HEALTH PREMIUMS 2015	321,480.05	101	Premiums	2015
10/14/15	HEALTH PREMIUMS 2015	88,940.69	101	Premiums	2015
10/14/15	EPAY-HEALTH PREMIUMS 2015	10,838.62	101	Premiums	2015
10/14/15	EPAY-HEALTH PREMIUMS 2015	10,953.94	101	Premiums	2015
10/15/15	HEALTH PREMIUMS 2015	500,005.02	101	Premiums	2015
10/15/15	EPAY-HEALTH PREMIUMS 2015	60,277.22	101	Premiums	2015
10/15/15	EPAY-HEALTH PREMIUMS 2015	21,853.64	101	Premiums	2015
10/16/15	HEALTH PREMIUMS 2015	455,739.29	101	Premiums	2015
10/19/15	EPAY-HEALTH PREMIUMS 2015	74,947.62	101	Premiums	2015
10/19/15	EPAY-HEALTH PREMIUMS 2015	221,575.24	101	Premiums	2015
10/20/15	EPAY-HEALTH PREMIUMS 2015	185,957.78	101	Premiums	2015
10/20/15	EPAY-HEALTH PREMIUMS 2015	3,828.78	101	Premiums	2015
10/20/15	HEALTH PREMIUMS 2015	301,873.47	101	Premiums	2015
10/20/15	KRS #31100 10/15 HEALTH PREMIUM	180,691.13	101	Premiums	2015
10/21/15	HEALTH PREMIUMS 2015	107,924.66	101	Premiums	2015
10/22/15	HEALTH PREMIUMS 2015	304,362.07	101	Premiums	2015
10/22/15	EPAY-HEALTH PREMIUMS 2015	39,916.86	101	Premiums	2015
10/22/15	JUDICIAL RETIREMENT 10/15	5,850.48	101	Premiums	2015
10/22/15	JUDICIAL RETIREMENT 10/15	107,297.18	101	Premiums	2015
10/22/15	LEGISLATIVE RETIREMENT 10/15	22,371.62	101	Premiums	2015
10/22/15	LEGISLATIVE RETIREMENT 10/15	3,408.22	101	Premiums	2015
10/23/15	COBRA PREMIUMS SEPT 2015 - WW	84,416.94	101	Premiums	2015
10/23/15	HEALTH PREMIUMS 2015	87,481.68	101	Premiums	2015
10/26/15	EPAY-HEALTH PREMIUMS 2015	(41,020.47)	101	Premiums	2015
10/26/15	HEALTH PREMIUMS 2015	500,080.16	101	Premiums	2015
10/26/15	EPAY-HEALTH PREMIUMS 2015	(42,849.24)	101	Premiums	2015
10/26/15	EPAY-HEALTH PREMIUMS 2015	(4,383.56)	101	Premiums	2015
10/26/15	EPAY-HEALTH PREMIUMS 2015	(4,383.56)	101	Premiums	2015
10/27/15	EPAY-HEALTH PREMIUMS 2015	68,261.89	101	Premiums	2015
10/27/15	EPAY-HEALTH PREMIUMS 2015	108,273.54	101	Premiums	2015
10/27/15	HEALTH PREMIUMS 2015	211,945.69	101	Premiums	2015
10/28/15	HEALTH PREMIUMS 2015	336,509.82	101	Premiums	2015
10/29/15	EPAY-HEALTH PREMIUMS 2015	19,125.02	101	Premiums	2015
10/29/15	EPAY-HEALTH PREMIUMS 2015	68,545.32	101	Premiums	2015
10/29/15	EPAY-HEALTH PREMIUMS 2015	490,565.18	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/29/15	HEALTH PREMIUMS 2015	678,195.03	101	Premiums	2015
10/30/15	HEALTH PREMIUMS 2015	1,130,108.82	101	Premiums	2015
10/05/15	HLTH INS REFUND	(59.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(229.47)	101	Premiums	2015
10/13/15	HLTH INS REFUND	(31.50)	101	Premiums	2015
10/20/15	HLTH INS REFUND	(152.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(47.98)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
10/20/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(311.92)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(153.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(47.98)	101	Premiums	2015
10/20/15	HLTH INS REFUND	(52.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(87.98)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(119.98)	101	Premiums	2015
10/20/15	HLTH INS REFUND	(6.49)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(119.97)	101	Premiums	2015
10/20/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(119.97)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(168.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(245.96)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(47.98)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(87.98)	101	Premiums	2015
10/08/15	HLTH INS REFUND	(648.94)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(367.98)	101	Premiums	2015
10/09/15	HLTH INS REFUND	(483.95)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(152.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(47.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(168.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(47.98)	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/05/15	HLTH INS REFUND	(113.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(184.47)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(113.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(122.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(506.97)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(12.98)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(38.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(143.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(6.49)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
10/05/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
10/01/15	KDE PREMIUMS SEPT 2015	54,815,255.35	101	Premiums	2015
10/06/15	KRS 10/15 HEALTH PREMIUMS	22,020,307.60	101	Premiums	2015
10/27/15	KTRS 10/15 PREMIUMS	10,777,655.72	101	Premiums	2015
10/05/15	CORRECT DEPOSIT FUND ALLOCATIONS	(734.16)	101	Premiums	2015
10/12/15	UPPS PAYROLL	357,000.00	102	HRA Waiver Contribution	2015
10/26/15	UPPS PAYROLL	360,062.50	102	HRA Waiver Contribution	2015
10/01/15	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2015
10/07/15	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2015
09/29/15	EPAY-HRA WAIVER DENTAL/VISION 2015	525.00	102	HRA Waiver Contribution	2015
09/29/15	HRA WAIVER 2015	1,575.00	102	HRA Waiver Contribution	2015
09/29/15	HRA WAIVER 2015	175.00	102	HRA Waiver Contribution	2015
09/29/15	HRA WAIVER 2015	175.00	102	HRA Waiver Contribution	2015
09/29/15	EPAY-HRA WAIVER DENTAL/VISION 2015	175.00	102	HRA Waiver Contribution	2015
10/01/15	HRA WAIVER 2015	350.00	102	HRA Waiver Contribution	2015
10/02/15	HRA D&V 2015	700.00	102	HRA Waiver Contribution	2015
10/02/15	HRA WAIVER 2015	700.00	102	HRA Waiver Contribution	2015
10/05/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
10/05/15	EPAY-HRA WAIVER DENTAL/VISION 2015	175.00	102	HRA Waiver Contribution	2015
10/05/15	EPAY-HRA WAIVER 2015	875.00	102	HRA Waiver Contribution	2015
10/05/15	HRA D&V PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/06/15	EPAY-HRA WAIVER 2015	875.00	102	HRA Waiver Contribution	2015
10/07/15	HRA WAIVER PREMIUMS 2015	1,575.00	102	HRA Waiver Contribution	2015
10/07/15	HRA D&V PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
10/09/15	EPAY-HRA WAIVER 2015	350.00	102	HRA Waiver Contribution	2015
10/13/15	HRA WAIVER PREMIUMS 2015	875.00	102	HRA Waiver Contribution	2015
10/15/15	HRA WAIVER PREMIUMS 2015	875.00	102	HRA Waiver Contribution	2015
10/15/15	EPAY-HRA WAIVER 2015	350.00	102	HRA Waiver Contribution	2015
10/15/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
10/16/15	HRA D&V PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
10/16/15	HRA WAIVER PREMIUMS 2015	1,750.00	102	HRA Waiver Contribution	2015
10/19/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
10/19/15	EPAY-HRA WAIVER 2015	4,725.00	102	HRA Waiver Contribution	2015
10/19/15	EPAY-HRA WAIVER 2015 DENTAL & VISION	525.00	102	HRA Waiver Contribution	2015
10/20/15	HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
10/23/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
10/23/15	HRA WAIVER PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
10/26/15	HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
10/28/15	HRA WAIVER PREMIUMS 2015	875.00	102	HRA Waiver Contribution	2015
10/28/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
10/29/15	EPAY-HRA WAIVER 2015	175.00	102	HRA Waiver Contribution	2015
10/29/15	HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
10/01/15	KDE HRA D&V SEPT 2015	365,753.67	102	HRA Waiver Contribution	2015
10/01/15	KDE HRA WAIVER SEPT 2015	2,657,196.33	102	HRA Waiver Contribution	2015
10/29/15	KDE HRA D&V OCT 2015	403,375.00	102	HRA Waiver Contribution	2015
10/29/15	KDE HRA WAIVER OCT 2015	2,845,062.50	102	HRA Waiver Contribution	2015
11/03/15	INTEREST DISTRIBUTION OCT 2015	25,245.92	110	Interest Income	2015
10/06/15	2ND QUARTER 2015 CVS/CAREMARK REBATES	7,255,835.77	121	Drug Rebates - CVS	2015
10/16/15	VOID CHECK - REPLACED BY MD-794-MDW16*1447	106,366.07	201	Medical Claims - Humana	2015
10/01/15	MEDICAL CLAIM RECOVERY AUGUST 2015, PY 2015	15,094.41	201	Medical Claims - Humana	2015
10/01/15	MEDICAL CLAIMS 2015	(55,530.53)	201	Medical Claims - Humana	2015
10/12/15	MEDICAL CLAIMS 2015	(1,043.86)	201	Medical Claims - Humana	2015
10/19/15	MEDICAL CLAIMS 2015	(37,825.46)	201	Medical Claims - Humana	2015
10/06/15	MEDICAL CLAIMS- ANTHEM	(18,570,700.38)	210	Medical Claims - Anthem	2015
10/12/15	MEDICAL CLAIMS- ANTHEM	(20,503,547.80)	210	Medical Claims - Anthem	2015
10/20/15	MEDICAL CLAIMS- ANTHEM	(18,564,610.03)	210	Medical Claims - Anthem	2015
10/26/15	MEDICAL CLAIMS- ANTHEM	(17,491,391.40)	210	Medical Claims - Anthem	2015
10/22/15	FIRST ONSITE LLC	(68,096.00)	210	Medical Claims - Anthem	2015
10/22/15	MDX INCENTIVES 9-2015	(39,195.00)	210	Medical Claims - Anthem	2015
10/01/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(89,844.11)	213	HRA Embedded Claims - Wage Works	2015
10/01/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(116,415.62)	213	HRA Embedded Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/02/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(102,995.03)	213	HRA Embedded Claims - Wage Works	2015
10/05/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(191,289.83)	213	HRA Embedded Claims - Wage Works	2015
10/06/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(69,214.39)	213	HRA Embedded Claims - Wage Works	2015
10/07/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(95,663.79)	213	HRA Embedded Claims - Wage Works	2015
10/08/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(113,359.19)	213	HRA Embedded Claims - Wage Works	2015
10/09/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(97,488.55)	213	HRA Embedded Claims - Wage Works	2015
10/12/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(188,041.40)	213	HRA Embedded Claims - Wage Works	2015
10/13/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(60,294.24)	213	HRA Embedded Claims - Wage Works	2015
10/14/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(93,727.94)	213	HRA Embedded Claims - Wage Works	2015
10/15/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(113,256.86)	213	HRA Embedded Claims - Wage Works	2015
10/16/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(97,281.97)	213	HRA Embedded Claims - Wage Works	2015
10/19/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(201,206.20)	213	HRA Embedded Claims - Wage Works	2015
10/20/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(75,410.62)	213	HRA Embedded Claims - Wage Works	2015
10/21/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(91,847.95)	213	HRA Embedded Claims - Wage Works	2015
10/22/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(110,270.45)	213	HRA Embedded Claims - Wage Works	2015
10/23/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(113,097.46)	213	HRA Embedded Claims - Wage Works	2015
10/26/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(206,294.86)	213	HRA Embedded Claims - Wage Works	2015
10/27/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(84,143.37)	213	HRA Embedded Claims - Wage Works	2015
10/28/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(99,351.05)	213	HRA Embedded Claims - Wage Works	2015
10/29/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(125,013.10)	213	HRA Embedded Claims - Wage Works	2015
10/01/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(117,350.20)	214	HRA Waiver Claims - Wage Works	2015
10/01/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(5,430.44)	214	HRA Waiver Claims - Wage Works	2015
10/01/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(123,386.60)	214	HRA Waiver Claims - Wage Works	2015
10/01/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(9,656.60)	214	HRA Waiver Claims - Wage Works	2015
10/02/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,940.83)	214	HRA Waiver Claims - Wage Works	2015
10/02/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(103,158.27)	214	HRA Waiver Claims - Wage Works	2015
10/05/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(219,749.02)	214	HRA Waiver Claims - Wage Works	2015
10/05/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,693.05)	214	HRA Waiver Claims - Wage Works	2015
10/06/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(80,327.55)	214	HRA Waiver Claims - Wage Works	2015
10/06/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(2,869.74)	214	HRA Waiver Claims - Wage Works	2015
10/07/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(112,658.14)	214	HRA Waiver Claims - Wage Works	2015
10/07/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,787.29)	214	HRA Waiver Claims - Wage Works	2015
10/08/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,569.80)	214	HRA Waiver Claims - Wage Works	2015
10/08/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(116,482.62)	214	HRA Waiver Claims - Wage Works	2015
10/09/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(9,866.67)	214	HRA Waiver Claims - Wage Works	2015
10/09/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(134,514.12)	214	HRA Waiver Claims - Wage Works	2015
10/12/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(17,809.45)	214	HRA Waiver Claims - Wage Works	2015
10/12/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(220,303.65)	214	HRA Waiver Claims - Wage Works	2015
10/13/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(8,193.46)	214	HRA Waiver Claims - Wage Works	2015
10/13/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(54,665.96)	214	HRA Waiver Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/14/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(112,841.97)	214	HRA Waiver Claims - Wage Works	2015
10/14/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,008.55)	214	HRA Waiver Claims - Wage Works	2015
10/15/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(139,223.93)	214	HRA Waiver Claims - Wage Works	2015
10/15/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,174.07)	214	HRA Waiver Claims - Wage Works	2015
10/16/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(7,407.22)	214	HRA Waiver Claims - Wage Works	2015
10/16/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(116,572.45)	214	HRA Waiver Claims - Wage Works	2015
10/19/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(172,685.91)	214	HRA Waiver Claims - Wage Works	2015
10/19/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(17,176.49)	214	HRA Waiver Claims - Wage Works	2015
10/20/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(85,510.29)	214	HRA Waiver Claims - Wage Works	2015
10/20/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,925.37)	214	HRA Waiver Claims - Wage Works	2015
10/21/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,803.35)	214	HRA Waiver Claims - Wage Works	2015
10/21/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(99,801.96)	214	HRA Waiver Claims - Wage Works	2015
10/22/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(7,285.27)	214	HRA Waiver Claims - Wage Works	2015
10/22/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(128,980.91)	214	HRA Waiver Claims - Wage Works	2015
10/23/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(14,806.39)	214	HRA Waiver Claims - Wage Works	2015
10/23/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(113,780.99)	214	HRA Waiver Claims - Wage Works	2015
10/26/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(18,343.32)	214	HRA Waiver Claims - Wage Works	2015
10/26/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(204,440.45)	214	HRA Waiver Claims - Wage Works	2015
10/27/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(86,341.57)	214	HRA Waiver Claims - Wage Works	2015
10/27/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,516.57)	214	HRA Waiver Claims - Wage Works	2015
10/28/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(89,888.81)	214	HRA Waiver Claims - Wage Works	2015
10/28/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(7,605.27)	214	HRA Waiver Claims - Wage Works	2015
10/29/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,900.47)	214	HRA Waiver Claims - Wage Works	2015
10/29/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(125,587.57)	214	HRA Waiver Claims - Wage Works	2015
10/02/15	ANTHEM 06/2015 MI HICA TAX	(108.30)	215	Anthem Admin	2015
10/02/15	ANTHEM VT VACCINE ASSMT 5//2015	(0.84)	215	Anthem Admin	2015
10/08/15	ANTHEM ASO FEES 10/2015	(3,893,770.32)	215	Anthem Admin	2015
10/15/15	ANTHEM ASO FEES - COBRA 9/2015	(2,332.44)	215	Anthem Admin	2015
10/20/15	KDE SEPT 2015 ASO FEE PMT	28,798.00	216	Wage Works Admin	2015
10/08/15	COBRA ADMIN FEES 09/15 - WW	(44,189.00)	216	Wage Works Admin	2015
10/14/15	ADMIN FEES 09/2015 - WW	(327,972.40)	216	Wage Works Admin	2015
10/14/15	MDX/COMPASS - SMARTSHOPPER ADMIN 10-2015	(78,313.33)	217	MDX Medical Admin - Compass Choice	2015
10/02/15	CAREMARK CLAIMS	(6,858,703.65)	310	Pharmacy Claims - CVS	2015
10/08/15	CAREMARK CLAIMS	(6,510,829.07)	310	Pharmacy Claims - CVS	2015
10/19/15	CAREMARK CLAIMS	(8,176,531.05)	310	Pharmacy Claims - CVS	2015
10/26/15	CAREMARK CLAIMS	(8,161,176.48)	310	Pharmacy Claims - CVS	2015
10/02/15	CVS CAREMARK 09/16 - 09/23/2015	(117,049.10)	311	CVS Admin	2015
10/22/15	CVS CAREMARK 10/08 - 10/15/2015	(120,941.95)	311	CVS Admin	2015
10/27/15	CVS CAREMARK 10/16 - 10/23/2015	(120,649.90)	311	CVS Admin	2015
10/01/15	2ND QTR ADMINISTRATION FEE FY2016	(2,353,700.00)	501	Operating Transfer/Exp & Adj	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
10/05/15	2015 FSA LOAN PAYMENT	500,000.00	501	Operating Transfer/Exp & Adj	2015
10/13/15	2015 FSA LOAN PAYMENT	750,000.00	501	Operating Transfer/Exp & Adj	2015
10/27/15	FSA LOAN PAYMENT	500,000.00	501	Operating Transfer/Exp & Adj	2015
	October Activity	<u>\$31,539,893.99</u>			
	Ending Balance	<u>\$516,221,816.75</u>			

October Account Summary		
101	Premiums	135,598,768.18
102	HRA Waiver Contribution	7,011,200.00
110	Interest Income	46,220.89
120	Drug Rebates - Express Scripts	0.00
121	Drug Rebates - CVS	7,255,835.77
130	Transfers From other plan year(s)	0.00
201	Medical Claims - Humana	(216,777.93)
210	Medical Claims - Anthem	(75,237,540.61)
202	Humana Admin	(90,098.00)
203	HRA Embedded Claims - Humana	(739.27)
213	HRA Embedded Claims - Wage Works	(2,535,507.98)
204	HRA Waiver Claims - Humana	(6,879.24)
214	HRA Waiver Claims - Wage Works	(2,979,022.61)
215	Anthem Admin	(3,896,211.90)
216	Wage Works Admin	(343,363.40)
217	MDX Medical Admin - Compass Choice	(78,313.33)
301	Pharmacy Claims - Express Scripts	44,698.62
310	Pharmacy Claims - CVS	(29,707,240.25)
302	Express Scripts Admin	0.00
311	CVS Admin	(358,640.95)
500	Transfers To other plan year(s)	0.00
501	Operating Transfer/ Exp & Adj	(2,966,494.00)
	October Activity	31,539,893.99

NOVEMBER 2015 COMBINED TRANSACTION REPORT

Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>Beginning Balance</u>	<u>\$516,221,816.75</u>			
12/02/15	INTEREST DISTRIBUTION NOV 2015	1,684.89	110	Interest Income	2012
12/02/15	INTEREST DISTRIBUTION NOV 2015	46.91	110	Interest Income	2012
11/03/15	MEDICAL ESCHEATS SEPTEMBER 2015, PY 2012	154.28	201	Medical Claims - Humana	2012
11/03/15	MEDICAL CLAIM RECOVERY SEPTEMBER 2015, PY 2012	96,325.26	201	Medical Claims - Humana	2012
11/03/15	MEDICAL VOIDS SEPTEMBER 2015, PY 2012	1,115.15	201	Medical Claims - Humana	2012
11/04/15	MEDICAL CLAIMS 2012	(22.09)	201	Medical Claims - Humana	2012
11/05/15	MEDICAL CLAIMS 2012	(472.32)	201	Medical Claims - Humana	2012
11/12/15	MEDICAL CLAIMS 2012	(200.00)	201	Medical Claims - Humana	2012
11/13/15	MEDICAL CLAIMS 2012	(10,021.74)	201	Medical Claims - Humana	2012
11/16/15	MEDICAL CLAIMS 2012	36.07	201	Medical Claims - Humana	2012
11/17/15	MEDICAL CLAIMS 2012	(26,393.49)	201	Medical Claims - Humana	2012
11/24/15	MEDICAL CLAIMS 2012	(14.64)	201	Medical Claims - Humana	2012
12/02/15	INTEREST DISTRIBUTION NOV 2015	8,703.58	110	Interest Income	2013
12/02/15	INTEREST DISTRIBUTION NOV 2015	242.31	110	Interest Income	2013
11/03/15	MEDICAL ESCHEATS SEPTEMBER 2015, PY 2013	266.94	201	Medical Claims - Humana	2013
11/03/15	MEDICAL CLAIM RECOVERY SEPTEMBER 2015, PY 2013	119,628.51	201	Medical Claims - Humana	2013
11/02/15	MEDICAL CLAIMS 2013	(6,601.72)	201	Medical Claims - Humana	2013
11/03/15	MEDICAL CLAIMS 2013	(130.62)	201	Medical Claims - Humana	2013
11/05/15	MEDICAL CLAIMS 2013	(10.00)	201	Medical Claims - Humana	2013
11/06/15	MEDICAL CLAIMS 2013	(820.38)	201	Medical Claims - Humana	2013
11/10/15	MEDICAL CLAIMS 2013	(1,254.59)	201	Medical Claims - Humana	2013
11/12/15	MEDICAL CLAIMS 2013	(1,833.32)	201	Medical Claims - Humana	2013
11/13/15	MEDICAL CLAIMS 2013	(707.66)	201	Medical Claims - Humana	2013
11/16/15	MEDICAL CLAIMS 2013	(559.49)	201	Medical Claims - Humana	2013
11/17/15	MEDICAL CLAIMS 2013	(1,520.71)	201	Medical Claims - Humana	2013
11/19/15	MEDICAL CLAIMS 2013	(1,001.63)	201	Medical Claims - Humana	2013
11/20/15	MEDICAL CLAIMS 2013	(2,168.55)	201	Medical Claims - Humana	2013
11/23/15	MEDICAL CLAIMS 2013	(181.40)	201	Medical Claims - Humana	2013
11/24/15	MEDICAL CLAIMS 2013	(44.00)	201	Medical Claims - Humana	2013
11/04/15	HUMANA MI SURCHARGE CREDITS 09/2015	68.68	202	Humana Admin	2013
12/02/15	INTEREST DISTRIBUTION NOV 2015	10,757.33	110	Interest Income	2014
12/02/15	INTEREST DISTRIBUTION NOV 2015	299.50	110	Interest Income	2014
11/03/15	MEDICAL VOIDS SEPTEMBER 2015, PY 2014	7,390.30	201	Medical Claims - Humana	2014
11/03/15	MEDICAL ESCHEATS SEPTEMBER 2015, PY 2014	8,140.14	201	Medical Claims - Humana	2014
11/03/15	MEDICAL CLAIM RECOVERY SEPTEMBER 2015, PY 2015	183.44	201	Medical Claims - Humana	2014
11/03/15	MEDICAL CLAIM RECOVERY SEPTEMBER 2015, PY 2014	1,233,065.32	201	Medical Claims - Humana	2014
10/29/15	MEDICAL CLAIMS 2014	(38,514.98)	201	Medical Claims - Humana	2014
11/02/15	MEDICAL CLAIMS 2014	(28,311.50)	201	Medical Claims - Humana	2014

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/03/15	MEDICAL CLAIMS 2014	(114,147.47)	201	Medical Claims - Humana	2014
11/04/15	MEDICAL CLAIMS 2014	(31,830.67)	201	Medical Claims - Humana	2014
11/05/15	MEDICAL CLAIMS 2014	(31,340.43)	201	Medical Claims - Humana	2014
11/06/15	MEDICAL CLAIMS 2014	(42,973.29)	201	Medical Claims - Humana	2014
11/09/15	MEDICAL CLAIMS 2014	(65,024.83)	201	Medical Claims - Humana	2014
11/10/15	MEDICAL CLAIMS 2014	(154,740.79)	201	Medical Claims - Humana	2014
11/12/15	MEDICAL CLAIMS 2014	(32,940.34)	201	Medical Claims - Humana	2014
11/13/15	MEDICAL CLAIMS 2014	(44,415.67)	201	Medical Claims - Humana	2014
11/16/15	MEDICAL CLAIMS 2014	(60,493.20)	201	Medical Claims - Humana	2014
11/17/15	MEDICAL CLAIMS 2014	(54,501.00)	201	Medical Claims - Humana	2014
11/18/15	MEDICAL CLAIMS 2014	(21,933.73)	201	Medical Claims - Humana	2014
11/19/15	MEDICAL CLAIMS 2014	(58,708.36)	201	Medical Claims - Humana	2014
11/20/15	MEDICAL CLAIMS 2014	(12,065.85)	201	Medical Claims - Humana	2014
11/23/15	MEDICAL CLAIMS 2014	(40,888.19)	201	Medical Claims - Humana	2014
11/24/15	MEDICAL CLAIMS 2014	(90,538.22)	201	Medical Claims - Humana	2014
11/25/15	MEDICAL CLAIMS 2014	(65,487.80)	201	Medical Claims - Humana	2014
11/04/15	HUMANA SHARED SAVINGS 09/2015	(2,960.21)	202	Humana Admin	2014
11/04/15	HUMANA MI SURCHARGE CREDITS 09/2015	213.48	202	Humana Admin	2014
11/17/15	REPLACEMENT ESCHEATED CHECKS	(34.60)	204	HRA Waiver Claims - Humana	2014
11/09/15	UPPS PAYROLL	13,107,812.60	101	Premiums	2015
11/17/15	UPPS PAYROLL	1,145.41	101	Premiums	2015
11/23/15	UPPS PAYROLL	13,151,590.76	101	Premiums	2015
11/03/15	UPPS PAYROLL	354.28	101	Premiums	2015
11/13/15	UPPS PAYROLL	1,092.00	101	Premiums	2015
11/30/15	UPPS PAYROLL	775.81	101	Premiums	2015
10/30/15	EPAY-HEALTH PREMIUMS 2015	119,797.77	101	Premiums	2015
11/02/15	EPAY-HEALTH PREMIUMS 2015	273,884.89	101	Premiums	2015
11/02/15	EPAY-HEALTH PREMIUMS 2015	443,337.51	101	Premiums	2015
11/02/15	HEALTH PREMIUMS 2015	1,791,644.53	101	Premiums	2015
11/03/15	EPAY-HEALTH PREMIUMS 2015	154,522.16	101	Premiums	2015
11/03/15	HEALTH PREMIUMS 2015	369,985.25	101	Premiums	2015
11/04/15	EPAY-HEALTH PREMIUMS 2015	156,483.39	101	Premiums	2015
11/04/15	EPAY-HEALTH PREMIUMS 2015	156,035.79	101	Premiums	2015
11/04/15	HEALTH PREMIUMS 2015	653,614.65	101	Premiums	2015
11/05/15	JEFFERSON CO BOE OCTOBER 2015 HEALTH	1,508,264.20	101	Premiums	2015
11/05/15	HEALTH PREMIUMS 2015	684,824.16	101	Premiums	2015
11/06/15	EPAY-HEALTH PREMIUMS 2015	79,587.11	101	Premiums	2015
11/06/15	EPAY-HEALTH PREMIUMS 2015	344,331.94	101	Premiums	2015
11/06/15	HEALTH PREMIUMS 2015	522,820.43	101	Premiums	2015
11/09/15	EPAY-HEALTH PREMIUMS 2015	78,470.55	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/09/15	KRS #31100 11/15 HEALTH PREMIUM	14,599.23	101	Premiums	2015
11/09/15	HEALTH PREMIUMS 2015	655,346.20	101	Premiums	2015
11/09/15	KCTCS PREMIUM PAYMENT OCT 2015	65,869.40	101	Premiums	2015
11/09/15	KCTCS PREMIUM PAYMENT OCT 2015	3,303,122.83	101	Premiums	2015
11/10/15	EPAY-HEALTH PREMIUMS 2015	52,189.65	101	Premiums	2015
11/10/15	HEALTH PREMIUMS 2015	305,561.09	101	Premiums	2015
11/12/15	EPAY-HEALTH PREMIUMS 2015	96,766.86	101	Premiums	2015
11/12/15	EPAY-HEALTH PREMIUMS 2015	155,125.71	101	Premiums	2015
11/12/15	HEALTH PREMIUMS 2015	224,365.25	101	Premiums	2015
11/13/15	HEALTH PREMIUMS 2015	88,140.77	101	Premiums	2015
11/16/15	EPAY-HEALTH PREMIUMS 2015	105,559.40	101	Premiums	2015
11/16/15	EPAY-HEALTH PREMIUMS 2015	60,180.02	101	Premiums	2015
11/16/15	HEALTH PREMIUMS 2015	325,626.83	101	Premiums	2015
11/17/15	EPAY-HEALTH PREMIUMS 2015	49,378.76	101	Premiums	2015
11/17/15	HEALTH PREMIUMS 2015	213,430.87	101	Premiums	2015
11/18/15	HEALTH PREMIUMS 2015	158,518.44	101	Premiums	2015
11/19/15	EPAY-HEALTH PREMIUMS 2015	4,959.92	101	Premiums	2015
11/19/15	EPAY-HEALTH PREMIUMS 2015	77,409.38	101	Premiums	2015
11/19/15	HEALTH PREMIUMS 2015	509,510.76	101	Premiums	2015
11/20/15	EPAY-HEALTH PREMIUMS 2015	23,736.12	101	Premiums	2015
11/20/15	HEALTH PREMIUMS 2015	827,944.60	101	Premiums	2015
11/23/15	JUDICIAL RETIREMENT 11/15	5,762.16	101	Premiums	2015
11/23/15	JUDICIAL RETIREMENT 11/15	105,416.56	101	Premiums	2015
11/23/15	LEGISLATIVE RETIREMENT 11/15	3,049.30	101	Premiums	2015
11/23/15	LEGISLATIVE RETIREMENT 11/15	22,021.98	101	Premiums	2015
11/23/15	HEALTH PREMIUMS 2015	219,924.23	101	Premiums	2015
11/23/15	EPAY-HEALTH PREMIUMS 2015	108,605.85	101	Premiums	2015
11/24/15	EPAY-HEALTH PREMIUMS 2015	64,996.70	101	Premiums	2015
11/24/15	HEALTH PREMIUMS 2015	449,291.68	101	Premiums	2015
11/25/15	EPAY-HEALTH PREMIUMS 2015	113,984.71	101	Premiums	2015
11/25/15	HEALTH PREMIUMS 2015	226,514.65	101	Premiums	2015
11/30/15	HEALTH PREMIUMS 2015	1,394,105.23	101	Premiums	2015
11/10/15	HLTH INS REFUND	(26.50)	101	Premiums	2015
11/16/15	HLTH INS REFUND	(59.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
11/10/15	HLTH INS REFUND	(61.49)	101	Premiums	2015
11/16/15	HLTH INS REFUND	(43.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(19.47)	101	Premiums	2015
11/10/15	HLTH INS REFUND	(152.98)	101	Premiums	2015
11/16/15	HLTH INS REFUND	(181.34)	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/19/15	HLTH INS REFUND	(75.00)	101	Premiums	2015
11/10/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(153.51)	101	Premiums	2015
11/10/15	HLTH INS REFUND	(38.00)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(119.98)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(122.98)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(59.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(19.47)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(333.49)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(87.98)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(79.98)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(61.49)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(79.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(38.99)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(52.98)	101	Premiums	2015
11/19/15	HLTH INS REFUND	(12.98)	101	Premiums	2015
11/02/15	KDE PREMIUMS OCT 2015	56,328,795.46	101	Premiums	2015
11/09/15	KRS 11/15 HEALTH PREMIUMS	21,941,156.60	101	Premiums	2015
11/25/15	KTRS 11/15 PREMIUMS	10,623,499.52	101	Premiums	2015
11/09/15	UPPS PAYROLL	359,625.00	102	HRA Waiver Contribution	2015
11/17/15	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2015
11/23/15	UPPS PAYROLL	360,412.50	102	HRA Waiver Contribution	2015
11/02/15	EPAY-HRA WAIVER DENTAL/VISION 2015	175.00	102	HRA Waiver Contribution	2015
11/02/15	EPAY-HRA WAIVER 2015	1,400.00	102	HRA Waiver Contribution	2015
11/02/15	HRA WAIVER PREMIUMS 2015	1,050.00	102	HRA Waiver Contribution	2015
11/02/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	350.00	102	HRA Waiver Contribution	2015
11/04/15	EPAY-HRA WAIVER 2015	875.00	102	HRA Waiver Contribution	2015
11/04/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
11/09/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
11/09/15	HRA WAIVER PREMIUMS 2015	350.00	102	HRA Waiver Contribution	2015
11/16/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
11/16/15	EPAY-HRA WAIVER 2015	875.00	102	HRA Waiver Contribution	2015
11/16/15	HRA WAIVER PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
11/18/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	700.00	102	HRA Waiver Contribution	2015
11/18/15	HRA WAIVER PREMIUMS 2015	3,500.00	102	HRA Waiver Contribution	2015
11/19/15	EPAY-HRA WAIVER 2015	175.00	102	HRA Waiver Contribution	2015
11/19/15	HRA WAIVER PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
11/19/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/23/15	HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
11/24/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
11/30/15	HRA WAIVER PREMIUMS 2015	700.00	102	HRA Waiver Contribution	2015
11/30/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	350.00	102	HRA Waiver Contribution	2015
11/30/15	KDE HRA D&V NOV 2015	425,858.83	102	HRA Waiver Contribution	2015
11/30/15	KDE HRA WAIVER NOV 2015	2,885,528.43	102	HRA Waiver Contribution	2015
12/02/15	INTEREST DISTRIBUTION NOV 2015	29,086.40	110	Interest Income	2015
12/02/15	INTEREST DISTRIBUTION NOV 2015	809.80	110	Interest Income	2015
11/17/15	MEDICAL CLAIMS 2015	(11.09)	201	Medical Claims - Humana	2015
11/04/15	HUMANA VITALITY REWARD 4th QTR 2015 TRUE UP	(120,648.98)	202	Humana Admin	2015
11/04/15	BIOMETRIC SCREENING - OFFSITE	(2,676.00)	202	Humana Admin	2015
11/04/15	BIOMETRIC SCREENING - HLTH DEPT	(185,252.00)	202	Humana Admin	2015
11/04/15	HUMANA VITALITY REWARDS 10/2015	(77,627.00)	202	Humana Admin	2015
11/04/15	HUMANA VITALITY ASO 10/2015	(572,887.26)	202	Humana Admin	2015
11/04/15	HUMANA VITALITY COACHING 9/2015	(59,800.00)	202	Humana Admin	2015
11/30/15	HUMANA VITALITY COACHING 10/2015	(56,800.00)	202	Humana Admin	2015
11/30/15	HUMANA VITALITY REWARDS 11/2015	(77,639.50)	202	Humana Admin	2015
11/30/15	HUMANA VITALITY ASO 11/2015	(572,979.51)	202	Humana Admin	2015
11/30/15	HUMANA BIOMETRIC SCREENING 4/2015	(33,846.00)	202	Humana Admin	2015
11/03/15	MEDICAL CLAIMS- ANTHEM	(18,209,757.76)	210	Medical Claims - Anthem	2015
11/10/15	MEDICAL CLAIMS- ANTHEM	(20,978,107.70)	210	Medical Claims - Anthem	2015
11/16/15	MEDICAL CLAIMS- ANTHEM	(19,489,594.56)	210	Medical Claims - Anthem	2015
11/23/15	MEDICAL CLAIMS- ANTHEM	(18,362,004.40)	210	Medical Claims - Anthem	2015
11/24/15	FIRST ONSITE LLC 10/2015	(49,424.25)	210	Medical Claims - Anthem	2015
11/30/15	MDX INCENTIVES 10-2015	(53,560.00)	210	Medical Claims - Anthem	2015
10/30/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(111,693.54)	213	HRA Embedded Claims - Wage Works	2015
11/02/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(189,007.73)	213	HRA Embedded Claims - Wage Works	2015
11/03/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(69,065.65)	213	HRA Embedded Claims - Wage Works	2015
11/04/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(95,373.48)	213	HRA Embedded Claims - Wage Works	2015
11/05/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(159,401.08)	213	HRA Embedded Claims - Wage Works	2015
11/06/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(122,531.99)	213	HRA Embedded Claims - Wage Works	2015
11/09/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(179,964.56)	213	HRA Embedded Claims - Wage Works	2015
11/10/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(65,193.88)	213	HRA Embedded Claims - Wage Works	2015
11/12/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(185,448.23)	213	HRA Embedded Claims - Wage Works	2015
11/13/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(110,248.03)	213	HRA Embedded Claims - Wage Works	2015
11/16/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(169,772.93)	213	HRA Embedded Claims - Wage Works	2015
11/17/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(76,128.87)	213	HRA Embedded Claims - Wage Works	2015
11/18/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(82,454.95)	213	HRA Embedded Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
				Works	
11/19/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(119,286.78)	213	HRA Embedded Claims - Wage Works	2015
11/20/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(105,880.44)	213	HRA Embedded Claims - Wage Works	2015
11/23/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(180,789.87)	213	HRA Embedded Claims - Wage Works	2015
11/24/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(71,476.77)	213	HRA Embedded Claims - Wage Works	2015
11/25/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(80,432.24)	213	HRA Embedded Claims - Wage Works	2015
10/30/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(12,562.94)	214	HRA Waiver Claims - Wage Works	2015
10/30/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(129,578.12)	214	HRA Waiver Claims - Wage Works	2015
11/02/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,572.58)	214	HRA Waiver Claims - Wage Works	2015
11/02/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(187,147.76)	214	HRA Waiver Claims - Wage Works	2015
11/03/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(4,988.81)	214	HRA Waiver Claims - Wage Works	2015
11/03/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(80,683.24)	214	HRA Waiver Claims - Wage Works	2015
11/04/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(107,483.41)	214	HRA Waiver Claims - Wage Works	2015
11/04/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(7,206.22)	214	HRA Waiver Claims - Wage Works	2015
11/05/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(181,012.85)	214	HRA Waiver Claims - Wage Works	2015
11/05/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(16,526.81)	214	HRA Waiver Claims - Wage Works	2015
11/06/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,875.46)	214	HRA Waiver Claims - Wage Works	2015
11/06/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(135,262.00)	214	HRA Waiver Claims - Wage Works	2015
11/09/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(12,975.17)	214	HRA Waiver Claims - Wage Works	2015
11/09/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(200,269.93)	214	HRA Waiver Claims - Wage Works	2015
11/10/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,351.91)	214	HRA Waiver Claims - Wage Works	2015
11/10/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(73,845.74)	214	HRA Waiver Claims - Wage Works	2015
11/12/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(19,769.09)	214	HRA Waiver Claims - Wage Works	2015
11/12/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(211,021.82)	214	HRA Waiver Claims - Wage Works	2015
11/13/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,561.52)	214	HRA Waiver Claims - Wage Works	2015
11/13/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(145,064.84)	214	HRA Waiver Claims - Wage Works	2015
11/16/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(205,602.78)	214	HRA Waiver Claims - Wage Works	2015
11/16/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(12,602.67)	214	HRA Waiver Claims - Wage Works	2015
11/17/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(4,101.06)	214	HRA Waiver Claims - Wage Works	2015
11/17/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(79,350.80)	214	HRA Waiver Claims - Wage Works	2015
11/18/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,322.03)	214	HRA Waiver Claims - Wage Works	2015
11/18/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(108,379.35)	214	HRA Waiver Claims - Wage Works	2015
11/19/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,395.85)	214	HRA Waiver Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/19/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(109,902.30)	214	HRA Waiver Claims - Wage Works	2015
11/20/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(112,498.84)	214	HRA Waiver Claims - Wage Works	2015
11/20/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(11,916.64)	214	HRA Waiver Claims - Wage Works	2015
11/23/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(199,736.03)	214	HRA Waiver Claims - Wage Works	2015
11/23/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(22,541.47)	214	HRA Waiver Claims - Wage Works	2015
11/24/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(83,277.18)	214	HRA Waiver Claims - Wage Works	2015
11/24/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(3,947.04)	214	HRA Waiver Claims - Wage Works	2015
11/25/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(96,055.70)	214	HRA Waiver Claims - Wage Works	2015
11/25/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,314.44)	214	HRA Waiver Claims - Wage Works	2015
11/04/15	ANTHEM DIABETES PREV 10/15	(33,246.00)	215	Anthem Admin	2015
11/09/15	ANTHEM ASO FEES 11/2015	(3,894,397.32)	215	Anthem Admin	2015
11/12/15	ANTHEM ASO FEES - COBRA 10/2015	(2,457.84)	215	Anthem Admin	2015
11/17/15	ANTHEM NY ASSMT 11/02 - 11/08/15	(49.79)	215	Anthem Admin	2015
11/17/15	ANTHEM NY ASSMT 9/28 - 10/04/15	(52.30)	215	Anthem Admin	2015
11/17/15	ANTHEM NY ASSMT 9/28 - 10/04/15 - REFUNDS	56.30	215	Anthem Admin	2015
11/20/15	ANTHEM DIABETES PREV 11/15	(15,873.00)	215	Anthem Admin	2015
11/20/15	ANTHEM VT VACCINE ASSMT 11/09 - 11/15/2015	(1.68)	215	Anthem Admin	2015
11/17/15	KDE OCT 2015 ASO FEE PMT	29,274.00	216	Wage Works Admin	2015
11/09/15	COBRA ADMIN FEES 10/15 - WW	(44,189.00)	216	Wage Works Admin	2015
11/20/15	ADMIN FEES 10/2015 - WW	(337,663.20)	216	Wage Works Admin	2015
11/09/15	MDX/COMPASS - SMARTSHOPPER ADMIN 11-2015	(78,315.98)	217	MDX Medical Admin - Compass Choice	2015
11/03/15	CAREMARK CLAIMS	(7,189,246.96)	310	Pharmacy Claims - CVS	2015
11/10/15	CAREMARK CLAIMS	(7,053,422.12)	310	Pharmacy Claims - CVS	2015
11/17/15	CAREMARK CLAIMS	(7,341,280.64)	310	Pharmacy Claims - CVS	2015
11/25/15	CAREMARK CLAIMS	(8,677,895.60)	310	Pharmacy Claims - CVS	2015
11/04/15	CVS CAREMARK 10/01 - 10/07/2015	(100,290.35)	311	CVS Admin	2015
11/05/15	CVS CAREMARK 08/2015 APPEALS	(18,530.00)	311	CVS Admin	2015
11/05/15	CVS CAREMARK SERVICE WARRANT GUARANTEE 9/2015	37,500.00	311	CVS Admin	2015
11/05/15	CVS CAREMARK 09/24 - 09/30/2015	(104,613.20)	311	CVS Admin	2015
11/05/15	CVS CAREMARK 08/2015 DRUG SAVING REVIEW	(101,917.44)	311	CVS Admin	2015
11/05/15	CVS CAREMARK 08/2015 PRIOR AUTHORIZATION	(45,720.00)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 11/01 - 11/07/2015	(103,438.25)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 10/24 - 10/31/2015	(113,195.45)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 9/2015 PRIOR AUTHORIZATION	(39,660.00)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 9/2015 DRUG SAVINGS REVIEW	(98,877.24)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 4th QTR 2015 MI HICA TAX	(36.43)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 4th QTR 2015 BRIDGE SUPPLY	(30.96)	311	CVS Admin	2015
11/12/15	CVS CAREMARK 10/24-10/31/2015 PHARMACY AUDIT ADJ	52.03	311	CVS Admin	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
11/12/15	CVS CAREMARK 9/2015 APPEALS	(18,180.00)	311	CVS Admin	2015
11/30/15	CVS CAREMARK 11/16 - 11/23/2015	(130,516.05)	311	CVS Admin	2015
11/09/15	CHECK CANCELLATION FEES	(5.00)	501	Operating Transfer/Exp & Adj	2015
11/12/15	THOMSON REUTERS SOFTWARE MAINT AND SUPPORT	(199,692.00)	501	Operating Transfer/Exp & Adj	2015
November Activity		<u>\$17,640,987.55</u>			
Ending Balance		<u>\$533,862,804.30</u>			

November Account Summary		
101	Premiums	132,522,799.38
102	HRA Waiver Contribution	4,045,162.26
110	Interest Income	51,630.72
120	Drug Rebates - Express Scripts	0.00
121	Drug Rebates - CVS	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims - Humana	423,479.65
210	Medical Claims - Anthem	(77,142,448.67)
202	Humana Admin	(1,762,834.30)
203	HRA Embedded Claims - Humana	0.00
213	HRA Embedded Claims - Wage Works	(2,174,151.02)
204	HRA Waiver Claims - Humana	(34.60)
214	HRA Waiver Claims - Wage Works	(2,646,704.40)
215	Anthem Admin	(3,946,021.63)
216	Wage Works Admin	(352,578.20)
217	MDX Medical Admin - Compass Choice	(78,315.98)
301	Pharmacy Claims - Express Scripts	0.00
310	Pharmacy Claims - CVS	(30,261,845.32)
302	Express Scripts Admin	0.00
311	CVS Admin	(837,453.34)
500	Transfers To other plan year(s)	0.00
501	Operating Transfer/ Exp & Adj	(199,697.00)
November Activity		17,640,987.55

DECEMBER 2015 COMBINED TRANSACTION REPORT

Date	Description	Amount	LRC Code	LRC Description	Plan Year
	<u>Beginning Balance</u>	<u>\$533,862,804.30</u>			
01/04/16	INTEREST DISTRIBUTION DEC 2015	3,809.78	110	Interest Income	2012
11/30/15	MEDICAL CLAIM RECOVERY OCTOBER 2015, PY 2012	79,946.09	201	Medical Claims - Humana	2012
11/30/15	MEDICAL ESCHEATS OCTOBER 2015, PY 2012	215.51	201	Medical Claims - Humana	2012
11/30/15	MEDICAL VOIDS OCTOBER 2015, PY 2012	56.44	201	Medical Claims - Humana	2012
12/16/15	MEDICAL CLAIMS 2012	(727.40)	201	Medical Claims - Humana	2012
12/07/15	HUMANA SHARED SAVINGS 10/2015	(21.59)	202	Humana Admin	2012
01/04/16	INTEREST DISTRIBUTION DEC 2015	19,634.11	110	Interest Income	2013
11/30/15	MEDICAL VOIDS OCTOBER 2015, PY 2013	139.13	201	Medical Claims - Humana	2013
11/30/15	MEDICAL CLAIM RECOVERY OCTOBER 2015, PY 2013	280,859.35	201	Medical Claims - Humana	2013
11/30/15	MEDICAL ESCHEATS OCTOBER 2015, PY 2013	400.86	201	Medical Claims - Humana	2013
11/30/15	MEDICAL CLAIMS 2013	(20,199.35)	201	Medical Claims - Humana	2013
12/01/15	MEDICAL CLAIMS 2013	(3,595.57)	201	Medical Claims - Humana	2013
12/03/15	MEDICAL CLAIMS 2013	(65,406.66)	201	Medical Claims - Humana	2013
12/04/15	MEDICAL CLAIMS 2013	(2,928.36)	201	Medical Claims - Humana	2013
12/07/15	MEDICAL CLAIMS 2013	(859.25)	201	Medical Claims - Humana	2013
12/09/15	MEDICAL CLAIMS 2013	(15,784.46)	201	Medical Claims - Humana	2013
12/10/15	MEDICAL CLAIMS 2013	(3,945.06)	201	Medical Claims - Humana	2013
12/11/15	MEDICAL CLAIMS 2013	(6,956.95)	201	Medical Claims - Humana	2013
12/14/15	MEDICAL CLAIMS 2013	(26,895.97)	201	Medical Claims - Humana	2013
12/16/15	MEDICAL CLAIMS 2013	(208.00)	201	Medical Claims - Humana	2013
12/16/15	MEDICAL CLAIMS 2013	(33.51)	201	Medical Claims - Humana	2013
12/18/15	MEDICAL CLAIMS 2013	(761.46)	201	Medical Claims - Humana	2013
12/21/15	MEDICAL CLAIMS 2013	(6,189.35)	201	Medical Claims - Humana	2013
12/22/15	MEDICAL CLAIMS 2013	(2,996.82)	201	Medical Claims - Humana	2013
12/23/15	MEDICAL CLAIMS 2013	(3,477.17)	201	Medical Claims - Humana	2013
12/23/15	MEDICAL CLAIMS 2013	(6,494.03)	201	Medical Claims - Humana	2013
12/28/15	MEDICAL CLAIMS 2013	(30,323.99)	201	Medical Claims - Humana	2013
12/29/15	MEDICAL CLAIMS 2013	(1,350.72)	201	Medical Claims - Humana	2013
12/30/15	MEDICAL CLAIMS 2013	(100.65)	201	Medical Claims - Humana	2013
12/07/15	HUMANA HEALTH CLAIMS	(771.00)	201	Medical Claims - Humana	2013
12/07/15	HUMANA SHARED SAVINGS 10/2015	(71.32)	202	Humana Admin	2013
01/04/16	INTEREST DISTRIBUTION DEC 2015	24,295.52	110	Interest Income	2014
12/02/15	4th QTR MEDICAL INTEREST EARNED, OCTOBER 2015, PY 2014	1.70	110	Interest Income	2014
11/30/15	MEDICAL CLAIM RECOVERY OCTOBER 2015, PY 2014	831,384.66	201	Medical Claims - Humana	2014
11/30/15	MEDICAL VOIDS OCTOBER 2015, PY 2014	16,215.42	201	Medical Claims - Humana	2014
11/30/15	MEDICAL ESCHEATS OCTOBER 2015, PY 2014	1,132.07	201	Medical Claims - Humana	2014
12/09/15	CORRECT PLAN YEAR ALLOCATIONS	(183.44)	201	Medical Claims - Humana	2014
11/30/15	MEDICAL CLAIMS 2014	(67,659.51)	201	Medical Claims - Humana	2014

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/01/15	MEDICAL CLAIMS 2014	(58,467.90)	201	Medical Claims - Humana	2014
12/02/15	MEDICAL CLAIMS 2014	(15,470.48)	201	Medical Claims - Humana	2014
12/03/15	MEDICAL CLAIMS 2014	(23,933.82)	201	Medical Claims - Humana	2014
12/04/15	MEDICAL CLAIMS 2014	(97,578.45)	201	Medical Claims - Humana	2014
12/07/15	MEDICAL CLAIMS 2014	(17,396.53)	201	Medical Claims - Humana	2014
12/09/15	MEDICAL CLAIMS 2014	(54,702.51)	201	Medical Claims - Humana	2014
12/10/15	MEDICAL CLAIMS 2014	(55,934.66)	201	Medical Claims - Humana	2014
12/11/15	MEDICAL CLAIMS 2014	(40,907.00)	201	Medical Claims - Humana	2014
12/14/15	MEDICAL CLAIMS 2014	(7,258.57)	201	Medical Claims - Humana	2014
12/16/15	MEDICAL CLAIMS 2014	(16,429.78)	201	Medical Claims - Humana	2014
12/16/15	MEDICAL CLAIMS 2014	(4,556.47)	201	Medical Claims - Humana	2014
12/18/15	MEDICAL CLAIMS 2014	(19,524.92)	201	Medical Claims - Humana	2014
12/21/15	MEDICAL CLAIMS 2014	(16,531.61)	201	Medical Claims - Humana	2014
12/22/15	MEDICAL CLAIMS 2014	(57,445.36)	201	Medical Claims - Humana	2014
12/23/15	MEDICAL CLAIMS 2014	(60,534.92)	201	Medical Claims - Humana	2014
12/23/15	MEDICAL CLAIMS 2014	(127,095.81)	201	Medical Claims - Humana	2014
12/28/15	MEDICAL CLAIMS 2014	(226,025.16)	201	Medical Claims - Humana	2014
12/29/15	MEDICAL CLAIMS 2014	(60,243.34)	201	Medical Claims - Humana	2014
12/30/15	MEDICAL CLAIMS 2014	(13,237.87)	201	Medical Claims - Humana	2014
12/07/15	HUMANA HEALTH CLAIMS	(5,727.39)	201	Medical Claims - Humana	2014
12/07/15	HUMANA SHARED SAVINGS 10/2015	(3,030.26)	202	Humana Admin	2014
12/07/15	HUMANA BIOMETRIC SCREENING 2014	(174.00)	202	Humana Admin	2014
12/29/15	HUMANA CPCI INCENTIVES REFUND	1.80	202	Humana Admin	2014
12/29/15	HUMANA SHARED SAVINGS 11/2015	(4,369.78)	202	Humana Admin	2014
12/10/15	WW- RECOVERIES - EMBEDDED HRA 2014	15.11	203	HRA Embedded Claims - Humana	2014
12/09/15	ORPHAN MEDICAL RESTITUTION SETTLEMENT - ESI	2,320.29	501	Operating Transfer/Exp & Adj	2014
12/10/15	UPPS PAYROLL	13,122,462.27	101	Premiums	2015
12/21/15	UPPS PAYROLL	13,177,060.60	101	Premiums	2015
12/01/15	UPPS PAYROLL	119.98	101	Premiums	2015
12/15/15	UPPS PAYROLL	2,789.29	101	Premiums	2015
12/17/15	UPPS PAYROLL	(1,183.87)	101	Premiums	2015
12/23/15	UPPS PAYROLL	2,640.61	101	Premiums	2015
11/24/15	KRS #31100 11/15 HEALTH PREMIUM	183,044.63	101	Premiums	2015
11/30/15	COBRA PREMIUMS OCT 2015 - WW	86,621.68	101	Premiums	2015
11/30/15	EPAY-HEALTH PREMIUMS 2015	177,884.12	101	Premiums	2015
11/30/15	EPAY-HEALTH PREMIUMS 2015	242,611.84	101	Premiums	2015
12/01/15	HEALTH PREMIUMS 2015	1,301,931.93	101	Premiums	2015
12/02/15	HEALTH PREMIUMS 2015	607,571.80	101	Premiums	2015
12/03/15	EPAY-HEALTH PREMIUMS 2015	784,512.81	101	Premiums	2015
12/03/15	EPAY-HEALTH PREMIUMS 2015	469,908.32	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/03/15	KCTCS PREMIUM PAYMENT NOV 2015	68,808.18	101	Premiums	2015
12/03/15	KCTCS PREMIUM PAYMENT NOV 2015	3,296,161.04	101	Premiums	2015
12/03/15	HEALTH PREMIUMS 2015	1,070,777.44	101	Premiums	2015
12/07/15	EPAY-HEALTH PREMIUMS 2015	402,285.65	101	Premiums	2015
12/07/15	EPAY-HEALTH PREMIUMS 2015	378,863.50	101	Premiums	2015
12/07/15	KRS #31100 12/15 HEALTH PREMIUM	14,847.41	101	Premiums	2015
12/07/15	HEALTH PREMIUMS 2015	1,627,768.13	101	Premiums	2015
12/09/15	EPAY-HEALTH PREMIUMS 2015	7,217.94	101	Premiums	2015
12/09/15	EPAY-HEALTH PREMIUMS 2015	16,104.84	101	Premiums	2015
12/09/15	HEALTH PREMIUMS 2015	493,015.71	101	Premiums	2015
12/10/15	HEALTH PREMIUMS 2015	788,735.70	101	Premiums	2015
12/11/15	HEALTH PREMIUMS 2015	263,126.65	101	Premiums	2015
12/14/15	EPAY-HEALTH PREMIUMS 2015	355,101.44	101	Premiums	2015
12/14/15	EPAY-HEALTH PREMIUMS 2015	68,779.09	101	Premiums	2015
12/14/15	HEALTH PREMIUMS 2015	256,709.69	101	Premiums	2015
12/15/15	EPAY-HEALTH PREMIUMS 2015	164,865.88	101	Premiums	2015
12/15/15	EPAY-HEALTH PREMIUMS 2015	13,479.92	101	Premiums	2015
12/15/15	HEALTH PREMIUMS 2015	110,083.35	101	Premiums	2015
12/15/15	JEFFERSON CO BOE NOV 2015 HEALTH	1,519,644.96	101	Premiums	2015
12/16/15	JUDICIAL RETIREMENT 12/15	5,759.92	101	Premiums	2015
12/16/15	JUDICIAL RETIREMENT 12/15	106,571.46	101	Premiums	2015
12/16/15	LEGISLATIVE RETIREMENT 12/15	3,049.30	101	Premiums	2015
12/16/15	LEGISLATIVE RETIREMENT 12/15	22,021.98	101	Premiums	2015
12/16/15	HEALTH PREMIUMS 2015	217,611.47	101	Premiums	2015
12/16/15	KRS #31100 12/15 HEALTH PREMIUM	182,835.68	101	Premiums	2015
12/17/15	EPAY-HEALTH PREMIUMS 2015	77,050.62	101	Premiums	2015
12/17/15	EPAY-HEALTH PREMIUMS 2015	165,272.69	101	Premiums	2015
12/17/15	HEALTH PREMIUMS 2015	278,239.33	101	Premiums	2015
12/18/15	KCTCS PREMIUM PAYMENT DEC 2015	67,416.94	101	Premiums	2015
12/18/15	KCTCS PREMIUM PAYMENT DEC 2015	3,302,799.80	101	Premiums	2015
12/21/15	EPAY - HEALTH PREMIUMS 2015	54,661.16	101	Premiums	2015
12/21/15	EPAY - HEALTH PREMIUMS 2015	373,928.44	101	Premiums	2015
12/21/15	EPAY - HEALTH PREMIUMS 2015	271,378.68	101	Premiums	2015
12/21/15	HEALTH PREMIUMS 2015	1,931,739.98	101	Premiums	2015
12/22/15	HEALTH PREMIUMS 2015	212,541.70	101	Premiums	2015
12/23/15	EPAY-HEALTH PREMIUMS 2015	220,917.18	101	Premiums	2015
12/23/15	HEALTH PREMIUMS 2015	107,389.82	101	Premiums	2015
12/23/15	COBRA PREMIUMS NOV 2015 - WW	81,121.94	101	Premiums	2015
12/28/15	HEALTH PREMIUMS 2015	508,419.83	101	Premiums	2015
12/28/15	EPAY-HEALTH PREMIUMS 2015	221,067.42	101	Premiums	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/28/15	EPAY-HEALTH PREMIUMS 2015	113,316.69	101	Premiums	2015
12/29/15	HEALTH PREMIUMS 2015	679,062.32	101	Premiums	2015
12/09/15	HLTH INS REFUND	(59.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(192.02)	101	Premiums	2015
12/09/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
12/09/15	HLTH INS REFUND	(47.98)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(475.31)	101	Premiums	2015
12/09/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(23.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(6.49)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(22.50)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(257.98)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(39.99)	101	Premiums	2015
12/17/15	HLTH INS REFUND	(12.98)	101	Premiums	2015
12/01/15	KDE PREMIUMS NOV 2015	56,709,721.83	101	Premiums	2015
12/07/15	KRS 12/15 HEALTH PREMIUMS	21,823,950.42	101	Premiums	2015
12/28/15	KTRS 12/15 PREMIUMS	10,537,886.70	101	Premiums	2015
12/15/15	CORRECT DEPOSIT FUND ALLOCATIONS	(1,149.60)	101	Premiums	2015
12/30/15	CORRECT DEPOSIT FUND ALLOCATIONS	(100.00)	101	Premiums	2015
12/10/15	UPPS PAYROLL	360,850.00	102	HRA Waiver Contribution	2015
12/21/15	UPPS PAYROLL	361,987.50	102	HRA Waiver Contribution	2015
12/15/15	UPPS PAYROLL	87.50	102	HRA Waiver Contribution	2015
12/23/15	UPPS PAYROLL	262.50	102	HRA Waiver Contribution	2015
11/30/15	EPAY-HRA WAIVER 2015	175.00	102	HRA Waiver Contribution	2015
11/30/15	EPAY-HRA WAIVER 2015	525.00	102	HRA Waiver Contribution	2015
12/01/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
12/01/15	HRA WAIVER PREMIUMS 2015	1,050.00	102	HRA Waiver Contribution	2015
12/03/15	EPAY-HRA WAIVER DENTAL/ VISION 2015	175.00	102	HRA Waiver Contribution	2015
12/03/15	EPAY-HRA WAIVER 2015	1,400.00	102	HRA Waiver Contribution	2015
12/07/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	350.00	102	HRA Waiver Contribution	2015
12/07/15	HRA WAIVER PREMIUMS 2015	875.00	102	HRA Waiver Contribution	2015
12/14/15	EPAY-HRA WAIVER D&V 2015	525.00	102	HRA Waiver Contribution	2015
12/14/15	EPAY-HRA WAIVER 2015	4,900.00	102	HRA Waiver Contribution	2015
12/14/15	HRA WAIVER PREMIUMS 2015	962.50	102	HRA Waiver Contribution	2015
12/15/15	EPAY-HRA WAIVER 2015	350.00	102	HRA Waiver Contribution	2015
12/16/15	HRA WAIVER PREMIUMS 2015	525.00	102	HRA Waiver Contribution	2015
12/17/15	EPAY-HRA WAIVER 2015	700.00	102	HRA Waiver Contribution	2015
12/17/15	EPAY-HRA WAIVER 2015	1,039.28	102	HRA Waiver Contribution	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/18/15	HEALTH PREMIUMS 2015	284,496.20	102	HRA Waiver Contribution	2015
12/21/15	EPAY - HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
12/21/15	HRA WAIVER PREMIUMS 2015	175.00	102	HRA Waiver Contribution	2015
12/29/15	HRA WAIVER DENTAL/VISION PREMIUMS 2015	350.00	102	HRA Waiver Contribution	2015
12/29/15	HRA WAIVER PREMIUMS 2015	1,050.00	102	HRA Waiver Contribution	2015
01/04/16	INTEREST DISTRIBUTION DEC 2015	69,303.50	110	Interest Income	2015
12/02/15	4th QTR MEDICAL INTEREST EARNED, OCTOBER 2015, PY 2015	8.08	110	Interest Income	2015
11/30/15	2ND QUARTER 2015 EXPRESS SCRIPTS REBATES	83,738.47	120	Drug Rebates - Express Scripts	2015
11/30/15	MEDICAL CLAIM RECOVERY OCTOBER 2015, PY 2015	516.50	201	Medical Claims - Humana	2015
12/09/15	CORRECT PLAN YEAR ALLOCATIONS	183.44	201	Medical Claims - Humana	2015
12/04/15	MEDICAL CLAIMS 2015	(3,687.29)	201	Medical Claims - Humana	2015
12/14/15	MEDICAL CLAIMS 2015	(470.10)	201	Medical Claims - Humana	2015
12/28/15	MEDICAL CLAIMS 2015	(175.00)	201	Medical Claims - Humana	2015
12/28/15	HUMANA VITALITY ASO 12/2015	(572,592.06)	202	Humana Admin	2015
12/28/15	HUMANA VITALITY REWARDS 12/2015	(77,587.00)	202	Humana Admin	2015
12/28/15	HUMANA VITALITY COACHING 11/2015	(45,400.00)	202	Humana Admin	2015
12/28/15	HUMANA BIOMETRIC SCREENING	(163,720.00)	202	Humana Admin	2015
12/28/15	HUMANA MAILINGS COACHING CREDIT	3,372.24	202	Humana Admin	2015
12/23/15	RETURN ANTHEM CLAIMS PAYMENT	20,700,326.55	210	Medical Claims - Anthem	2015
12/01/15	MEDICAL CLAIMS- ANTHEM	(15,241,718.82)	210	Medical Claims - Anthem	2015
12/09/15	MEDICAL CLAIMS- ANTHEM	(19,675,926.91)	210	Medical Claims - Anthem	2015
12/15/15	MEDICAL CLAIMS- ANTHEM	(23,100,164.38)	210	Medical Claims - Anthem	2015
12/22/15	MEDICAL CLAIMS- ANTHEM - REIMBURSED	(20,700,326.55)	210	Medical Claims - Anthem	2015
12/23/15	MEDICAL CLAIMS- ANTHEM	(20,700,326.55)	210	Medical Claims - Anthem	2015
12/28/15	MEDICAL CLAIMS- ANTHEM	(20,208,488.66)	210	Medical Claims - Anthem	2015
12/17/15	FIRST ONSITE LLC 11/2015	(51,192.85)	210	Medical Claims - Anthem	2015
12/28/15	MDX INCENTIVES 11-2015	(37,445.00)	210	Medical Claims - Anthem	2015
11/30/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(110,389.82)	213	HRA Embedded Claims - Wage Works	2015
11/30/15	EMBEDDED HRA CLAIM PAYMENTS- WW	(114,834.33)	213	HRA Embedded Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(60,141.01)	213	HRA Embedded Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(68,719.28)	213	HRA Embedded Claims - Wage Works	2015
12/02/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(81,116.89)	213	HRA Embedded Claims - Wage Works	2015
12/03/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(111,136.15)	213	HRA Embedded Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(100,930.50)	213	HRA Embedded Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(186,999.07)	213	HRA Embedded Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(75,070.81)	213	HRA Embedded Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(100,638.90)	213	HRA Embedded Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/10/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(113,853.39)	213	HRA Embedded Claims - Wage Works	2015
12/11/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(105,581.35)	213	HRA Embedded Claims - Wage Works	2015
12/14/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(176,162.75)	213	HRA Embedded Claims - Wage Works	2015
12/15/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(63,891.80)	213	HRA Embedded Claims - Wage Works	2015
12/16/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(89,981.00)	213	HRA Embedded Claims - Wage Works	2015
12/17/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(123,542.82)	213	HRA Embedded Claims - Wage Works	2015
12/18/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(100,258.71)	213	HRA Embedded Claims - Wage Works	2015
12/22/15	HRA CLAIM PAYMENTS (EMBEDDED HRA) - WW	(202,846.73)	213	HRA Embedded Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (EMBEDDED HRA) - WW	(71,871.14)	213	HRA Embedded Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(122,645.37)	213	HRA Embedded Claims - Wage Works	2015
12/28/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(353,650.38)	213	HRA Embedded Claims - Wage Works	2015
12/29/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(35,753.13)	213	HRA Embedded Claims - Wage Works	2015
12/30/15	HRA CLAIM PAYMENTS (HRA EMBEDDED) - WW	(129,991.09)	213	HRA Embedded Claims - Wage Works	2015
11/30/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(135,383.06)	214	HRA Waiver Claims - Wage Works	2015
11/30/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,507.73)	214	HRA Waiver Claims - Wage Works	2015
11/30/15	D&V HRA CLAIM PAYMENTS- WW	(11,951.79)	214	HRA Waiver Claims - Wage Works	2015
11/30/15	WAIVER HRA CLAIM PAYMENTS- WW	(135,300.86)	214	HRA Waiver Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(5,030.29)	214	HRA Waiver Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(90,438.13)	214	HRA Waiver Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(69,637.93)	214	HRA Waiver Claims - Wage Works	2015
12/01/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,967.63)	214	HRA Waiver Claims - Wage Works	2015
12/02/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(98,892.23)	214	HRA Waiver Claims - Wage Works	2015
12/02/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,883.52)	214	HRA Waiver Claims - Wage Works	2015
12/03/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(11,782.27)	214	HRA Waiver Claims - Wage Works	2015
12/03/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(130,313.45)	214	HRA Waiver Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(113,134.49)	214	HRA Waiver Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(12,381.50)	214	HRA Waiver Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(192,458.71)	214	HRA Waiver Claims - Wage Works	2015
12/07/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(28,131.85)	214	HRA Waiver Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(88,150.31)	214	HRA Waiver Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(7,404.74)	214	HRA Waiver Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,482.86)	214	HRA Waiver Claims - Wage Works	2015
12/09/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(118,871.77)	214	HRA Waiver Claims - Wage Works	2015
12/10/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(125,444.31)	214	HRA Waiver Claims - Wage Works	2015
12/10/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(10,314.97)	214	HRA Waiver Claims - Wage Works	2015
12/11/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(120,501.51)	214	HRA Waiver Claims - Wage Works	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/11/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(13,120.50)	214	HRA Waiver Claims - Wage Works	2015
12/14/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(24,084.86)	214	HRA Waiver Claims - Wage Works	2015
12/14/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(212,660.04)	214	HRA Waiver Claims - Wage Works	2015
12/15/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(80,279.37)	214	HRA Waiver Claims - Wage Works	2015
12/15/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(8,920.57)	214	HRA Waiver Claims - Wage Works	2015
12/16/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(14,438.12)	214	HRA Waiver Claims - Wage Works	2015
12/16/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(101,829.34)	214	HRA Waiver Claims - Wage Works	2015
12/17/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(132,391.87)	214	HRA Waiver Claims - Wage Works	2015
12/17/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(21,687.76)	214	HRA Waiver Claims - Wage Works	2015
12/18/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(12,453.76)	214	HRA Waiver Claims - Wage Works	2015
12/18/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(106,634.44)	214	HRA Waiver Claims - Wage Works	2015
12/22/15	HRA CLAIM PAYMENTS (D&V HRA) - WW	(26,180.14)	214	HRA Waiver Claims - Wage Works	2015
12/22/15	HRA CLAIM PAYMENTS (WAIVER HRA) - WW	(209,893.82)	214	HRA Waiver Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (WAIVER HRA) - WW	(86,760.84)	214	HRA Waiver Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (D&V HRA) - WW	(9,876.53)	214	HRA Waiver Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(183,422.62)	214	HRA Waiver Claims - Wage Works	2015
12/23/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(25,687.71)	214	HRA Waiver Claims - Wage Works	2015
12/28/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(394,594.96)	214	HRA Waiver Claims - Wage Works	2015
12/28/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(46,991.44)	214	HRA Waiver Claims - Wage Works	2015
12/29/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(63,949.65)	214	HRA Waiver Claims - Wage Works	2015
12/29/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(6,362.03)	214	HRA Waiver Claims - Wage Works	2015
12/30/15	HRA CLAIM PAYMENTS (HRA WAIVER)- WW	(150,081.34)	214	HRA Waiver Claims - Wage Works	2015
12/30/15	HRA CLAIM PAYMENTS (HRA WAIVER D/V)- WW	(20,567.42)	214	HRA Waiver Claims - Wage Works	2015
12/04/15	ANTHEM NY ASSMT 11/23 - 11/29/15	(69.90)	215	Anthem Admin	2015
12/07/15	ANTHEM ASO FEES 12/2015	(3,891,763.92)	215	Anthem Admin	2015
12/10/15	ANTHEM ASO FEES - COBRA 11/2015	(2,407.68)	215	Anthem Admin	2015
12/28/15	ANTHEM DIABETES PREV 12/15	(17,157.00)	215	Anthem Admin	2015
12/28/15	ANTHEM MI HICA TAX 12/14 - 12/20/2015	(354.21)	215	Anthem Admin	2015
12/28/15	MANUAL COR REFUNDS	2,464.98	215	Anthem Admin	2015
12/30/15	ANTHEM NY ASSMT 12/21 - 12/27/15	(69.90)	215	Anthem Admin	2015
12/11/15	KDE NOV 2015 ASO FEE PMT	29,355.20	216	Wage Works Admin	2015
12/07/15	COBRA ADMIN FEES 11/15 - WW	(44,189.00)	216	Wage Works Admin	2015
12/10/15	ADMIN FEES 11/2015 - WW	(340,440.80)	216	Wage Works Admin	2015
12/16/15	MDX/COMPASS - SMARTSHOPPER ADMIN 12-2015	(78,259.80)	217	MDX Medical Admin - Compass Choice	2015
12/02/15	CAREMARK CLAIMS	(6,027,418.53)	310	Pharmacy Claims - CVS	2015
12/09/15	CAREMARK CLAIMS	(7,283,980.65)	310	Pharmacy Claims - CVS	2015
12/17/15	CAREMARK CLAIMS	(9,109,672.95)	310	Pharmacy Claims - CVS	2015
12/28/15	CAREMARK CLAIMS	(9,110,378.71)	310	Pharmacy Claims - CVS	2015
12/04/15	CVS CAREMARK 11/2015 PERFORMANCE GUARANTEE ADJ	11,250.00	311	CVS Admin	2015
12/04/15	CVS CAREMARK 11/24 - 11/30/2015 PHARMACY AUDIT ADJ	1,942.98	311	CVS Admin	2015

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Date	Description	Amount	LRC Code	LRC Description	Plan Year
12/04/15	CVS CAREMARK 10/2015 APPEALS	(25,220.00)	311	CVS Admin	2015
12/04/15	CVS CAREMARK 10/2015 PRIOR AUTHORIZATION	(43,260.00)	311	CVS Admin	2015
12/04/15	CVS CAREMARK 10/2015 NURSING	(4,800.00)	311	CVS Admin	2015
12/04/15	CVS CAREMARK 10/2015 DRUG SAVING REVIEW	(108,917.46)	311	CVS Admin	2015
12/04/15	CVS CAREMARK 11/24 - 11/30/2015	(93,571.60)	311	CVS Admin	2015
12/10/15	CVS CAREMARK 12/01 - 12/07/2015	(105,841.80)	311	CVS Admin	2015
12/15/15	CVS CAREMARK 11/08 - 11/15/2015	(113,248.25)	311	CVS Admin	2015
12/22/15	CVS CAREMARK 12/08 - 12/15/2015	(125,159.05)	311	CVS Admin	2015
12/29/15	CVS CAREMARK 12/16 - 12/23/2015	(136,714.10)	311	CVS Admin	2015
12/04/15	TRUVEN INFORMED ENROLLMENT INFO	(278,000.00)	501	Operating Transfer/Exp & Adj	2015
12/04/15	TRUVEN INFORMED ENROLLMENT POSTAGE	(52,136.25)	501	Operating Transfer/Exp & Adj	2015
December Activity		(2,491,393.07)			
Ending Balance		<u>\$531,371,411.23</u>			

December Account Summary		
101	Premiums	139,347,569.04
102	HRA Waiver Contribution	1,023,160.48
110	Interest Income	117,052.69
120	Drug Rebates - Express Scripts	83,738.47
121	Drug Rebates - CVS	0.00
130	Transfers From other plan year(s)	0.00
201	Medical Claims - Humana	(40,134.15)
210	Medical Claims - Anthem	(99,015,263.17)
202	Humana Admin	(863,591.97)
203	HRA Embedded Claims - Humana	15.11
213	HRA Embedded Claims - Wage Works	(2,700,006.42)
204	HRA Waiver Claims - Humana	0.00
214	HRA Waiver Claims - Wage Works	(3,498,235.04)
215	Anthem Admin	(3,909,357.63)
216	Wage Works Admin	(355,274.60)
217	MDX Medical Admin - Compass Choice	(78,259.80)
301	Pharmacy Claims - Express Scripts	0.00
310	Pharmacy Claims - CVS	(31,531,450.84)
302	Express Scripts Admin	0.00
311	CVS Admin	(743,539.28)
500	Transfers To other plan year(s)	0.00
501	Operating Transfer/ Exp & Adj	(327,815.96)
December Activity		(2,491,393.07)

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PLAN YEAR 2012														
2015	101	102	110	120	121	130	201	202	203	204	301	302	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES- ESCRIPTS	DRUG REBATES- CVS	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2014 Balance Forward	1,599,840,340.77	47,805,724.93	89,614.38	33,581,171.36	0.00	110,197,550.06	(1,185,012,189.28)	(72,317,630.36)	(16,579,973.31)	(42,319,577.74)	(346,837,737.26)	(9,341,129.26)	(9,315,834.41)	\$109,790,329.88
January-15	0.00	0.00	6,448.84	0.00	0.00	0.00	175,439.45	0.00	(795.35)	556.65	0.07	(3.87)	0.00	\$181,645.79
February-15	0.00	0.00	7,145.87	0.00	0.00	0.00	(57,818.33)	0.00	0.00	0.00	(3,812.05)	(230.00)	0.00	(\$54,714.51)
March-15	0.00	0.00	9,442.43	0.00	0.00	0.00	202,617.77	(990.00)	0.00	0.00	3.14	(15.00)	0.00	\$211,058.34
April-15	0.00	0.00	9,716.04	0.00	0.00	0.00	92,667.56	0.00	0.00	(365.00)	0.00	0.00	0.00	\$102,018.60
May-15	0.00	0.00	9,333.77	0.00	0.00	0.00	(20,309.94)	(9,543.12)	0.00	(21.20)	0.00	(132.50)	0.00	(\$20,672.99)
June-15	0.00	0.00	9,036.44	0.00	0.00	0.00	99,705.83	(3,283.92)	0.00	0.00	0.00	0.00	(93,000,000.00)	(\$92,894,541.65)
July-15	0.00	0.00	1,723.34	0.00	0.00	0.00	141,499.02	0.00	(116.19)	(8,501.89)	0.00	0.00	0.00	\$134,604.28
August-15	0.00	0.00	1,849.82	0.00	0.00	0.00	82,506.49	0.00	(111.19)	(4,720.66)	0.00	0.00	0.00	\$79,524.46
September-15	0.00	0.00	1,573.33	0.00	0.00	0.00	(201,929.09)	0.00	0.00	(246.48)	0.00	0.00	0.00	(\$200,602.24)
October-15	0.00	0.00	1,652.52	0.00	0.00	0.00	(8,876.90)	(4.01)	(653.27)	(6,519.42)	931.04	0.00	0.00	(\$13,470.04)
November-15	0.00	0.00	1,731.80	0.00	0.00	0.00	60,506.48	0.00	0.00	0.00	0.00	0.00	0.00	\$62,238.28
December-15	0.00	0.00	3,809.78	0.00	0.00	0.00	79,490.64	(21.59)	0.00	0.00	0.00	0.00	0.00	\$83,278.83
Plan Year Transactions to Date	1,599,840,340.77	47,805,724.93	153,078.36	33,581,171.36	0.00	110,197,550.06	(1,184,366,690.30)	(72,331,473.00)	(16,581,649.31)	(42,339,395.74)	(346,840,615.06)	(9,341,510.63)	(102,315,834.41)	\$17,460,697.03

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PLAN YEAR 2012														
2014	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2013 Balance Forward	1,599,837,084.81	47,805,724.93	54,248.60	33,269,304.98	0.00	(1,188,158,105.61)	(72,301,788.87)	(16,590,120.83)	(42,352,116.18)	(346,961,390.71)	(9,340,081.00)	0.00	(9,273,024.00)	(\$4,010,263.88)
January-14	1,137.02	0.00	(302.44)	136,307.71	0.00	125,186.37	(4,867.27)	1,692.95	4,573.94	(729.95)	(488.18)	0.00	0.00	\$262,510.15
February-14	573.60	0.00	(417.50)	0.00	0.00	(606,961.33)	0.00	579.20	346.39	(11,758.29)	(62.50)	0.00	0.00	(\$617,700.43)
March-14	1,545.34	0.00	(384.02)	2,462.23	0.00	956,559.80	(5,992.28)	891.89	3,487.06	(946.57)	(60.00)	0.00	0.00	\$957,563.45
April-14	0.00	0.00	(226.71)	0.00	0.00	149,010.62	(829.59)	2,267.46	4,828.83	(3,327.70)	(297.50)	0.00	(42,810.41)	\$108,615.00
May-14	0.00	0.00	(217.51)	0.00	0.00	(299,077.43)	(2,832.66)	1,131.44	8,430.78	(37.34)	(5.00)	0.00	0.00	(\$292,607.72)
June-14	0.00	0.00	(148.08)	(664.07)	0.00	491,655.54	0.00	2,063.75	4,789.71	139,328.99	(5.00)	0.00	0.00	\$637,020.84
July-14	0.00	0.00	(96.42)	0.00	110,463,130.17	173,250.61	0.00	1,447.89	3,889.05	31.40	0.00	0.00	0.00	\$110,641,652.70
August-14	0.00	0.00	6,064.03	173,760.51	(209,965.70)	455,770.84	(48.12)	546.91	1,060.67	8,037.02	(7.50)	0.00	0.00	\$435,218.66
September-14	0.00	0.00	7,129.23	0.00	(54,222.38)	524,543.51	(76.77)	(67.63)	430.90	0.00	0.00	0.00	0.00	\$477,736.86
October-14	0.00	0.00	8,310.03	0.00	(1,392.03)	397,112.63	(125.95)	(272.10)	471.11	(14,601.47)	(87.50)	0.00	0.00	\$389,414.72
November-14	0.00	0.00	6,770.87	0.00	0.00	(90,169.84)	(1,034.63)	0.00	0.00	0.00	(2.50)	0.00	0.00	(\$84,436.10)
December-14	0.00	0.00	8,884.30	0.00	0.00	869,035.01	(34.22)	(134.24)	230.00	7,657.36	(32.58)	0.00	0.00	\$885,605.63
Plan Year Transactions to Date	1,599,840,340.77	47,805,724.93	89,614.38	33,581,171.36	110,197,550.06	(1,185,012,189.28)	(72,317,630.36)	(16,579,973.31)	(42,319,577.74)	(346,837,737.26)	(9,341,129.26)	0.00	(9,315,834.41)	\$109,790,329.88

1 Per HB 235 plan year balances for 2010 and 2011 as of December 31, 2013, and all subsequent balances from those plan years were transferred to Plan Year 2012.

Kentucky Employees’ Health Plan – Status Report
Attachment B

PLAN YEAR 2012														
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2012 Balance Forward	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)	\$32,464,441.03
January-13	66,421,106.81	9,450.00	4,307.68	0.00	0.00	(76,885,056.11)	0.00	(407,608.90)	(1,383,439.57)	(6,091,143.45)	(163,146.01)	0.00	(80,659.29)	(\$18,576,188.84)
February-13	430,241.46	5,250.00	4,550.03	0.00	0.00	(21,355,755.60)	(209,880.10)	(169,484.78)	(668,980.55)	37,270.85	(7,250.91)	0.00	0.00	(\$21,934,039.60)
March-13	747,225.35	(2.68)	(1,163.92)	0.00	0.00	(6,843,059.91)	(446,934.16)	(395,028.68)	(1,125,285.69)	(19,148.52)	(723.98)	0.00	0.00	(\$8,084,122.19)
April-13	(279.22)	(347.32)	(2,894.80)	8,588,953.09	0.00	(4,939,473.95)	(35,999.14)	(340,343.04)	(1,145,075.24)	(6,931.34)	(742.16)	0.00	0.00	\$2,116,866.88
May-13	(318.85)	20,959.92	(1,811.71)	0.00	0.00	(2,424,459.00)	(25,226.37)	(66,565.95)	(136,665.53)	(18,170.00)	(178.82)	0.00	0.00	(\$2,652,436.31)
June-13	518.06	(20,959.92)	(1,555.69)	9,430,603.62	0.00	2,900,988.31	0.00	429.10	2,504.65	403.21	(345.50)	0.00	0.00	\$12,312,585.84
July-13	(2,027.16)	0.00	(390.07)	0.00	0.00	(550,123.94)	(16,272.95)	(1,364.84)	(16,388.26)	(10,680.16)	(510.00)	0.00	0.00	(\$597,757.38)
August-13	(4,323.19)	0.00	(487.02)	0.00	0.00	160,199.26	(5,212.32)	4,201.81	10,857.63	6,608.11	(503.82)	0.00	0.00	\$171,340.46
September-13	125,503.82	0.00	(548.71)	(302,712.23)	0.00	(803,016.89)	(17,232.89)	39,613.72	49,578.91	(679.98)	(80.00)	0.00	0.00	(\$909,574.25)
October-13	220.00	0.00	(495.19)	0.00	0.00	133,041.89	(9,874.47)	(1,444.23)	(1,289.35)	1,530.38	(31.46)	0.00	0.00	\$121,657.57
November-13	2,198.38	0.00	(357.70)	0.00	0.00	290,892.39	0.00	(407.30)	(2,890.11)	0.00	(12.50)	0.00	0.00	\$289,423.16
December-13	71.04	0.00	(431.54)	0.00	0.00	1,255,715.22	(2,319.76)	8,226.36	6,278.43	0.00	0.00	0.00	0.00	\$1,267,539.75
Plan Year Transactions to Date	1,599,837,084.81	47,805,724.93	54,248.60	33,269,304.98	0.00	(1,188,158,105.61)	(72,301,788.87)	(16,590,120.83)	(42,352,116.18)	(346,961,390.71)	(9,340,081.00)	0.00	(9,273,024.00)	(\$4,010,263.88)

203 September 2013 expenses have been reduced by \$50,563.55, the net result of a refund from Humana and a payment to Humana for duplicated claims refunds.
204 September 2013 expenses have been reduced by \$64,346.13, the net result of a refund from Humana and a payment to Humana for duplicated claims refunds.

Kentucky Employees' Health Plan – Status Report
Attachment B

PLAN YEAR 2012														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-12	69,528,948.14	4,053,197.91	0.00	0.00	0.00	(23,704,204.41)	(5,825,992.95)	(1,275,395.17)	(2,973,345.92)	(26,492,934.54)	(525,989.29)	0.00	(1,446,700.00)	\$11,337,583.77
February-12	130,592,230.40	4,095,844.16	85.18	0.00	0.00	(80,086,211.16)	(5,784,867.09)	(1,428,846.68)	(3,293,644.17)	(19,708,117.54)	(781,779.35)	0.00	(650,000.00)	\$22,954,693.75
March-12	135,681,761.89	4,049,823.20	562.20	0.00	0.00	(83,762,360.41)	(5,822,455.25)	(1,647,570.51)	(3,540,723.01)	(33,181,997.87)	(896,089.76)	0.00	(400,000.00)	\$10,480,950.48
April-12	120,965,344.25	3,947,101.33	3,498.15	0.00	0.00	(98,967,063.12)	(5,962,894.37)	(1,542,576.95)	(3,338,222.90)	(26,060,773.16)	(750,575.79)	0.00	(2,579,500.00)	(\$14,285,662.56)
May-12	131,051,730.72	4,051,460.97	26,693.10	0.00	0.00	(98,470,716.25)	(6,035,691.61)	(1,523,255.88)	(3,518,145.59)	(32,746,779.20)	(624,466.72)	0.00	0.00	(\$7,789,170.46)
June-12	123,669,128.01	2,160,377.56	3,328.15	0.00	0.00	(93,812,170.74)	(6,024,074.49)	(1,408,270.13)	(3,735,852.34)	(27,178,069.80)	(651,847.04)	0.00	(300,000.00)	(\$7,277,450.82)
July-12	158,601,891.14	2,582,010.48	3,175.00	0.00	0.00	(105,978,992.50)	(5,770,981.74)	(1,427,629.74)	(3,933,256.06)	(33,228,594.36)	(1,126,430.99)	0.00	(2,075,000.00)	\$7,646,191.23
August-12	134,691,134.90	3,938,126.10	8,261.54	0.00	0.00	(107,303,748.66)	(5,905,006.32)	(1,209,480.27)	(3,212,848.87)	(26,239,257.41)	(842,445.57)	0.00	800,000.00	(\$5,275,264.56)
September-12	73,635,254.66	3,905,917.68	3,047.25	7,612,407.60	0.00	(85,118,148.95)	(6,259,113.64)	(871,481.45)	(2,410,812.81)	(26,448,775.65)	(592,972.44)	0.00	(115,000.00)	(\$36,659,677.75)
October-12	189,825,294.96	7,029,503.50	(4,719.05)	0.00	0.00	(106,957,543.28)	(5,762,839.68)	(1,029,292.15)	(2,760,235.33)	(33,480,572.63)	(724,384.70)	0.00	(2,092,895.54)	\$44,042,316.10
November-12	132,136,802.40	3,991,012.86	5,425.11	0.00	0.00	(104,244,012.02)	(5,775,468.47)	(969,656.03)	(2,705,702.07)	(26,368,503.83)	0.00	0.00	(290,104.00)	(\$4,220,206.05)
December-12	131,737,426.84	3,986,999.18	6,170.61	7,940,052.90	0.00	(90,692,825.78)	(6,603,451.10)	(926,889.14)	(2,518,532.43)	(29,726,073.82)	(1,649,574.19)	0.00	(43,165.17)	\$11,510,137.90
Plan Year Transactions to Date	1,532,116,948.31	47,791,374.93	55,527.24	15,552,460.50	0.00	(1,079,097,997.28)	(71,532,836.71)	(15,260,344.10)	(37,941,321.50)	(340,860,449.81)	(9,166,555.84)	0.00	(9,192,364.71)	\$32,464,441.03

1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012. If this payment had been included in the September 2012 health insurance premiums the Cash Transactions balance for September-12 would have been a positive \$15,807,621.64.

PLAN YEAR 2013												
2015	101	102	110	120	201	202	203	204	301	302	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES- ESCRPTS	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2014 Balance Forward	1,598,884,831.38	46,193,376.19	89,162.16	37,769,920.85	(1,194,776,188.92)	(72,291,381.68)	(17,110,444.18)	(41,571,659.95)	(346,261,500.28)	(9,198,798.13)	681,563.47	\$2,408,880.91
January-15	0.00	0.00	142.03	0.00	431,066.75	0.00	949.18	6,660.14	2,288.06	(24.03)	0.00	\$441,082.13
February-15	0.00	0.00	185.19	0.00	(477,835.59)	(1,725.14)	0.00	0.00	(17,787.78)	(1,601.28)	0.00	(\$498,764.60)
March-15	0.00	0.00	244.70	0.00	307,722.49	(3,613.80)	0.00	0.00	6,691.53	(76.61)	0.00	\$310,968.31
April-15	0.00	0.00	235.34	0.00	846,201.75	(5,287.20)	0.00	0.00	0.00	0.00	0.00	\$841,149.89
May-15	0.00	0.00	296.65	0.00	(377,819.70)	(8,245.45)	1,820.48	7,060.88	0.00	(21.29)	0.00	(\$376,908.43)
June-15	0.00	0.00	256.35	0.00	96,823.41	131,918.36	0.00	0.00	0.00	(15.00)	0.00	\$228,983.12
July-15	0.00	0.00	333.96	0.00	971,491.83	0.00	0.00	0.00	0.00	0.00	0.00	\$971,825.79
August-15	0.00	0.00	358.46	0.00	(49,634.88)	(4,612.85)	0.00	0.00	0.00	0.00	0.00	(\$53,889.27)
September-15	0.00	0.00	383.55	0.00	(561,808.20)	(313.05)	0.00	0.00	0.00	0.00	85,687,746.30	\$85,126,008.60
October-15	0.00	0.00	8,525.42	0.00	(710.62)	(530.61)	0.00	0.00	37,524.06	0.00	0.00	\$44,808.25
November-15	0.00	0.00	8,945.89	0.00	103,061.38	68.68	0.00	0.00	0.00	0.00	0.00	\$112,075.95
December-15	0.00	0.00	19,634.11	0.00	82,121.01	(71.32)	0.00	0.00	0.00	0.00	0.00	\$101,683.80
Plan Year Transactions to Date	1,598,884,831.38	46,193,376.19	128,703.81	37,769,920.85	(1,193,405,509.29)	(72,183,794.06)	(17,107,674.52)	(41,557,938.93)	(346,232,784.41)	(9,200,536.34)	86,369,309.77	\$89,657,904.45

501 Prior to the September 2015 report the plan year 2013 balance included ERRP funds in the amount of \$10,159,793.00 and plan year 2014 included ERRP funds in the amount of \$85,687,746.30. The September 2015 report includes an entry transferring ERRP funds in the amount of \$85,687,746.30 from plan year 2014 to plan year 2013. Per ERRP guidelines concerning maintenance of contribution, it was determined to use all ERRP funds for claims incurred in plan year 2013. Specifically, KEHP had claims of approximately \$130 million incurred in plan year 2013 but paid in plan year 2014. The plan year 2014 balance now includes no ERRP funds and the plan year 2013 balance includes ERRP funds in the amount of \$95,847,539.30.

PLAN YEAR 2013														
2014	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2013 Balance Forward	1,533,384,047.87	46,186,551.19	79,790.06	17,517,925.22	0.00	(1,076,115,537.17)	(71,308,826.85)	(16,148,958.49)	(38,184,591.64)	(337,129,949.87)	(7,962,611.78)	0.00	377,594.69	\$50,695,433.23
January-14	63,583,286.01	6,650.00	1,768.99	(136,307.71)	0.00	(81,577,661.42)	(638,749.06)	(370,054.23)	(1,327,447.90)	(9,159,983.27)	(896,017.68)	0.00	0.00	(\$30,514,516.27)
February-14	1,591,766.21	0.00	5,994.35	9,798,339.70	0.00	(20,068,536.01)	0.00	(196,601.31)	(669,552.22)	53,015.73	(399,019.24)	0.00	0.00	(\$9,884,592.79)
March-14	291,041.50	175.00	905.76	(2,462.23)	0.00	(7,709,045.81)	(214,683.60)	(278,116.54)	(842,804.55)	3,017.41	(314.66)	0.00	0.00	(\$8,752,287.72)
April-14	21,425.42	0.00	106.59	0.00	0.00	(4,178,949.10)	(77,559.59)	(170,543.85)	(659,529.86)	(3,113.42)	60,156.74	0.00	(44,704.22)	(\$5,052,711.29)
May-14	3,618.88	0.00	(231.01)	0.00	0.00	(4,798,274.38)	(14,758.41)	4,069.74	22,675.75	(29,705.87)	(76.53)	0.00	0.00	(\$4,812,681.83)
June-14	4,167.68	0.00	(343.07)	10,439,237.36	0.00	1,087,626.65	(9,003.16)	29,508.96	51,166.44	(5,123.63)	(701.36)	0.00	0.00	\$11,596,535.87
July-14	2,194.58	0.00	106.87	0.00	0.00	204,310.71	0.00	9,553.63	18,814.79	1,362.53	(69.43)	0.00	(501,327.00)	(\$265,053.32)
August-14	3,283.23	0.00	172.42	153,188.51	0.00	(221,048.69)	(7,103.45)	3,110.30	1,434.74	7,414.30	(26.37)	0.00	850,000.00	\$790,424.99
September-14	0.00	0.00	250.60	0.00	0.00	(387,121.61)	(14,882.43)	3,343.22	6,712.27	0.00	(67.66)	0.00	0.00	(\$391,765.61)
October-14	0.00	0.00	262.46	0.00	0.00	(249,238.21)	(2,147.83)	918.02	4,191.01	(1,097.66)	(36.29)	0.00	0.00	(\$247,148.50)
November-14	0.00	0.00	196.41	0.00	0.00	(933,809.88)	(2,579.32)	1,146.47	1,054.77	0.00	(2.50)	0.00	0.00	(\$933,994.05)
December-14	0.00	0.00	181.73	0.00	0.00	171,096.00	(1,087.98)	2,179.90	6,216.45	2,663.47	(11.37)	0.00	0.00	\$181,238.20
Plan Year Transactions to Date	1,598,884,831.38	46,193,376.19	89,162.16	37,769,920.85	0.00	(1,194,776,188.92)	(72,291,381.68)	(17,110,444.18)	(41,571,659.95)	(346,261,500.28)	(9,198,798.13)	0.00	681,563.47	\$2,408,880.91

PLAN YEAR 2013														
2013	101 PREMIUMS	102 CONTRIBUTION	110 INTEREST	120 DRUG	130 FROM OTHER	201 MEDICAL CLAIMS	202 HUMANA ADMIN FEES	203 HRA SELECT	204 HRA WAIVER CLAIMS	301 PHARMACY CLAIMS	302 EXPRESS	500 OTHER PLAN	501 TRANSFER/EXP &	TOTAL CASH
2012 Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-13	68,143,104.47	3,896,142.88	0.00	0.00	0.00	(24,391,801.37)	(5,813,983.54)	(1,490,177.99)	(3,070,020.72)	(25,373,655.71)	(521,631.71)	0.00	(2,057,300.00)	\$9,320,676.31
February-13	133,018,873.82	3,890,760.30	1,320.05	0.00	0.00	(72,563,728.72)	(5,812,964.61)	(1,590,383.86)	(3,239,528.86)	(26,604,891.63)	(744,105.42)	0.00	5,778,266.70	\$32,133,617.77
March-13	130,715,440.97	3,894,458.10	6,017.56	0.00	0.00	(82,001,826.68)	(5,821,602.35)	(1,731,695.08)	(3,568,088.26)	(26,521,898.58)	(738,400.84)	0.00	5,755,543.08	\$19,987,947.92
April-13	132,577,415.72	3,919,850.22	11,026.91	0.00	0.00	(98,732,925.69)	(5,988,858.01)	(1,719,677.11)	(3,784,878.95)	(32,735,961.04)	(843,777.57)	0.00	2,941,084.90	(\$4,356,700.62)
May-13	136,896,674.07	4,142,786.74	7,387.54	0.00	0.00	(95,482,679.08)	(5,990,049.29)	(1,482,968.84)	(3,424,475.83)	(25,050,093.03)	(705,330.41)	0.00	5,731,422.62	\$14,642,674.49
June-13	118,632,875.86	3,316,380.37	7,745.88	0.00	0.00	(87,031,148.02)	(5,807,157.33)	(1,341,570.53)	(3,373,926.71)	(26,727,085.13)	(811,833.62)	0.00	5,721,704.14	\$2,585,984.91
July-13	150,893,611.84	4,169,930.69	6,945.49	0.00	0.00	(103,087,599.46)	(6,168,607.49)	(1,497,741.86)	(3,862,501.02)	(32,282,673.90)	(684,503.07)	0.00	8,673,443.42	\$16,160,304.64
August-13	133,241,742.48	3,763,276.15	8,918.17	0.00	0.00	(107,385,933.15)	(5,961,421.65)	(1,146,422.94)	(3,063,185.96)	(25,888,297.37)	(812,117.27)	0.00	0.00	(\$7,243,441.54)
September-13	131,014,807.22	3,710,447.46	9,555.72	9,021,550.77	0.00	(88,786,058.33)	(11,591,988.33)	(1,028,723.40)	(2,609,909.08)	(26,214,351.80)	(712,735.11)	0.00	(33,205.50)	\$12,779,389.62
October-13	132,680,129.20	3,818,531.80	8,372.46	0.00	0.00	(108,872,004.96)	(544,134.31)	(1,119,636.12)	(2,857,527.40)	(34,275,695.92)	(725,994.47)	0.00	(2,117,509.79)	(\$14,005,469.51)
November-13	130,272,794.65	3,813,602.82	5,517.28	8,496,374.45	0.00	(102,345,802.19)	(5,781,719.52)	(918,087.80)	(2,789,485.00)	(27,228,270.39)	(127,260.23)	0.00	0.00	\$3,397,664.07
December-13	135,296,577.57	3,850,383.66	6,983.00	0.00	0.00	(105,434,029.52)	(6,026,340.42)	(1,081,872.96)	(2,541,063.85)	(28,227,075.37)	(534,922.06)	0.00	(30,015,854.88)	(\$34,707,214.83)
Plan Year Transactions to Date	1,533,384,047.87	46,186,551.19	79,790.06	17,517,925.22	0.00	(1,076,115,537.17)	(71,308,826.85)	(16,148,958.49)	(38,184,591.64)	(337,129,949.87)	(7,962,611.78)	0.00	377,594.69	\$50,695,433.23

501 The plan year 2013 balance includes ERRP funds transferred from the 2512 fund in the amount of \$10,159,793.00

1 The September 2013 Humana Admin Fees (202) balance is overstated \$5,693,175.58. A Revenue department intercept placed on Humana payments caused a payment to be voided and reissued. The initial payment c was not voided until October 1, 2013. If the initial document had been voided in September 2013 the Humana Admin Fees balance would have been \$5,898,812.75 and the September 2013 Total Cash Transactions bal have been \$18,472,565.20.

2 The Humana administrative fees payment voided in September 2013 was deposited on October 1, 2013. This reversal transaction decreased the Humana Administrative Fees (202) balance by \$5,693,175.58.

Kentucky Employees’ Health Plan – Status Report
Attachment B

PLAN YEAR 2014															
2015	101	102	110	120	201	202	203	204	210	213	214	301	302	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES- ESCRIPTS	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS	HRA WAIVER CLAIMS	MEDICAL CLAIMS- ANTHEM	HRA EMBEDDED CLAIMS- WW	HRA WAIVER CLAIMS- WW	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2014 Balance Forward	1,539,869,384.92	46,533,540.19	131,949.55	22,622,803.30	(984,742,627.91)	(72,105,124.80)	(35,357,080.07)	(38,782,069.61)	0.00	0.00	0.00	(318,374,631.77)	(10,815,533.72)	74,981,924.76	\$223,962,534.84
January-15	72,086,638.19	7,955.16	13,371.49	0.00	(69,564,924.10)	(6,192,926.14)	(878,632.50)	(1,317,160.60)	0.00	0.00	0.00	(3,712,490.77)	(808,614.54)	(11,813,970.00)	(\$22,180,753.81)
February-15	511,366.25	1,750.00	13,111.58	0.00	(18,621,263.11)	(362,841.08)	0.00	0.00	0.00	(348,178.00)	(807,051.57)	(77,849.76)	(414,725.53)	(15.00)	(\$20,105,696.22)
March-15	198,670.94	0.00	17,325.41	12,767,269.43	(7,690,757.95)	6,905,042.31	0.00	0.00	0.00	(347,373.77)	(800,863.89)	(151,199.22)	(313.70)	0.00	\$10,897,799.56
April-15	913.17	0.00	16,998.89	0.00	(2,385,026.59)	(64,836.12)	(500.00)	(1,596.56)	0.00	(269,667.74)	(741,298.90)	(1,600.20)	0.00	0.00	(\$3,446,614.05)
May-15	1,316.94	0.00	16,016.72	0.00	(2,759,412.39)	(73,289.19)	23,143.23	43,305.45	0.00	(36,786.98)	(100,948.87)	0.00	(261.61)	0.00	(\$2,886,916.70)
June-15	412.98	0.00	15,270.44	13,599,360.87	(919,901.20)	(63,040.80)	0.00	0.00	0.00	(889.38)	(2,483.16)	0.00	97,618.24	486,213.00	\$13,212,560.99
July-15	0.00	0.00	19,854.60	0.00	1,979,490.02	0.00	0.00	0.00	0.00	(892.37)	0.00	0.00	(1.29)	0.00	\$1,998,450.96
August-15	0.00	0.00	21,308.04	0.00	(744,313.61)	(8,767.29)	9,278.97	16,306.26	0.00	(138.00)	(898.68)	0.00	0.00	0.00	(\$707,224.31)
September-15	0.00	0.00	18,017.73	0.00	(1,621,069.16)	(234,311.67)	132.84	779.37	0.00	0.00	0.00	0.00	0.00	(85,687,746.30)	(\$87,524,197.19)
October-15	0.00	0.00	10,797.03	0.00	(234,251.04)	(89,563.38)	(86.00)	(359.82)	0.00	0.00	0.00	6,243.52	0.00	(2,362,794.00)	(\$2,670,013.69)
November-15	0.00	0.00	11,056.83	0.00	259,922.88	(2,746.73)	0.00	(34.60)	0.00	0.00	0.00	0.00	0.00	0.00	\$268,198.38
December-15	0.00	0.00	24,297.22	0.00	(198,113.35)	(7,572.24)	15.11	0.00	0.00	0.00	0.00	0.00	0.00	2,320.29	(\$179,052.97)
Plan Year Transactions to Date	1,612,668,703.39	46,543,245.35	329,375.53	48,989,433.60	(1,087,242,247.51)	(72,299,977.13)	(36,203,728.42)	(40,040,830.11)	0.00	(1,003,926.24)	(2,453,545.07)	(322,311,528.20)	(11,941,832.15)	(24,394,067.25)	\$110,639,075.79

501 Prior to the September 2015 report the plan year 2013 balance included ERRP funds in the amount of \$10,159,793.00 and plan year 2014 included ERRP funds in the amount of \$85,687,746.30. The September 2015 report includes an entry transferring ERRP funds in the amount of \$85,687,746.30 from plan year 2014 to plan year 2013. Per ERRP guidelines concerning maintenance of contribution, it was determined to use all ERRP funds for claims incurred in plan year 2013. Specifically, KEHP had claims of approximately \$130 million incurred in plan year 2013 but paid in plan year 2014. The plan year 2014 balance now includes no ERRP funds and the plan year 2013 balance includes ERRP funds in the amount of \$95,847,539.30.

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PLAN YEAR 2014														
2014	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2013 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$0.00
January-14	69,101,980.14	3,787,362.36	0.00	0.00	0.00	(18,390,064.46)	(6,249,431.45)	(2,988,499.77)	(3,016,722.53)	(16,247,927.73)	(488,624.08)	0.00	(1,866,900.00)	\$23,641,172.48
February-14	135,496,466.71	3,859,916.28	6,569.89	0.00	0.00	(53,504,998.59)	(6,263,205.86)	(3,640,528.80)	(3,111,297.86)	(20,208,764.29)	(542,776.53)	0.00	29,034,679.96	\$81,126,060.91
March-14	135,333,812.18	3,895,117.96	9,216.25	0.00	0.00	(72,567,058.31)	(6,281,044.85)	(3,846,005.30)	(3,439,241.60)	(22,937,460.21)	(859,431.34)	0.00	13,368,046.88	\$42,675,951.66
April-14	132,223,489.21	3,936,236.96	9,975.94	0.00	0.00	(88,214,346.37)	(6,860,565.35)	(3,789,131.81)	(3,532,040.66)	(28,985,023.99)	(1,063,589.63)	0.00	12,156,907.37	\$15,881,911.67
May-14	134,947,560.50	3,912,530.54	10,774.34	0.00	0.00	(75,690,132.73)	(6,587,666.50)	(3,311,119.40)	(3,375,748.63)	(23,789,229.56)	(760,712.01)	0.00	13,309,287.96	\$38,665,544.51
June-14	119,483,371.51	3,528,162.10	8,327.61	0.00	0.00	(84,769,323.04)	(6,634,948.96)	(3,090,944.49)	(3,435,402.32)	(26,477,797.19)	(1,251,633.20)	0.00	13,368,012.05	\$10,727,824.07
July-14	138,025,282.77	4,208,722.59	6,941.37	0.00	0.00	(93,773,688.83)	(6,143,204.50)	(3,089,719.36)	(3,790,277.99)	(32,033,304.86)	(934,909.90)	0.00	(2,742,674.46)	(\$266,833.17)
August-14	148,575,154.11	3,769,939.46	12,125.51	0.00	0.00	(90,663,113.99)	(6,670,893.44)	(2,497,381.86)	(2,955,044.60)	(25,106,809.68)	(932,385.79)	0.00	(19,330.00)	\$23,512,259.72
September-14	129,714,724.19	3,714,683.48	15,558.74	10,974,642.57	0.00	(97,315,021.30)	(6,867,388.73)	(2,438,965.48)	(2,761,486.11)	(32,370,296.06)	(872,509.55)	0.00	950,000.00	\$2,743,941.75
October-14	134,700,273.21	3,910,819.84	18,477.14	0.00	0.00	(107,504,469.32)	(6,486,927.40)	(2,237,186.80)	(2,877,057.42)	(27,276,971.21)	(1,124,614.08)	0.00	(1,772,100.00)	(\$10,649,756.04)
November-14	127,960,832.20	3,999,569.95	14,167.93	11,648,160.73	0.00	(90,172,751.79)	(6,491,741.20)	(1,833,514.30)	(2,566,650.93)	(27,079,390.63)	(994,567.88)	0.00	349,995.00	\$14,834,109.08
December-14	134,306,438.19	4,010,478.67	19,814.83	0.00	0.00	(112,177,659.18)	(568,106.56)	(2,594,082.70)	(3,921,098.96)	(35,861,656.36)	(989,779.73)	0.00	(1,154,000.00)	(\$18,929,651.80)
Plan Year Transactions to Date	1,539,869,384.92	46,533,540.19	131,949.55	22,622,803.30	0.00	(984,742,627.91)	(72,105,124.80)	(35,357,080.07)	(38,782,069.61)	(318,374,631.77)	(10,815,533.72)	0.00	74,981,924.76	\$223,962,534.84

PLAN YEAR 2015														
2015	101	102	110	120	121	130	201	202	203	204	210	213	214	215
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES- ESCRPTS	DRUG REBATES- CVS	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS	HRA WAIVER CLAIMS	MEDICAL CLAIMS- ANTHEM	HRA EMBEDDED CLAIMS- WW	HRA WAIVER CLAIMS- WW	ANTHEM ADMIN
2014 Balance Forward	122.98	0.00	0.00	0.00	0.00	0.00	0.00	(8,875,995.00)	0.00	0.00	0.00	0.00	0.00	0.00
January-15	51,699,622.43	3,872,172.35	(521.35)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(7,665,762.08)	(3,028,780.37)	(2,361,689.95)	(3,959,780.88)
February-15	134,466,656.73	3,929,228.31	595.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(43,057,940.71)	(3,602,438.71)	(2,252,654.96)	(3,946,779.34)
March-15	138,971,543.04	3,993,551.38	786.41	0.00	0.00	0.00	(39,614.64)	(663,968.35)	0.00	0.00	(64,752,917.87)	(4,124,447.48)	(2,523,152.70)	(3,957,323.04)
April-15	137,994,010.90	3,991,751.00	10,413.84	0.00	0.00	0.00	(678,713.53)	(1,769,741.24)	0.00	0.00	(60,783,600.79)	(3,975,585.65)	(2,788,532.30)	(3,958,477.47)
May-15	131,823,483.28	3,969,023.15	13,069.74	0.00	0.00	0.00	(1,525,495.68)	(2,065,620.16)	0.00	0.00	(69,616,432.82)	(3,261,071.52)	(2,236,686.28)	(3,949,071.24)
June-15	115,109,480.54	3,581,885.30	15,380.73	0.00	0.00	0.00	(25,754.74)	(1,211,639.86)	0.00	0.00	(83,157,135.69)	(3,684,686.64)	(2,679,934.20)	(3,932,177.66)
July-15	166,369,221.55	1,112,300.00	17,579.84	6,503,684.52	0.00	0.00	3,709.30	(1,061,007.52)	0.00	0.00	(73,728,113.30)	(3,171,523.85)	(4,652,118.16)	(3,913,982.92)
August-15	124,046,137.62	3,875,494.86	18,860.77	(6,503,684.52)	6,503,684.52	0.00	58,817.26	(688,388.01)	0.00	0.00	(72,590,112.97)	(2,712,126.96)	(3,421,993.84)	(3,877,037.48)
September-15	139,886,507.49	3,813,771.33	22,279.26	407,014.02	0.00	0.00	(157.82)	(725,277.76)	0.00	0.00	(87,089,728.90)	(2,508,885.24)	(3,035,824.73)	(3,852,332.21)
October-15	135,598,768.18	7,011,200.00	25,245.92	0.00	7,255,835.77	0.00	27,060.63	0.00	0.00	0.00	(75,237,540.61)	(2,535,507.98)	(2,979,022.61)	(3,896,211.90)
November-15	132,522,799.38	4,045,162.26	29,896.20	0.00	0.00	0.00	(11.09)	(1,760,156.25)	0.00	0.00	(77,142,448.67)	(2,174,151.02)	(2,646,704.40)	(3,946,021.63)
December-15	139,347,569.04	1,023,160.48	69,311.58	83,738.47	0.00	0.00	(3,632.45)	(855,926.82)	0.00	0.00	(99,015,263.17)	(2,700,006.42)	(3,498,235.04)	(3,909,357.63)
Plan Year Transactions to Date	1,547,835,923.16	44,218,700.42	222,898.08	490,752.49	13,759,520.29	0.00	(2,183,792.76)	(19,677,720.97)	0.00	0.00	(813,836,997.58)	(37,479,211.84)	(35,076,549.17)	(47,098,553.40)

PLAN YEAR 2015									
2015	216	217	301	302	310	311	500	501	TOTAL
	WAGE WORKS ADMIN	MDX MEDICAL ADMIN- COMPASS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	PHARMACY CLAIMS- CVS	CVS ADMIN	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2014 Balance Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(\$8,875,872.02)
January-15	0.00	0.00	0.00	(82,499.70)	(16,505,691.92)	(185,060.30)	0.00	(3,747,100.00)	\$18,034,908.23
February-15	(264,723.20)	(159,164.83)	0.00	(31.85)	(21,010,298.92)	(223,802.65)	0.00	(500,000.00)	\$63,378,645.01
March-15	(188,966.23)	(79,536.04)	0.00	0.00	(20,689,650.10)	(624,094.55)	0.00	(750,000.00)	\$44,572,209.83
April-15	(46,345.88)	(79,362.20)	(91.70)	(393.14)	(26,962,391.65)	(1,029,240.79)	0.00	(2,706,500.00)	\$37,217,199.40
May-15	(918,231.40)	(79,197.37)	0.00	0.00	(18,393,023.25)	(502,386.25)	0.00	0.00	\$33,258,360.20
June-15	13,107.40	(78,937.14)	0.00	0.00	(34,303,655.47)	(728,195.28)	0.00	(5.00)	(\$11,082,267.71)
July-15	(759,292.20)	(78,330.29)	0.00	0.00	(28,060,121.93)	(430,348.63)	0.00	(3,530,600.00)	\$54,621,056.41
August-15	(336,079.59)	(77,797.11)	0.00	0.00	(27,477,313.62)	(468,890.34)	0.00	749,995.00	\$17,099,565.59
September-15	(340,823.80)	(77,218.35)	0.00	0.00	(30,014,343.43)	(724,792.52)	0.00	750,000.00	\$16,510,187.34
October-15	(343,363.40)	(78,313.33)	0.00	0.00	(29,707,240.25)	(358,640.95)	0.00	(603,700.00)	\$34,178,569.47
November-15	(352,578.20)	(78,315.98)	0.00	0.00	(30,261,845.32)	(837,453.34)	0.00	(199,697.00)	\$17,198,474.94
December-15	(355,274.60)	(78,259.80)	0.00	0.00	(31,531,450.84)	(743,539.28)	0.00	(330,136.25)	(\$2,497,302.73)
Plan Year Transactions to Date	(3,892,571.10)	(944,432.44)	(91.70)	(82,924.69)	(314,917,026.70)	(6,856,444.88)	0.00	(10,867,743.25)	\$313,613,733.96

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Kentucky Employees' Health Plan – Status Report
Attachment B

Combined 2010, 2011, 2012, 2013, 2014, 2015 Plan Years													
2015	101	102	110	120	121	130	201	202	203	204	210	213	214
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES- ESCRIPTS	DRUG REBATES- CVS	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS HUMANA	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS HUMANA	HRA WAIVER CLAIMS HUMANA	MEDICAL CLAIMS- ANTHEM	HRA EMBEDDED CLAIMS- WW	HRA WAIVER CLAIMS- WW
2014 Balance Forward	7,870,283,205.52	240,585,973.08	1,063,231.95	154,710,399.98	0.00	133,497,146.46	(5,603,473,871.22)	(365,122,384.41)	(100,221,318.72)	(212,148,048.06)	0.00	0.00	0.00
January-15	123,786,260.62	3,880,127.51	19,441.01	0.00	0.00	0.00	(68,958,417.90)	(6,192,926.14)	(878,478.67)	(1,309,943.81)	(7,665,762.08)	(3,028,780.37)	(2,361,689.95)
February-15	134,978,022.98	3,930,978.31	21,037.78	0.00	0.00	0.00	(19,156,917.03)	(364,566.22)	0.00	0.00	(43,057,940.71)	(3,950,616.71)	(3,059,706.53)
March-15	139,170,213.98	3,993,551.38	27,798.95	12,767,269.43	0.00	0.00	(7,220,032.33)	6,236,470.16	0.00	0.00	(64,752,917.87)	(4,471,821.25)	(3,324,016.59)
April-15	137,994,924.07	3,991,751.00	37,364.11	0.00	0.00	0.00	(2,124,870.81)	(1,839,864.56)	(500.00)	(1,961.56)	(60,783,600.79)	(4,245,253.39)	(3,529,831.20)
May-15	131,824,800.22	3,969,023.15	38,716.88	0.00	0.00	0.00	(4,683,037.71)	(2,156,697.92)	24,963.71	50,345.13	(69,616,432.82)	(3,297,858.50)	(2,337,635.15)
June-15	115,109,893.52	3,581,885.30	39,943.96	13,599,360.87	0.00	0.00	(749,126.70)	(1,146,046.22)	0.00	0.00	(83,157,135.69)	(3,685,576.02)	(2,682,417.36)
July-15	166,369,221.55	1,112,300.00	39,491.74	6,503,684.52	0.00	0.00	3,096,190.17	(1,061,007.52)	(116.19)	(8,501.89)	(73,728,113.30)	(3,172,416.22)	(4,652,118.16)
August-15	124,046,137.62	3,875,494.86	42,377.09	(6,503,684.52)	6,503,684.52	0.00	(652,624.74)	(701,768.15)	9,167.78	11,585.60	(72,590,112.97)	(2,712,264.96)	(3,422,892.52)
September-15	139,886,507.49	3,813,771.33	42,253.87	407,014.02	0.00	0.00	(2,384,964.27)	(959,902.48)	132.84	532.89	(87,089,728.90)	(2,508,885.24)	(3,035,824.73)
October-15	135,598,768.18	7,011,200.00	46,220.89	0.00	7,255,835.77	0.00	(216,777.93)	(90,098.00)	(739.27)	(6,879.24)	(75,237,540.61)	(2,535,507.98)	(2,979,022.61)
November-15	132,522,799.38	4,045,162.26	51,630.72	0.00	0.00	0.00	423,479.65	(1,762,834.30)	0.00	(34.60)	(77,142,448.67)	(2,174,151.02)	(2,646,704.40)
December-15	139,347,569.04	1,023,160.48	117,052.69	83,738.47	0.00	0.00	(40,134.15)	(863,591.97)	15.11	0.00	(99,015,263.17)	(2,700,006.42)	(3,498,235.04)
Plan Year Transactions to Date	9,490,918,324.17	284,814,378.66	1,586,561.64	181,567,782.77	13,759,520.29	133,497,146.46	(5,706,141,104.98)	(376,025,217.73)	(101,066,873.41)	(213,412,905.53)	(813,836,997.58)	(38,483,138.08)	(37,530,094.24)

Combined 2010, 2011, 2012, 2013, 2014, 2015 Plan Years										
2015	215	216	217	301	302	310	311	500	501	TOTAL
	ANTHEM ADMIN	WAGE WORKS ADMIN	MDX MEDICAL ADMIN- COMPASS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	PHARMACY CLAIMS- CVS	CVS ADMIN	TRANSFERS TO OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2014 Balance Forward	0.00	0.00	0.00	(1,683,718,040.54)	(50,113,172.23)	0.00	0.00	(110,197,550.06)	52,140,301.86	\$327,285,873.61
January-15	(3,959,780.88)	0.00	0.00	(3,710,202.64)	(891,142.14)	(16,505,691.92)	(185,060.30)	0.00	(15,561,070.00)	(\$3,523,117.66)
February-15	(3,946,779.34)	(264,723.20)	(159,164.83)	(99,449.59)	(416,588.66)	(21,010,298.92)	(223,802.65)	0.00	(500,015.00)	\$42,719,469.68
March-15	(3,957,323.04)	(188,966.23)	(79,536.04)	(144,504.55)	(405.31)	(20,689,650.10)	(624,094.55)	0.00	(750,000.00)	\$55,992,036.04
April-15	(3,958,477.47)	(46,345.88)	(79,362.20)	(1,691.90)	(393.14)	(26,962,391.65)	(1,029,240.79)	0.00	(2,706,500.00)	\$34,713,753.84
May-15	(3,949,071.24)	(918,231.40)	(79,197.37)	0.00	(415.40)	(18,393,023.25)	(502,386.25)	0.00	0.00	\$29,973,862.08
June-15	(3,932,177.66)	13,107.40	(78,937.14)	0.00	97,603.24	(34,303,655.47)	(728,195.28)	0.00	(92,513,792.00)	(\$90,535,265.25)
July-15	(3,913,982.92)	(759,292.20)	(78,330.29)	0.00	(1.29)	(28,060,121.93)	(430,348.63)	0.00	(3,530,600.00)	\$57,725,937.44
August-15	(3,877,037.48)	(336,079.59)	(77,797.11)	0.00	0.00	(27,477,313.62)	(468,890.34)	0.00	749,995.00	\$16,417,976.47
September-15	(3,852,332.21)	(340,823.80)	(77,218.35)	0.00	0.00	(30,014,343.43)	(724,792.52)	0.00	750,000.00	\$13,911,396.51
October-15	(3,896,211.90)	(343,363.40)	(78,313.33)	44,698.62	0.00	(29,707,240.25)	(358,640.95)	0.00	(2,966,494.00)	\$31,539,893.99
November-15	(3,946,021.63)	(352,578.20)	(78,315.98)	0.00	0.00	(30,261,845.32)	(837,453.34)	0.00	(199,697.00)	\$17,640,987.55
December-15	(3,909,357.63)	(355,274.60)	(78,259.80)	0.00	0.00	(31,531,450.84)	(743,539.28)	0.00	(327,815.96)	(\$2,491,393.07)
Plan Year Transactions to Date	(47,098,553.40)	(3,892,571.10)	(944,432.44)	(1,687,629,190.60)	(51,324,514.93)	(314,917,026.70)	(6,856,444.88)	(110,197,550.06)	(65,415,687.10)	\$531,371,411.23

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014 Plan Years														
2014	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
					TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)			HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES							
2013 Balance Forward	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)	\$156,410,895.35
January-14	132,686,403.17	3,794,012.36	13,609.78	0.00	0.00	(99,617,847.70)	(6,893,984.84)	(3,356,607.53)	(4,338,146.34)	(25,408,640.95)	(1,385,594.94)	0.00	(1,866,900.00)	(\$6,373,696.99)
February-14	137,088,806.52	3,859,916.28	17,042.70	9,798,339.70	0.00	(74,241,773.65)	(6,263,205.86)	(3,836,373.91)	(3,779,781.56)	(20,167,744.39)	(941,873.27)	0.00	29,034,679.96	\$70,568,032.52
March-14	135,626,399.02	3,895,292.96	19,406.39	0.00	0.00	(78,904,183.37)	(6,501,743.25)	(4,122,325.44)	(4,275,247.67)	(22,934,642.86)	(859,831.00)	0.00	13,368,046.88	\$35,311,171.66
April-14	132,244,914.63	3,936,236.96	17,206.22	0.00	0.00	(92,244,288.05)	(6,939,043.21)	(3,954,634.75)	(4,183,085.55)	(28,991,696.58)	(1,003,745.39)	0.00	12,057,271.62	\$10,939,135.90
May-14	134,951,179.38	3,912,530.54	17,601.57	0.00	0.00	(81,139,076.52)	(6,605,291.51)	(3,305,133.24)	(3,342,775.02)	(23,818,972.77)	(760,803.54)	0.00	13,309,287.96	\$33,218,546.85
June-14	119,487,539.19	3,528,162.10	12,371.37	10,438,573.29	0.00	(82,838,897.04)	(6,643,971.43)	(3,056,556.57)	(3,377,036.00)	(26,343,591.83)	(1,252,339.56)	0.00	13,368,012.05	\$23,322,265.57
July-14	138,027,477.35	4,208,722.59	10,552.98	0.00	110,463,130.17	(93,289,066.71)	(6,143,204.50)	(3,079,198.22)	(3,772,194.51)	(32,031,910.93)	(934,979.33)	(110,463,130.17)	(3,244,001.46)	(\$247,802.74)
August-14	148,578,437.34	3,769,939.46	18,361.96	326,949.02	(209,965.70)	(90,628,308.64)	(6,678,056.17)	(2,495,572.15)	(2,960,739.43)	(25,091,358.36)	(932,419.66)	209,965.70	830,670.00	\$24,737,903.37
September-14	129,714,724.19	3,714,683.48	22,938.57	10,974,642.57	(54,222.38)	(97,231,783.27)	(6,882,386.44)	(2,435,689.89)	(2,754,342.94)	(32,370,296.06)	(872,577.21)	54,222.38	950,000.00	\$2,829,913.00
October-14	134,700,273.21	3,910,819.84	27,049.63	0.00	(1,392.03)	(107,357,986.93)	(6,489,201.18)	(2,236,540.88)	(2,872,395.30)	(27,292,670.34)	(1,124,737.87)	1,392.03	(1,772,100.00)	(\$10,507,489.82)
November-14	127,960,832.20	3,999,569.95	21,135.21	11,648,160.73	0.00	(91,196,731.51)	(6,495,355.15)	(1,832,367.83)	(2,565,596.16)	(27,079,390.63)	(994,572.88)	0.00	349,995.00	\$13,815,678.93
December-14	134,306,561.17	4,010,478.67	28,880.86	0.00	0.00	(111,137,528.17)	(9,445,223.76)	(2,592,037.04)	(3,914,652.51)	(35,851,335.53)	(989,823.68)	0.00	(1,154,000.00)	(\$26,738,679.99)
Trust Cash Transactions to Date	12,738,033,159.16	407,622,765.41	26,104,972.11	259,833,434.54	231,316,374.41	(9,170,922,792.19)	(595,858,716.93)	(119,774,370.87)	(356,078,892.22)	(2,771,186,920.65)	(85,840,588.47)	(231,316,374.41)	(4,646,176.28)	\$327,285,873.61
Adjustments														\$0.00
Transactions to Date	12,738,033,159.16	407,622,765.41	26,104,972.11	259,833,434.54	231,316,374.41	(9,170,922,792.19)	(595,858,716.93)	(119,774,370.87)	(356,078,892.22)	(2,771,186,920.65)	(85,840,588.47)	(231,316,374.41)	(4,646,176.28)	\$327,285,873.61

Kentucky Employees' Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013 Plan Years														
2013	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2012 Balance Forward	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63
January-13	134,561,382.75	3,905,592.88	18,505.33	0.00	0.00	(101,716,615.70)	(5,813,983.54)	(1,898,881.51)	(4,457,460.57)	(31,449,370.43)	(684,780.22)	0.00	(2,137,959.29)	(\$9,673,570.30)
February-13	133,449,133.55	3,896,010.30	20,978.26	0.00	0.00	(94,241,895.52)	(6,026,866.00)	(1,759,374.95)	(3,910,163.80)	(26,567,793.11)	(751,368.83)	0.00	5,778,266.70	\$9,886,926.60
March-13	131,462,132.87	3,894,455.42	20,279.91	0.00	0.00	(88,154,099.93)	(6,270,924.35)	(2,129,435.81)	(4,693,508.60)	(26,541,297.99)	(739,134.82)	0.00	5,755,543.08	\$12,604,009.78
April-13	132,577,234.56	3,919,502.90	27,329.57	8,588,953.09	0.00	(103,346,741.97)	(6,025,686.64)	(2,059,893.61)	(4,930,547.39)	(32,742,314.31)	(844,530.01)	0.00	2,941,084.90	(\$1,895,608.91)
May-13	136,896,355.22	4,164,015.88	19,456.34	0.00	0.00	(97,570,286.18)	(6,020,841.29)	(1,551,625.02)	(3,564,261.78)	(25,068,673.91)	(705,523.40)	0.00	5,731,422.62	\$12,330,038.48
June-13	118,633,393.92	3,295,151.23	16,406.53	9,426,572.80	0.00	(82,757,188.63)	(5,807,157.33)	(1,335,917.15)	(3,351,004.44)	(26,726,681.92)	(812,246.62)	0.00	5,721,704.14	\$16,303,032.53
July-13	150,891,584.68	4,169,930.69	16,396.66	0.00	0.00	(103,851,362.85)	(6,188,854.87)	(1,494,460.27)	(3,869,054.96)	(32,293,321.48)	(685,018.07)	0.00	8,673,443.42	\$15,369,282.95
August-13	133,238,985.17	3,763,276.15	19,157.21	0.00	0.00	(107,119,868.56)	(5,970,107.92)	(1,141,975.38)	(3,044,982.95)	(25,877,647.84)	(812,881.09)	0.00	0.00	(\$6,946,045.21)
September-13	131,140,562.40	3,710,447.46	21,520.10	8,718,838.54	0.00	(89,801,560.08)	(11,600,606.46)	(957,769.76)	(2,550,786.82)	(26,214,204.14)	(712,825.11)	0.00	(33,205.50)	\$11,720,410.63
October-13	132,680,349.20	3,818,531.80	17,363.94	0.00	0.00	(108,623,995.95)	(554,095.62)	(1,119,205.79)	(2,866,040.02)	(34,274,327.51)	(726,038.43)	0.00	(2,117,509.79)	(\$13,764,968.17)
November-13	130,274,993.03	3,813,602.82	12,192.43	8,496,374.45	0.00	(101,961,081.32)	(5,781,719.52)	(918,495.10)	(2,792,375.11)	(27,228,157.18)	(127,275.23)	0.00	0.00	\$3,788,059.27
December-13	135,296,648.61	3,850,383.66	15,468.86	0.00	0.00	(103,516,445.74)	(6,034,339.51)	(1,073,646.60)	(2,534,619.90)	(28,227,075.37)	(534,922.06)	0.00	(30,015,854.88)	(\$32,774,402.93)
Trust Cash Transactions to Date	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)	\$156,410,895.35
Adjustments														\$0.00
Total Adjusted Transactions to Date	11,132,659,611.79	361,082,400.22	25,878,814.87	216,646,769.23	121,118,824.35	(8,071,095,320.63)	(513,878,049.63)	(83,471,333.42)	(313,942,899.23)	(2,443,804,669.42)	(73,787,290.14)	(121,118,824.35)	(79,877,138.29)	\$156,410,895.35

501 The July balance of \$8,673,443.42 includes an ERRP funds transfer from the 2512 fund to the 2500 fund in the amount of \$11,418,813.42.
501 The December balance of (30,015,854.88) includes an ERRP funds transfer from the 2500 fund to the 2512 fund in the amount of \$30,015,849.88.
130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
500 HB 265 plan year transfers

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011, 2012 Plan Years														
2012	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)													
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2011 Balance Forward	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90
January-12	134,359,818.71	4,060,022.91	3,114.85	0.00	0.00	(93,012,806.46)	(5,825,992.95)	(1,616,818.80)	(4,328,583.62)	(32,864,686.57)	(916,884.66)	0.00	(1,681,309.12)	(\$1,824,125.71)
February-12	133,831,116.77	4,100,115.82	10,106.73	0.00	0.00	(102,024,607.71)	(6,277,459.61)	(1,561,725.59)	(4,024,450.62)	(19,715,587.61)	(786,179.91)	0.00	(650,000.00)	\$2,901,328.27
March-12	135,780,512.95	4,049,823.20	2,763.10	8,326,710.96	0.00	(93,097,721.87)	(5,994,792.56)	(1,912,341.77)	(4,464,402.85)	(33,220,338.92)	(898,082.89)	0.00	(400,000.00)	\$8,172,129.35
April-12	121,024,982.64	3,947,101.33	13,194.60	0.00	0.00	(101,890,879.51)	(6,046,635.37)	(1,734,697.60)	(4,163,249.56)	(26,055,949.70)	(750,864.72)	0.00	(2,579,500.00)	(\$18,236,497.89)
May-12	131,228,269.78	4,051,460.97	116,962.98	0.00	0.00	(97,239,674.30)	(6,087,589.74)	(1,574,867.36)	(3,588,198.82)	(32,737,839.73)	(625,946.00)	0.00	0.00	(\$6,457,422.22)
June-12	123,685,190.46	2,160,377.56	24,864.41	7,711,686.20	22,721,775.75	(95,724,960.94)	(6,069,373.82)	(1,404,855.49)	(3,733,969.81)	(27,170,861.58)	(243,128.09)	(22,721,775.75)	(300,000.00)	(\$1,065,031.10)
July-12	158,805,426.96	2,582,010.48	24,144.64	0.00	364,837.81	(105,445,394.78)	(5,770,981.74)	(1,448,117.58)	(3,982,814.05)	(33,229,655.54)	(1,127,149.75)	(364,837.81)	(2,075,000.00)	\$8,332,468.64
August-12	134,739,317.38	3,938,126.10	28,384.98	0.00	212,573.26	(106,956,149.48)	(5,908,046.80)	(1,210,002.21)	(3,195,675.33)	(26,268,654.85)	(843,436.96)	(212,573.26)	800,000.00	(\$4,876,137.17)
September-12	73,632,694.32	3,905,917.68	20,677.07	8,040,437.96	409.58	(84,803,011.00)	(6,299,562.51)	(870,840.82)	(2,393,699.06)	(26,421,456.75)	(593,559.95)	(409.58)	(115,000.00)	(\$35,897,403.06)
October-12	189,639,264.02	7,029,503.50	21,216.67	0.00	0.00	(107,430,419.92)	(5,762,839.68)	(1,031,776.76)	(2,764,015.64)	(33,495,540.48)	(724,952.91)	0.00	(2,092,895.54)	\$43,387,543.26
November-12	132,132,212.17	3,991,012.86	27,630.70	0.00	0.00	(104,811,752.26)	(5,775,468.47)	(970,701.46)	(2,726,951.19)	(26,386,348.69)	0.00	0.00	(290,104.00)	(\$4,810,470.34)
December-12	131,764,530.01	3,986,999.18	22,017.84	7,940,052.90	0.00	(86,152,034.30)	(6,628,289.82)	(930,820.70)	(2,516,409.93)	(29,735,287.65)	(1,649,895.66)	0.00	(43,165.17)	\$16,057,696.70
Trust Cash Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	9,531,556,855.83	314,881,499.03	25,653,759.73	181,416,030.35	121,118,824.35	(6,888,434,178.20)	(441,782,866.58)	(66,030,652.47)	(271,378,092.89)	(2,100,593,804.23)	(65,650,746.25)	(121,118,824.35)	(80,174,073.69)	\$139,463,730.63

130 Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
500 HB 265 plan year transfers
1 Please note that the August 2012 KDE health insurance premium payment of \$52,467,299.39 one would expect to see included in the September 2012 premiums was not submitted in eMARS until October 2012.
If this payment had been included in the September 2012 health insurance premiums the 2012 plan year ending balance would have been positive \$33,599,492.47.

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010, 2011 Plan Years														
2011	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2010 Balance Forward	6,341,813,915.56	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,383,377.36
January-11	104,584,502.27	4,150,040.84	21,178.18	0.00	0.00	(75,999,263.51)	(6,247,427.64)	(1,638,566.78)	(4,777,290.11)	(25,399,397.99)	(971,875.51)	0.00	(1,506,150.00)	(\$7,784,250.25)
February-11	134,884,734.96	742,108.00	30,959.07	7,318,750.55	0.00	(80,774,396.21)	(5,708,279.82)	(1,506,692.34)	(3,900,833.10)	(24,031,689.18)	(1,018,114.64)	0.00	0.00	\$26,036,547.29
March-11	215,970,657.90	7,560,521.66	13,833.95	0.00	0.00	(104,449,207.46)	(5,804,744.75)	(2,053,495.76)	(5,161,703.53)	(31,809,425.67)	(711,618.54)	0.00	0.00	\$73,554,817.80
April-11	57,773,173.95	377,390.86	26,046.76	0.00	0.00	(87,232,875.89)	(5,942,857.59)	(1,685,499.51)	(4,155,235.80)	(24,906,293.57)	(825,034.49)	0.00	(1,475,000.00)	(\$68,046,185.28)
May-11	148,898,494.25	6,828,465.22	32,466.25	0.00	0.00	(92,403,747.19)	(5,995,254.14)	(1,689,645.13)	(3,353,570.33)	(30,442,527.33)	(936,978.70)	0.00	(1,060,000.00)	\$19,877,702.90
June-11	135,195,259.75	4,147,240.50	92,986.05	6,603,193.58	0.00	(99,154,069.14)	(6,148,364.56)	(1,332,730.89)	(4,231,988.59)	(25,443,584.48)	(657,735.45)	0.00	(2,262,825.00)	\$6,807,381.77
July-11	129,467,603.18	4,055,818.00	0.00	0.00	0.00	(92,105,282.62)	(5,691,468.70)	(1,288,772.73)	(3,558,196.47)	(25,072,615.58)	(1,054,056.11)	0.00	(1,446,700.00)	\$3,306,328.97
August-11	128,828,423.04	4,015,463.08	51,947.57	0.00	0.00	(104,589,978.91)	(5,906,767.25)	(1,252,118.61)	(3,536,059.99)	(31,854,471.10)	(784,412.56)	0.00	505.45	(\$15,027,469.28)
September-11	128,256,271.07	3,985,826.55	(37,632.37)	8,172,233.15	0.00	(95,702,173.11)	(5,839,009.41)	(915,062.67)	(2,580,066.76)	(27,076,530.36)	(922,651.44)	0.00	(722,373.60)	\$6,618,831.05
October-11	142,233,173.19	4,088,693.16	15,830.99	0.00	0.00	(92,141,470.81)	(6,026,716.60)	(917,099.48)	(2,345,378.82)	(27,684,350.74)	(830,216.34)	0.00	(1,446,800.00)	\$14,945,664.55
November-11	128,470,686.55	4,132,176.42	22,960.29	0.00	0.00	(97,700,693.63)	(6,270,748.75)	(1,123,295.88)	(2,350,943.96)	(33,686,533.43)	(678,723.69)	0.00	653,700.00	(\$8,531,416.08)
December-11	134,556,623.99	4,114,522.80	18,303.65	7,925,124.87	0.00	(94,234,493.21)	(5,731,277.77)	(782,505.21)	(2,890,489.65)	(28,040,137.12)	(997,351.25)	0.00	(300,000.00)	\$13,638,321.10
Trust Cash Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	7,930,933,519.66	267,079,027.44	25,338,681.16	149,397,142.33	97,819,227.95	(5,709,844,765.67)	(369,335,833.51)	(49,763,086.33)	(229,495,672.41)	(1,753,291,596.16)	(56,490,664.75)	(97,819,227.95)	(70,747,099.86)	\$133,779,651.90

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009, 2010 Plan Years														
2010	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
					TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)			HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES							
2009 Balance Forward	4,809,173,361.77	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)	\$34,331,575.48
January-10	95,747,358.57	4,244,832.31	26,531.51	0.00	0.00	(76,941,840.82)	(5,736,162.63)	(1,256,878.21)	(4,543,397.17)	(24,639,836.75)	(1,072,953.74)	0.00	(1,275,000.00)	(\$15,447,346.93)
February-10	127,169,263.89	4,212,765.81	5,814.26	8,532,678.01	0.00	(76,818,834.89)	(5,523,329.15)	(1,334,097.78)	(4,478,631.31)	(25,059,247.87)	(816,450.76)	0.00	0.00	\$25,889,930.21
March-10	130,575,690.89	4,263,342.97	807.13	0.00	0.00	(88,966,839.89)	(6,131,669.29)	(1,725,032.27)	(5,319,247.14)	(32,287,296.46)	(838,104.26)	0.00	(54,178.15)	(\$482,526.47)
April-10	129,273,592.71	4,258,676.92	5,799.92	0.00	0.00	(88,897,668.64)	(5,481,061.88)	(1,621,968.15)	(4,591,699.98)	(25,746,221.30)	(918,467.39)	0.00	(1,413,911.17)	\$4,867,071.04
May-10	129,181,824.92	4,260,752.26	259.48	7,937,042.03	0.00	(94,629,538.08)	(5,755,724.02)	(1,220,751.07)	(3,677,149.33)	(25,989,347.02)	(835,137.51)	0.00	(83,745.11)	\$9,188,486.55
June-10	158,711,733.40	4,183,982.11	1,684.19	0.00	0.00	(102,236,620.07)	(5,629,480.75)	(1,351,564.74)	(4,197,077.16)	(32,506,663.48)	(846,828.84)	0.00	(55,288.36)	\$16,073,876.30
July-10	123,373,925.07	4,161,587.50	0.00	0.00	0.00	(93,401,709.89)	(5,422,525.77)	(1,259,742.60)	(4,327,901.78)	(25,453,504.91)	(1,070,776.85)	0.00	(1,548,680.08)	(\$4,949,329.31)
August-10	103,046,413.80	4,120,287.50	20,505.77	0.00	0.00	(98,142,631.72)	(5,654,685.52)	(1,136,894.43)	(3,720,034.08)	(31,969,635.82)	(807,174.97)	0.00	(52,416.36)	(\$34,296,265.83)
September-10	102,582,008.02	4,036,812.50	14,991.93	7,000,703.32	0.00	(82,106,239.82)	(5,417,936.87)	(829,621.91)	(2,898,271.60)	(25,761,834.96)	(957,399.46)	0.00	(111,660.00)	(\$4,448,448.85)
October-10	149,611,237.78	4,157,562.50	25,031.62	0.00	0.00	(95,822,317.38)	(5,796,657.02)	(911,986.60)	(2,768,450.36)	(25,541,240.85)	(689,746.75)	0.00	(1,495,919.34)	\$20,767,513.60
November-10	128,699,776.48	4,139,217.50	11,791.58	7,254,102.30	0.00	(93,646,788.11)	(6,053,783.68)	(1,058,119.04)	(3,105,019.01)	(33,770,560.81)	(1,005,581.09)	0.00	(48,900.00)	\$1,416,136.12
December-10	154,667,125.73	4,175,792.50	23,207.01	0.00	0.00	(105,706,178.52)	(5,511,187.74)	(1,099,725.67)	(3,168,098.23)	(27,028,343.76)	(850,208.40)	0.00	(30,280.00)	\$15,472,102.92
Trust Cash Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,382,774.83
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	6,341,813,313.03	218,880,760.35	25,049,800.77	119,377,840.18	97,819,227.95	(4,593,357,113.98)	(298,022,916.53)	(33,577,601.34)	(186,653,915.30)	(1,417,844,039.61)	(46,101,896.03)	(97,819,227.95)	(61,181,456.71)	\$68,382,774.83

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006, 2007, 2008, 2009 Plan Years														
2009	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)													
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES		MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2008 Balance Forward	3,472,792,844.21	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21	\$137,274,565.33
January-09	68,255,311.19	798,573.84	140,780.24	0.00	0.00	(85,452,148.81)	(5,043,705.90)	(827,116.31)	(4,971,264.87)	(22,287,745.83)	(833,298.77)	0.00	0.00	(\$50,220,615.22)
February-09	116,138,197.11	4,340,347.32	60,540.29	0.00	0.00	(75,791,722.45)	(5,737,676.40)	(952,705.95)	(4,405,562.79)	(22,275,797.48)	(778,021.73)	0.00	(1,275,000.00)	\$9,322,597.92
March-09	118,008,223.40	8,311,362.50	33,073.20	7,055,831.33	0.00	(83,925,434.88)	(5,295,688.92)	(1,350,494.55)	(5,277,307.12)	(29,633,621.51)	(819,180.11)	0.00	0.00	\$7,106,763.34
April-09	113,434,068.66	814,290.99	28,726.97	0.00	0.00	(78,925,136.73)	(5,169,296.47)	(1,165,473.69)	(4,524,767.00)	(22,854,392.81)	(788,640.01)	0.00	(1,275,000.00)	(\$425,620.09)
May-09	115,734,630.50	8,267,882.13	31,360.93	0.00	0.00	(86,759,658.54)	(5,330,325.18)	(1,055,767.92)	(3,504,732.56)	(22,721,210.56)	(653,814.20)	0.00	0.00	\$4,008,364.60
June-09	125,205,000.97	4,512,349.70	306,950.33	7,295,841.15	0.00	(85,219,714.41)	(5,437,416.06)	(1,180,022.66)	(4,669,317.04)	(29,189,575.56)	(1,037,960.90)	0.00	(50,000,000.00)	(\$39,413,864.48)
July-09	109,589,613.92	4,454,417.06	4,841.37	590.82	0.00	(95,563,010.91)	(5,302,867.73)	(934,924.26)	(4,403,754.20)	(22,790,148.22)	(763,242.58)	0.00	(1,273,348.65)	(\$16,981,833.38)
August-09	111,338,708.15	4,404,735.89	35,824.83	0.00	0.00	(86,055,444.88)	(5,143,301.79)	(874,960.75)	(3,690,000.08)	(23,380,653.93)	(762,612.57)	0.00	0.00	(\$4,127,705.13)
September-09	116,578,824.89	4,346,377.77	35,320.61	7,023,397.82	0.00	(84,858,803.83)	(5,505,684.21)	(940,144.54)	(3,290,411.20)	(30,060,597.42)	(927,184.46)	0.00	0.00	\$2,401,095.43
October-09	114,644,530.40	4,470,702.84	9,021.72	0.00	0.00	(90,344,330.41)	(5,554,309.19)	(909,795.64)	(3,058,569.14)	(25,090,015.64)	(958,703.20)	0.00	(1,275,000.00)	(\$8,066,468.26)
November-09	116,247,533.00	4,403,589.15	0.00	0.00	0.00	(74,273,298.27)	(5,216,766.28)	(830,231.35)	(2,801,499.55)	(25,783,129.31)	(681,386.56)	0.00	0.00	\$11,064,810.83
December-09	142,204,710.76	4,405,411.00	85,958.91	6,713,485.53	0.00	(98,186,695.73)	(5,844,754.88)	(838,074.41)	(3,423,776.67)	(30,719,108.75)	(820,888.08)	0.00	(187,947.70)	\$13,388,319.98
Trust Cash Transactions to Date	4,840,172,197.16	168,665,147.97	24,913,376.37	88,653,314.52	97,819,227.95	(3,496,039,906.15)	(229,908,712.21)	(18,771,218.87)	(139,858,938.15)	(1,082,090,305.62)	(35,393,066.01)	(97,819,227.95)	(55,011,478.14)	\$65,330,410.87
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$4,840,172,197.16	\$168,665,147.97	\$24,913,376.37	\$88,653,314.52	\$97,819,227.95	(\$3,496,039,906.15)	(\$229,908,712.21)	(\$18,771,218.87)	(\$139,858,938.15)	(\$1,082,090,305.62)	(\$35,393,066.01)	(\$97,819,227.95)	(\$55,011,478.14)	\$65,330,410.87

101 JAN09 The drop in the fund balance is partially attributable to the change in the timing of payments.

Combined 2006, 2007, 2008 Plan Years														
2008	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2007 Balance Forward	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38	\$181,670,598.39
January-08	102,988,425.84	853,335.50	827,145.06	0.00	658,356.10	(76,334,055.51)	(4,842,532.38)	(224,755.24)	(4,432,702.53)	(26,272,430.60)	(702,681.93)	(658,356.10)	92,763.42	(\$8,047,488.37)
February-08	100,542,297.69	8,725,938.57	960,108.01	0.00	657,763.84	(78,532,581.12)	(5,042,452.80)	(332,257.72)	(4,795,436.79)	(21,934,249.43)	(887,925.79)	(657,763.84)	0.00	(\$1,296,559.38)
March-08	100,877,753.28	4,754,701.92	768,656.51	5,958,195.90	56,102,467.13	(61,065,091.32)	(5,005,078.31)	(752,708.54)	(5,178,186.16)	(29,345,300.60)	(733,323.17)	(56,102,467.13)	0.00	\$10,279,619.51
April-08	101,659,637.37	4,782,116.07	712,576.54	0.00	1,850,662.56	(75,176,414.95)	(5,255,305.52)	(372,544.32)	(5,407,822.66)	(26,637,527.83)	(710,692.12)	(1,850,662.56)	(2,000,000.00)	(\$8,405,977.42)
May-08	105,355,954.92	862,281.74	431,392.98	0.00	(3,952,389.72)	(77,032,608.33)	(5,258,400.11)	(447,786.33)	(4,101,182.55)	(20,782,280.92)	(817,954.72)	3,952,389.72	(400,000.00)	(\$2,190,583.32)
June-08	104,591,289.33	8,626,127.26	431,326.45	6,236,447.62	42,502,368.04	(72,924,805.10)	(4,897,212.47)	(530,844.59)	(4,336,420.94)	(21,929,235.31)	(710,486.54)	(42,502,368.04)	0.00	\$14,556,185.71
July-08	103,059,405.26	4,587,429.00	74,536.00	0.00	0.00	(80,311,179.56)	(5,286,315.21)	(338,888.30)	(4,367,019.10)	(26,346,891.24)	(551,133.34)	0.00	1,360.41	(\$9,478,696.08)
August-08	139,548,424.17	4,499,127.32	428,331.61	0.00	0.00	(91,149,999.59)	(4,987,877.31)	(239,307.16)	(3,622,981.74)	(21,864,402.52)	(929,650.41)	0.00	(1,275,000.00)	\$20,406,664.37
September-08	64,929,533.04	812,931.18	491,797.55	6,912,622.35	0.00	(80,524,433.21)	(5,162,711.50)	(216,606.94)	(3,327,303.63)	(27,982,388.81)	(863,456.25)	0.00	0.00	(\$44,930,016.22)
October-08	60,335,714.07	8,348,674.55	290,759.55	0.00	0.00	(79,780,992.95)	(5,303,864.33)	(195,901.14)	(3,085,633.12)	(23,248,694.56)	(707,152.51)	0.00	0.00	(\$43,347,090.44)
November-08	144,083,073.47	822,852.19	287,641.38	0.00	0.00	(72,635,398.45)	(5,395,995.18)	(219,870.06)	(3,142,308.02)	(24,572,795.46)	(866,358.11)	0.00	(1,275,000.00)	\$37,085,841.76
December-08	69,540,266.92	8,602,842.93	254,590.37	6,825,043.28	0.00	(85,937,164.48)	(5,091,097.16)	(233,357.88)	(3,361,473.42)	(29,894,911.66)	(731,507.47)	0.00	0.00	(\$40,026,768.57)
Trust Cash Transactions to Date	3,441,794,008.82	115,135,107.78	24,140,976.97	60,564,167.87	97,819,227.95	(2,470,684,506.30)	(165,326,919.20)	(6,911,506.84)	(91,837,975.93)	(775,304,308.60)	(25,568,132.84)	(97,819,227.95)	274,818.21	\$106,275,729.94
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$3,441,794,008.82	\$115,135,107.78	\$24,140,976.97	\$60,564,167.87	\$97,819,227.95	(\$2,470,684,506.30)	(\$165,326,919.20)	(\$6,911,506.84)	(\$91,837,975.93)	(\$775,304,308.60)	(\$25,568,132.84)	(\$97,819,227.95)	\$274,818.21	\$106,275,729.94

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The drop in premium payments between August premiums of \$139M and September premiums of \$64M was caused by KDE paying 2 months of the Boards of Educations' premiums in August and none in September. The October payment of \$41,820,165.63 was received on 11/10/2008. The September drop in the HRA Waiver contributions occurred because the September Board of Educations' payment of \$3,697,487.50 was not received until 10/02/2008.

Combined 2006, 2007 Plan Years														
2007	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS FROM OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS TO OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
2006 Balance Forward	1,101,509,965.08	0.00	6,705,247.06	11,813,426.09	0.00	(696,060,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,725,829.95
January-07	96,460,532.11	890,337.50	613,850.54	0.00	0.00	(44,717,495.18)	(4,606,277.93)	(70,023.79)	(1,638,005.91)	(23,803,783.10)	(817,818.71)	0.00	6,806,011.40	\$29,117,326.93
February-07	94,757,241.81	7,028,187.50	729,554.18	0.00	0.00	(76,297,980.20)	(4,508,865.17)	(182,325.14)	(4,371,073.41)	(19,224,461.40)	(843,930.89)	0.00	100,010.73	(\$2,813,641.99)
March-07	92,623,952.19	4,994,500.00	1,095,184.33	5,614,413.50	0.00	(73,051,261.94)	(4,814,764.49)	(539,111.13)	(4,290,599.88)	(19,795,242.95)	(717,137.89)	0.00	59,956.22	\$1,179,887.96
April-07	95,130,655.61	2,953,650.00	860,324.93	0.00	0.00	(65,053,599.07)	(4,424,821.23)	(207,874.13)	(4,768,571.76)	(19,223,135.89)	(677,520.52)	0.00	0.00	\$4,589,107.94
May-07	95,705,980.42	9,051,033.88	1,002,677.40	0.00	0.00	(69,857,566.45)	(543,472.58)	(547,762.85)	(4,157,130.61)	(23,544,640.97)	(800,875.14)	0.00	0.00	\$6,308,243.10
June-07	96,003,496.30	4,913,354.62	1,869,413.02	5,136,289.06	0.00	(78,361,628.09)	(4,613,355.82)	(184,726.50)	(4,470,479.22)	(19,667,856.25)	(695,111.09)	0.00	157,230.00	\$86,626.03
July-07	94,065,173.34	4,820,107.23	0.00	0.00	0.00	(76,161,323.62)	(99,766.85)	(354,933.71)	(3,998,535.11)	(19,295,360.80)	(501,690.58)	0.00	0.00	(\$1,526,330.10)
August-07	92,807,741.42	892,664.70	958,404.82	6,127,725.00	0.00	(77,686,845.13)	(9,397,503.94)	(163,086.56)	(3,859,291.89)	(24,481,631.29)	(802,063.98)	0.00	0.00	(\$15,603,886.85)
September-07	94,858,022.07	8,625,244.17	971,848.00	0.00	0.00	(59,795,885.17)	(4,640,244.18)	(137,420.21)	(2,730,049.63)	(19,969,297.61)	(690,530.78)	0.00	0.00	\$16,491,686.66
October-07	136,543,983.44	4,920,047.56	1,355,373.53	0.00	0.00	(79,483,837.47)	(4,771,304.14)	(152,300.13)	(3,237,230.54)	(25,419,024.04)	(694,700.18)	0.00	(25,157.96)	\$29,035,850.07
November-07	57,524,524.06	875,086.46	0.00	0.00	0.00	(76,763,454.19)	(5,374,281.49)	(169,977.44)	(2,540,207.78)	(20,369,526.00)	(712,161.99)	0.00	7,486.03	(\$47,522,512.34)
December-07	96,290,965.61	8,892,535.93	2,020,237.15	5,940,005.07	0.00	(65,988,578.83)	(4,974,239.47)	(97,137.03)	(2,618,329.53)	(21,041,825.37)	(846,380.46)	0.00	(1,974,842.04)	\$15,602,411.03
Trust Cash Transactions to Date	2,244,282,233.46	58,856,749.55	18,182,114.96	34,631,858.72	0.00	(1,539,279,781.73)	(103,798,076.92)	(2,806,678.62)	(42,679,505.27)	(474,493,199.66)	(16,355,810.48)	0.00	5,130,694.38	\$181,670,598.39
Adjustments	0.00													\$0.00
Total Adjusted Transactions to Date	\$2,244,282,233.46	\$58,856,749.55	\$18,182,114.96	\$34,631,858.72	\$0.00	(\$1,539,279,781.73)	(\$103,798,076.92)	(\$2,806,678.62)	(\$42,679,505.27)	(\$474,493,199.66)	(\$16,355,810.48)	\$0.00	\$5,130,694.38	\$181,670,598.39

*** There was reduced cash activity for August due to the following:
1) Humana invoiced both the July and August ASO fees in August due to delays in billing related to the change in ASO fees on July 01,2007.
2) KDE delayed payment of their August HRA contributions until September
3) There was an increase in claim expense in August (ESI bills weekly, there were 5 weekly invoices in August campared to 4 invoices in a typical month).

** September's cash activity is high due to:
1) KDE sending two months of HRA contributions (August and September)
2) Lower claim expense (received claim recovery from Humana for Feb. - Jun. 07).

Kentucky Employees’ Health Plan – Status Report
Attachment B

Combined 2006 Plan Year														
2006	101	102	110	120	130	201	202	203	204	301	302	500	501	TOTAL
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	TRANSFERS <i>FROM</i> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA SELECT CLAIMS	HRA WAIVER CLAIMS	PHARMACY CLAIMS	EXPRESS SCRIPTS ADMIN FEES	TRANSFERS <i>TO</i> OTHER PLAN YEAR(S)	OTHER PAYMENTS & ADJUSTMENTS	CASH TRANSACTIONS
December-05	12,109,520.87	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$12,109,520.87
January-06	58,717,052.66	0.00	0.00	0.00	0.00	(5,858,910.97)	0.00	0.00	0.00	(4,355,323.50)	0.00	0.00	0.00	\$48,502,818.19
February-06	95,279,715.21	0.00	0.00	0.00	0.00	(33,608,116.12)	0.00	0.00	0.00	(27,222,136.63)	0.00	0.00	0.00	\$34,449,462.46
March-06	96,203,652.90	0.00	479,416.24	0.00	0.00	(55,143,313.46)	(8,450,597.05)	0.00	0.00	(22,689,138.39)	0.00	0.00	0.00	\$10,400,020.24
April-06	95,713,661.72	0.00	363,022.39	0.00	0.00	(49,234,826.19)	(4,245,852.36)	0.00	0.00	(15,725,632.15)	(2,123,741.31)	0.00	0.00	\$24,746,632.10
May-06	96,477,472.44	0.00	433,688.68	0.00	0.00	(59,192,900.58)	(4,237,553.40)	0.00	0.00	(21,614,764.42)	(505,455.83)	0.00	0.00	\$11,360,486.89
June-06	75,715,144.70	0.00	1,309,327.87	0.00	0.00	(66,179,114.23)	(8,434,079.16)	0.00	0.00	(17,722,866.45)	(859,244.32)	0.00	0.00	(\$16,170,831.59)
July-06	106,607,010.35	0.00	0.00	0.00	0.00	(62,801,570.87)	(4,262,691.48)	0.00	0.00	(16,921,364.87)	(641,012.65)	0.00	0.00	\$21,980,370.48
August-06	87,156,882.23	0.00	377,971.98	0.00	0.00	(73,872,918.18)	(4,112,992.68)	0.00	0.00	(17,456,752.65)	(746,088.15)	0.00	0.00	(\$8,653,897.45)
September-06	84,428,262.67	0.00	1,577,522.63	5,799,613.36	0.00	(68,916,143.27)	(4,229,309.40)	0.00	0.00	(14,830,311.45)	(653,915.94)	0.00	0.00	\$3,175,718.60
October-06	90,247,993.08	0.00	646,707.29	0.00	0.00	(65,259,252.33)	(4,243,928.76)	0.00	0.00	(22,693,132.66)	(657,804.47)	0.00	0.00	(\$1,959,417.85)
November-06	88,348,883.51	0.00	720,536.99	0.00	0.00	(62,337,283.05)	(4,504,712.82)	0.00	0.00	(18,218,171.50)	(799,688.56)	0.00	0.00	\$3,209,564.57
December-06	85,532,174.21	0.00	797,052.99	6,013,812.73	0.00	(64,828,977.14)	(4,307,462.52)	0.00	0.00	(19,207,819.32)	(568,937.04)	0.00	0.00	\$3,429,843.91
Plan Year Transactions to Date	* 1,072,537,426.55	0.00	6,705,247.06	11,813,426.09	0.00	(667,233,326.39)	(51,029,179.63)	0.00	0.00	(218,657,413.99)	(7,555,888.27)	0.00	0.00	\$146,580,291.42
Plus: Claims Escrow	28,827,000.00					(28,827,000.00)								\$0.00
Total Adjusted Transactions to Date	\$1,101,364,426.55	\$0.00	\$6,705,247.06	\$11,813,426.09	\$0.00	(\$696,060,326.39)	(\$51,029,179.63)	\$0.00	\$0.00	(\$218,657,413.99)	(\$7,555,888.27)	\$0.00	\$0.00	\$146,580,291.42

** In 2006, the Commonwealth funded the payment of medical claims on an “as cleared” basis. Due to the “as cleared” banking arrangement Humana required the funding of an escrow account of \$28,827,000 to cover an estimated 8 days of medical claims. In 2007, the banking arrangements changed from an “as cleared” to an “as issued” basis for claim payments. This change is due to a recommendation made by our External Auditor. Because of the banking change, Humana will not longer require an escrow account in 2007. The escrow account funds were added into the 2006 premium balance. These funds were then used for 2006 outstanding claim checks.

Kentucky Employees’ Health Plan – Status Report
Attachment B

Plan Year Balances as of December 31, 2015																
Plan Year	101	102	110	120	121	130	201	202	203	204	210	213	214	215	216	
	PREMIUMS	HRA WAIVER CONTRIBUTION	INTEREST INCOME	DRUG REBATES	DRUG REBATES- CVS	TRANSFERS <u>FROM</u> OTHER PLAN YEAR(S)	MEDICAL CLAIMS	HUMANA ADMIN FEES	HRA EMBEDDED CLAIMS	HRA WAIVER CLAIMS	MEDICAL CLAIMS- ANTHEM	HRA EMBEDDED CLAIMS- WW	HRA WAIVER CLAIMS- WW	ANTHEM ADMIN	WAGE WORKS ADMIN	
2006	1,102,199,258.24	0.00	13,255,658.11	22,564,128.65	0.00	0.00	(762,460,936.69)	(47,449,907.92)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007	1,140,907,524.60	59,281,406.95	7,947,800.34	24,262,373.59	0.00	0.00	(836,800,979.65)	(56,911,781.09)	(2,931,705.94)	(47,117,430.12)	0.00	0.00	0.00	0.00	0.00	0.00
2008	1,230,691,400.22	52,090,899.58	3,981,846.10	28,089,928.93	0.00	97,819,227.95	(949,213,609.34)	(61,670,290.07)	(4,335,289.87)	(48,364,646.21)	0.00	0.00	0.00	0.00	0.00	0.00
2009	1,393,951,770.58	55,664,485.80	(143,564.39)	30,206,603.39	0.00	0.00	(1,018,973,395.29)	(64,704,353.44)	(12,286,056.34)	(48,448,767.83)	0.00	0.00	0.00	0.00	0.00	0.00
2010	1,536,940,834.57	52,205,724.17	384,026.16	28,214,433.38	0.00	23,299,596.40	(1,098,500,008.73)	(68,050,466.68)	(14,939,463.38)	(46,929,615.18)	0.00	0.00	0.00	0.00	0.00	0.00
2011	1,594,747,690.90	47,847,607.60	368,479.70	32,522,071.09	0.00	0.00	(1,140,442,856.38)	(71,481,785.89)	(16,234,357.78)	(42,545,125.58)	0.00	0.00	0.00	0.00	0.00	0.00
2012	1,599,840,340.77	47,805,724.93	153,078.36	33,581,171.36	0.00	110,197,550.06	(1,184,366,690.30)	(72,331,473.00)	(16,581,649.31)	(42,339,395.74)	0.00	0.00	0.00	0.00	0.00	0.00
2013	1,598,884,831.38	46,193,376.19	128,703.81	37,769,920.85	0.00	0.00	(1,193,405,509.29)	(72,183,794.06)	(17,107,674.52)	(41,557,938.93)	0.00	0.00	0.00	0.00	0.00	0.00
2014	1,612,668,703.39	46,543,245.35	329,375.53	48,989,433.60	0.00	0.00	(1,087,242,247.51)	(72,299,977.13)	(36,203,728.42)	(40,040,830.11)	0.00	(1,003,926.24)	(2,453,545.07)	0.00	0.00	0.00
2015	1,547,835,923.16	44,218,700.42	222,898.08	490,752.49	13,759,520.29	0.00	(2,183,792.76)	(19,677,720.97)	0.00	0.00	(813,836,997.58)	(37,479,211.84)	(35,076,549.17)	(47,098,553.40)	(3,892,571.10)	
Ending Balance *	14,358,668,277.81	451,851,170.99	26,628,301.80	286,690,817.33	13,759,520.29	231,316,374.41	(9,273,590,025.95)	(606,761,550.25)	(120,619,925.56)	(357,343,749.69)	(813,836,997.58)	(38,483,138.08)	(37,530,094.24)	(47,098,553.40)	(3,892,571.10)	

* The ending balance includes assets allocated for the HRA (Select and Waiver) reserve.

- 2

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Per HB 235 plan year balances for 2010 and 2011 as of December 31, 2013, and all subsequent balances from those plan years were transferred to Plan Year 2012.
- 2

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Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
Per HB 235 plan year balances for 2010 and 2011 as of December 31, 2013, and all subsequent balances from those plan years were transferred to Plan Year 2012.
- 3

501

Per HB 265 plan year balances for 2006, 2007, 2008, and 2009 as of December 31, 2011, and all subsequent balances from those plan years were transferred to Plan Year 2010.
Prior to the September 2015 report the plan year 2013 balance included ERRP funds in the amount of \$10,159,793.00 and plan year 2014 included ERRP funds in the amount of \$85,687,746.30. The September 2015 report includes an entry transferring ERRP funds in the amount of \$85,687,746.30 from plan year 2014 to plan year 2013. Per ERRP guidelines concerning maintenance of contribution, it was determined to use all ERRP funds for claims incurred in plan year 2013. Specifically, KEHP had claims of approximately \$130 million incurred in plan year 2013 but paid in plan year 2014. The plan year 2014 balance now includes no ERRP funds and the plan year 2013 balance includes ERRP funds in the amount of \$95,847,539.30.

Plan Year Balances as of December 31, 2015								
Plan Year	217	301	302	310	311	500	501	TOTAL
	MDX MEDICAL ADMIN- COMPASS	PHARMACY CLAIMS-ESI	EXPRESS SCRIPTS ADMIN FEES	PHARMACY CLAIMS- CVS	CVS ADMIN	TRANSFERS <u>TO</u> OTHER PLAN YEAR(S)	OPERATING TRANSFER/EXP & ADJ	CASH TRANSACTIONS
2006	0.00	(231,474,267.61)	(7,783,597.84)	0.00	0.00	(84,850,334.94)	(4,000,000.00)	(0.00)
2007	0.00	(256,402,961.76)	(8,874,050.30)	0.00	0.00	(30,082,833.67)	6,722,637.05	0.00
2008	0.00	(291,065,510.28)	(9,113,443.00)	0.00	0.00	6,587,304.83	(55,497,818.84)	(0.00)
2009	0.00	(308,526,140.46)	(9,956,325.10)	0.00	0.00	(12,772,960.57)	(4,011,296.35)	0.00
2010	0.00	(337,746,288.45)	(10,997,841.71)	0.00	0.00	(58,985,951.98)	(4,894,978.57)	0.00
2011	0.00	(334,497,882.78)	(9,759,869.41)	0.00	0.00	(51,211,598.08)	(9,312,373.39)	(0.00)
2012	0.00	(346,840,615.06)	(9,341,510.63)	0.00	0.00	0.00	(102,315,834.41)	17,460,697.03
2013	0.00	(346,232,784.41)	(9,200,536.34)	0.00	0.00	0.00	86,369,309.77	89,657,904.45
2014	0.00	(322,311,528.20)	(11,941,832.15)	0.00	0.00	0.00	(24,394,067.25)	110,639,075.79
2015	(944,432.44)	(91.70)	(82,924.69)	(314,917,026.70)	(6,856,444.88)	0.00	(10,867,743.25)	313,613,733.96
Ending Balance *	(944,432.44)	(2,775,098,070.71)	(87,051,931.17)	(314,917,026.70)	(6,856,444.88)	(231,316,374.41)	(122,202,165.24)	531,371,411.23

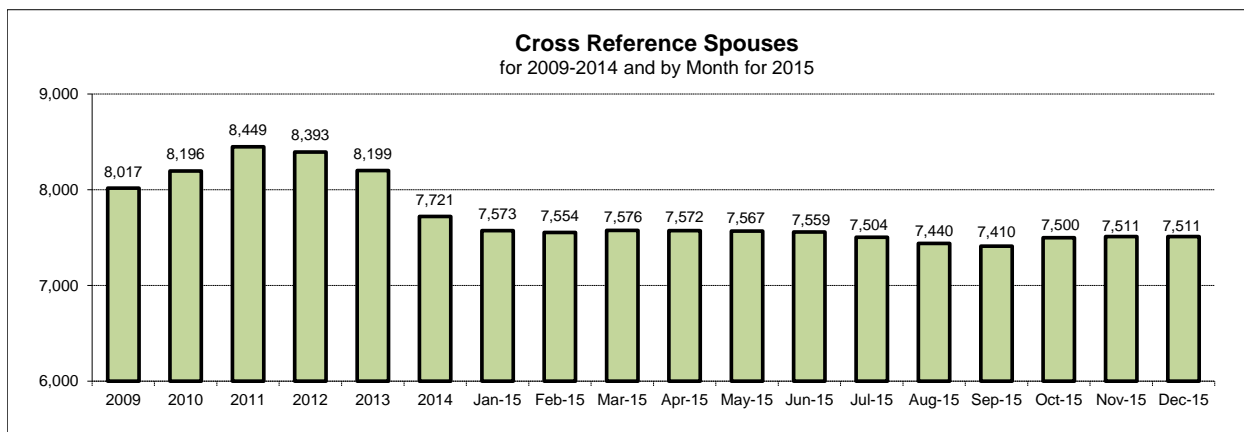
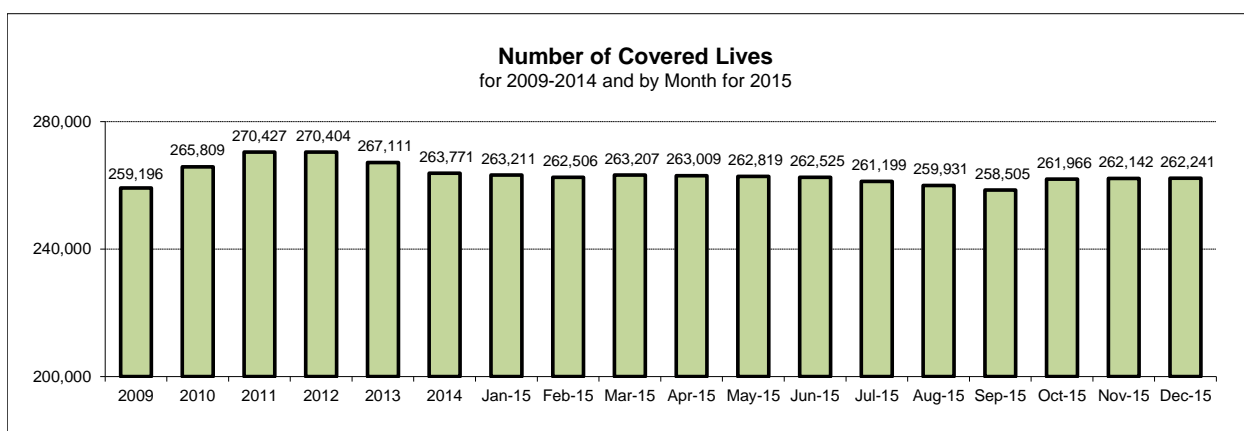
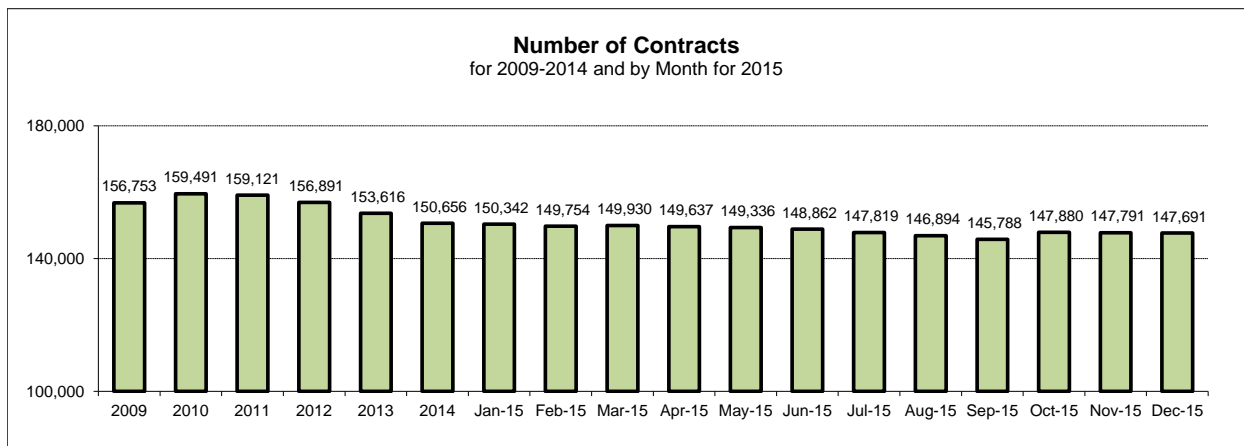
HRA Reserves Fund as of December 31, 2014

(45,488,000.00)

* Ending Balance Net of HRA Reserves

485,883,411.23

* The ending balance includes assets allocated for the HRA (Select and Waiver) reserve.



Commonwealth of Kentucky

Kentucky Employees' Health Plan

**Actuarial Reserve Study
December 31, 2015**

**Aon Hewitt
One Piedmont Center
3565 Piedmont Road, N.E.
Atlanta, Georgia 30305**

February 2016

Commonwealth of Kentucky

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EXECUTIVE SUMMARY

Aon Hewitt has conducted an actuarial valuation of the incurred, but not paid, claims as of December 31, 2015, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan (KEHP) and administered through Humana and Express Scripts (ESI) prior to 2015. Beginning from 2015, medical and prescription drug benefits are administered through Anthem and Caremark.

The results show a projected total reserve of approximately \$108.3 million. This figure includes \$5.2 million as an explicit provision for adverse experience. Claims that have been processed and paid, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

The details of the Unpaid Claim Reserve are shown in separate exhibits for Medical, Pharmacy, CDHP Health Reimbursement Arrangement (HRA) and the Waiver Credit HRA.

The traditional actuarial loss developmental method and Bornhuetter-Ferguson method were the basis of our calculation. The loss development uses historical paid claims information by incurred date. This method is consistent with reserve calculations within the industry, and best reflects the impact on claim payment patterns and accounts for the cyclical nature of the Plan's claims.

Also included in this report are the actual Rx rebates paid from January 1, 2013 to December 31, 2015 and the estimated Rx rebate for the rest of plan year 2015.

The results mentioned above are contingent upon future events. Consequently, actual results will differ from projected results. These deviations may be material. KEHP staff should monitor emerging experience and take appropriate actions as required.

**ACTUARIAL CERTIFICATION
STATEMENT OF OPINION**

Aon Hewitt has been retained by the Commonwealth of Kentucky to study the actuarial reserves for incurred but not paid claims as of December 31, for the medical and prescription drug benefits provided by Kentucky Employees' Health Plan. I am employed by Aon Hewitt. I am a Member of the Society of Actuaries and am qualified by education and experience to make the statements of actuarial opinion contained herein.

In performing our reserve study, we have relied upon reports and information provided by Truven and The Commonwealth of Kentucky. We have not audited this data beyond general tests for reasonableness. The results are our best estimate of incurred but unpaid claims with explicit margins for adverse deviation. The techniques and methodology used are reasonable and in accordance with generally accepted actuarial principles and practice.



LinXia Xiong, FSA, MAAA
Assistant Vice President

February 29, 2016
Date

Professionally Peer Reviewed By:



Colleen M. Huber, FSA, MAAA
Vice President

February 29, 2016
Date

DATA AND ASSUMPTIONS

Commonwealth of Kentucky Medical and Pharmacy benefits are administered through Humana and Express Scripts (ESI) prior to 2015, and through Anthem and Caremark beginning from 2015. Below is all data source we have used:

- Monthly enrollment provided by Truven.
- Transactional and eligibility data was provided by KEHP.
- Medical and Pharmacy claims incurred from July 1, 2011 to December 31, 2014, and paid through December 31, 2015 for Humana and Express Scripts (ESI) provided by Truven.
- Medical and Pharmacy claims incurred and paid from January 1, 2015 to December 31, 2015 provided by Anthem and Caremark.

No adjustment for interest was used in this analysis. Anthem and Caremark don't charge administrative fees for processing run-out claims so no administrative fee reserve is required.

METHODOLOGY

The unpaid claim liability (UCL), also called the incurred but not reported (IBNR) reserve, at a specified date is essentially the estimated claims incurred up to that date less the claims that have been (incurred and) paid to that date. Since the incurred and paid claims are known, the UCL is easily determined once the incurred claims have been estimated.

The traditional loss development method uses historical claim payment patterns to develop completion factors that are used to estimate incurred claims. The claims incurred in a given month and paid by the end of the experience period are divided by the completion factor to estimate the incurred claims for that month. The UCL for that month is subsequently determined by subtracting the known incurred and paid claims from the estimated incurred claims. The total UCL is merely the sum of all the appropriate monthly UCL estimates.

This method is relatively easy to understand and is effective when the historical claim payment patterns are deemed to be stable enough to estimate current/future claim payment patterns and when several months of claim payments (run-out) after the incurred month are available. When the run-out for any month is limited, this month is called immature and the associated completion factor is significantly less than one. The resulting incurred claim estimate is unstable. Consequently, a secondary method has traditionally been used to estimate the immature months.

The secondary method for health claims is often an average of historical incurred claims adjusted for claim trend and enrollment between the historical period and the time of interest. One of the shortcomings of this secondary method is that the available claim payment information for the month being estimated is not used. Another problem is that the line of demarcation between mature months and immature months is as much art as science.

The Bornhuetter-Ferguson Method (BFM) addresses both of these issues by blending the loss development method and the secondary method. The BFM uses the available incurred and paid data and the expected UCL developed from the secondary method to estimate incurred claims. This method generally provides a more stable estimate than the pure loss development method, a more responsive estimate than the secondary method, and a reasonable technique for blending the results of both methods.

Using the BFM with claims paid through December 31, 2015, the resulting UCL for December 31, 2015 was approximately \$103.1 million. In addition, an explicit margin for adverse deviation of 5.0% of claims unpaid at December 31, 2015 has been used.

RESULTS

The result of our reserve analysis shows the following components of our December 31, 2015 reserve for the Kentucky Employees' Health Plan.

Exhibit 1

Kentucky Employees' Health Plan Terminal Liability Calculation as of December 31, 2015				
	Unpaid Claims Liability as of December 31, 2015	Margin (5%)	Administrative Fees for Processing Runout Claims	Total IBNR Reserve (Rounded to Nearest \$1,000)
Medical	\$93,186,610	\$4,659,330	\$0	\$97,846,000
Pharmacy	(\$564,344)	\$0	\$0	(\$564,000)
CDHP HRA	\$3,976,816	\$198,840.79	\$0	\$4,176,000
Waiver HRA	\$6,497,698	\$324,885	\$0	\$6,823,000
Grand Total	\$103,096,780	\$5,183,056	\$0	\$108,280,000

* Claims that have been processed and paid, and invoiced to KEHP but not yet paid are excluded from these actuarial estimates, though this may represent an additional liability outside the scope of this actuarial review. The estimates provided also exclude unallocated amounts, which are believed to be immaterial to the Plan.

*No administrative fees are required from Anthem or Caremark for processing runout claims.

Exhibit 2a

Kentucky Employees' Health Plan Medical and Pharmacy Terminal Liability Calculation as of December 31, 2015

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-13	155,432	\$122,380,471	1.000	\$122,380,471	\$0
Feb-13	154,776	\$115,097,806	1.000	\$115,097,806	\$0
Mar-13	154,776	\$121,378,081	1.000	\$121,378,081	\$0
Apr-13	154,589	\$122,869,195	1.000	\$122,869,195	\$0
May-13	154,341	\$126,672,961	1.000	\$126,672,961	\$0
Jun-13	153,837	\$129,738,071	1.000	\$129,738,071	\$0
Jul-13	153,100	\$136,211,968	1.000	\$136,211,968	\$0
Aug-13	152,456	\$124,543,036	1.000	\$124,543,036	\$0
Sep-13	151,155	\$121,481,613	1.000	\$121,481,613	\$0
Oct-13	152,923	\$141,763,838	1.000	\$141,763,838	\$0
Nov-13	152,896	\$129,840,823	1.000	\$129,840,823	\$0
Dec-13	152,635	\$147,660,432	1.000	\$147,660,432	\$0
Jan-14	152,999	\$96,223,735	1.002	\$96,381,349	(\$157,614)
Feb-14	152,486	\$95,998,675	1.002	\$96,155,785	(\$157,109)
Mar-14	152,308	\$109,203,740	1.002	\$109,415,152	(\$211,412)
Apr-14	151,917	\$112,220,302	1.002	\$112,407,669	(\$187,367)
May-14	151,438	\$111,368,351	1.001	\$111,522,557	(\$154,207)
Jun-14	150,938	\$120,416,665	1.001	\$120,536,427	(\$119,762)
Jul-14	150,003	\$126,843,675	1.000	\$126,904,987	(\$61,313)
Aug-14	149,055	\$116,991,335	1.001	\$117,052,105	(\$60,770)
Sep-14	147,710	\$119,362,904	1.000	\$119,393,293	(\$30,390)
Oct-14	149,689	\$134,471,778	1.000	\$134,513,423	(\$41,645)
Nov-14	149,704	\$120,445,614	1.000	\$120,472,708	(\$27,094)
Dec-14	149,594	\$144,759,690	1.000	\$144,798,321	(\$38,631)
Jan-15	150,342	\$76,834,157	0.998	\$76,697,008	\$137,149
Feb-15	149,754	\$79,259,324	0.997	\$79,004,664	\$254,660
Mar-15	149,930	\$93,981,601	0.996	\$93,564,221	\$417,380
Apr-15	149,637	\$98,143,869	0.996	\$97,762,793	\$381,076
May-15	149,336	\$91,856,030	0.996	\$91,478,764	\$377,266
Jun-15	148,862	\$110,870,607	0.991	\$109,912,886	\$957,721
Jul-15	147,819	\$113,759,224	0.989	\$112,451,322	\$1,307,902
Aug-15	146,894	\$102,674,294	0.984	\$101,032,537	\$1,641,757
Sep-15	145,788	\$109,982,598	0.970	\$106,710,294	\$3,272,304
Oct-15	147,880	\$111,098,719	0.942	\$104,600,650	\$6,498,069
Nov-15	147,791	\$111,200,470	0.863	\$95,921,653	\$15,278,817
Dec-15	147,691	\$125,737,690	0.496	\$62,392,211	\$63,345,479
Total		\$4,173,343,339		\$4,080,721,073	\$92,622,266

Exhibit 2b
Kentucky Employees' Health Plan
Medical
Terminal Liability Calculation as of December 31, 2015

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-13	155,432	93,192,300	1.000	93,192,300	\$0
Feb-13	154,776	88,397,597	1.000	88,397,597	\$0
Mar-13	154,776	93,357,774	1.000	93,357,774	\$0
Apr-13	154,589	94,321,774	1.000	94,321,774	\$0
May-13	154,341	97,809,602	1.000	97,809,602	\$0
Jun-13	153,837	102,584,831	1.000	102,584,831	\$0
Jul-13	153,100	106,943,218	1.000	106,943,218	\$0
Aug-13	152,456	95,447,222	1.000	95,447,222	\$0
Sep-13	151,155	93,850,587	1.000	93,850,587	\$0
Oct-13	152,923	110,439,955	1.000	110,439,955	\$0
Nov-13	152,896	102,091,441	1.000	102,091,441	\$0
Dec-13	152,635	114,969,206	1.000	114,969,206	\$0
Jan-14	152,999	75,856,416	1.002	76,014,270	(\$157,854)
Feb-14	152,486	74,392,638	1.002	74,550,118	(\$157,480)
Mar-14	152,308	84,130,053	1.003	84,342,022	(\$211,969)
Apr-14	151,917	86,400,892	1.002	86,588,661	(\$187,769)
May-14	151,438	84,211,011	1.002	84,365,538	(\$154,527)
Jun-14	150,938	92,403,314	1.001	92,522,641	(\$119,327)
Jul-14	150,003	97,761,001	1.001	97,820,696	(\$59,695)
Aug-14	149,055	89,923,752	1.001	89,982,661	(\$58,909)
Sep-14	147,710	90,609,587	1.000	90,638,085	(\$28,498)
Oct-14	149,689	104,162,537	1.000	104,202,143	(\$39,606)
Nov-14	149,704	92,693,700	1.000	92,722,103	(\$28,402)
Dec-14	149,594	113,450,030	1.000	113,493,308	(\$43,278)
Jan-15	150,342	56,343,424	0.998	56,210,643	\$132,781
Feb-15	149,754	58,804,771	0.996	58,554,779	\$249,992
Mar-15	149,930	70,438,450	0.994	70,026,462	\$411,988
Apr-15	149,637	72,014,935	0.995	71,639,975	\$374,960
May-15	149,336	66,238,670	0.994	65,867,095	\$371,575
Jun-15	148,862	83,156,629	0.989	82,205,192	\$951,438
Jul-15	147,819	84,394,942	0.985	83,090,304	\$1,304,638
Aug-15	146,894	74,833,165	0.978	73,194,882	\$1,638,283
Sep-15	145,788	81,449,835	0.960	78,181,884	\$3,267,950
Oct-15	147,880	80,907,638	0.920	74,413,387	\$6,494,251
Nov-15	147,791	81,996,204	0.814	66,717,214	\$15,278,990
Dec-15	147,691	92,061,230	0.305	28,104,153	\$63,957,077
Total		\$3,182,040,336		\$3,088,853,727	\$93,186,610

Exhibit 2c
Kentucky Employees' Health Plan
Pharmacy
Terminal Liability Calculation as of December 31, 2015

Month	Enrollment	Estimated Incurred Claims	Completion Factor	Actual Paid	Total Unpaid Claim Reserve
Jan-13	155,432	29,188,172	1.000	29,188,172	\$0
Feb-13	154,776	26,700,208	1.000	26,700,208	\$0
Mar-13	154,776	28,020,307	1.000	28,020,307	\$0
Apr-13	154,589	28,547,420	1.000	28,547,420	\$0
May-13	154,341	28,863,359	1.000	28,863,359	\$0
Jun-13	153,837	27,153,240	1.000	27,153,240	\$0
Jul-13	153,100	29,268,750	1.000	29,268,750	\$0
Aug-13	152,456	29,095,814	1.000	29,095,814	\$0
Sep-13	151,155	27,631,026	1.000	27,631,026	\$0
Oct-13	152,923	31,323,882	1.000	31,323,882	\$0
Nov-13	152,896	27,749,382	1.000	27,749,382	\$0
Dec-13	152,635	32,691,225	1.000	32,691,225	\$0
Jan-14	152,999	20,367,318	1.000	20,367,079	\$240
Feb-14	152,486	21,606,037	1.000	21,605,666	\$371
Mar-14	152,308	25,073,687	1.000	25,073,130	\$558
Apr-14	151,917	25,819,410	1.000	25,819,008	\$402
May-14	151,438	27,157,339	1.000	27,157,019	\$320
Jun-14	150,938	28,013,350	1.000	28,013,786	(\$435)
Jul-14	150,003	29,082,674	1.000	29,084,291	(\$1,617)
Aug-14	149,055	27,067,582	1.000	27,069,444	(\$1,861)
Sep-14	147,710	28,753,317	1.000	28,755,209	(\$1,892)
Oct-14	149,689	30,309,240	1.000	30,311,280	(\$2,040)
Nov-14	149,704	27,751,913	1.000	27,750,605	\$1,308
Dec-14	149,594	31,309,661	1.000	31,305,013	\$4,648
Jan-15	150,342	20,490,733	1.000	20,486,365	\$4,368
Feb-15	149,754	20,454,553	1.000	20,449,885	\$4,668
Mar-15	149,930	23,543,151	1.000	23,537,759	\$5,393
Apr-15	149,637	26,128,934	1.000	26,122,818	\$6,116
May-15	149,336	25,617,360	1.000	25,611,669	\$5,691
Jun-15	148,862	27,713,978	1.000	27,707,695	\$6,283
Jul-15	147,819	29,364,282	1.000	29,361,018	\$3,264
Aug-15	146,894	27,841,129	1.000	27,837,655	\$3,474
Sep-15	145,788	28,532,764	1.000	28,528,410	\$4,354
Oct-15	147,880	30,191,081	1.000	30,187,263	\$3,817
Nov-15	147,791	29,204,266	1.000	29,204,439	(\$173)
Dec-15	147,691	33,676,460	1.018	34,288,057	(\$611,598)
Total		\$991,303,002		\$991,867,346	(\$564,344)

Exhibit 3

Kentucky Employees' Health Plan Terminal Liability Calculation as of December 31, 2015 Health Reimbursement Arrangements

CDHP HRA				
Period	Paid To Date	Completion Factor	Total Incurred	Unpaid Claim Reserve
Jan-Mar 2013	\$3,546,598	100.0%	\$3,546,598	\$0
Apr-Jun 2013	\$4,813,925	100.0%	\$4,813,925	\$0
Jul-Sep 2013	\$3,572,543	100.0%	\$3,572,543	\$0
Oct-Dec 2013	\$3,174,609	100.0%	\$3,174,609	\$0
Jan-Mar 2014	\$11,771,914	100.0%	\$11,767,689	(\$4,224)
Apr-Jun 2014	\$10,203,468	100.0%	\$10,199,755	(\$3,713)
Jul-Sep 2014	\$7,764,879	100.0%	\$7,762,182	(\$2,697)
Oct-Dec 2014	\$7,467,395	99.9%	\$7,478,028	\$10,634
Jan-Mar 2015	\$11,747,533	92.9%	\$12,641,183	\$893,650
Apr-Jun 2015	\$10,643,868	92.3%	\$11,526,600	\$882,733
Jul-Sep 2015	\$8,079,405	91.3%	\$8,854,064	\$774,659
Oct-Dec 2015	\$7,008,406	83.1%	\$8,434,180	\$1,425,774
Total	\$91,794,541		\$93,771,357	\$3,976,816

Waiver HRA				
Plan Year	Paid To Date	Completion Factor	Total Incurred	Unpaid Claim Reserve
2013	\$41,557,939	100.0%	\$41,557,939	\$0
2014	\$42,494,375	100.0%	\$42,494,375	\$0
2015	\$35,076,549	84.4%	\$41,574,247	\$6,497,698
Total	\$119,128,863		\$125,626,562	\$6,497,698

Exhibit 4

Kentucky Employees' Health Plan Rx Rebates as of December 31, 2015

Plan Year	Rebate Amount	
Q1 2013	\$9,021,551	Actual
Q2 2013	\$8,496,374	Actual
Q3 2013	\$9,798,340	Actual
Q4 2013	\$10,439,237	Actual
Q1 2014	\$10,974,643	Actual
Q2 2014	\$11,648,161	Actual
Q3 2014	\$12,767,269	Actual
Q4 2014	\$13,599,361	Actual
2015 YTD	\$14,250,273	Actual
2015 Remaining	\$37,391,118	Estimate

Anthem clinical update

Integrated Health Model (IHM)



Kentucky Employees' Health Plan (KEHP) Report for: January 1, 2015 – December 31, 2015

This report provides an overview of the KEHP's key demographic data elements, IHM participant engagement, Utilization Management, and MyHealth Advantage activity during the reporting period.

Demographics	Current
Average number of members	263,587
Average population age	37

Clinical programs	Total identified	Engaged	% Engaged
IHM	111,289	16,941	15.2%*
Behavioral Health	1,555	568	37%
Future Moms	493	253	51.3%

* Members identified for outreach/engaged.

	During period
Why Weight KY	735

	Referrals	Participation
Diabetes Prevention Program (DPP)	511	375

Utilization Management	Authorization requests	Approval rate
Inpatient	12,570	94.11%
Outpatient	69,162	94.69%
Total	81,732	94.60%

MyHealth Advantage	Total eligible	Notes generated	Total alerts	Gaps in care alerts	Preventive alerts
Total	263,587	79,910	344,320	278,873	65,447

- **Anthem Blue Cross and Blue Shield (Anthem)** works with the KEHP to integrate health by innovation. This holistic model offers care which focuses on improving health and promoting physical, emotional, mental and financial well-being of the KEHP membership.
- **Behavioral Health Clinical Care Management programs:** Provide outreach, support and guidance for members with complex behavioral health conditions. The Behavioral team may also collaborate with Personal Health Consultants to ensure continuity of medical and mental health needs.
- **Diabetes Prevention Program (DPP):** DPP is a lifestyle change program available to members and their dependents identified with pre-diabetes or with a high risk of developing diabetes.
- **Future Moms:** Program which helps expectant women to maintain healthy pregnancies by offering early-risk assessment, providing obstetrical nursing support, and following the pregnancy from the first trimester through delivery.
- **IHM:** Better health, better outcomes promoted by identifying, engaging members and creating cases with Registered Nurses, referred to as Personal Health Consultants. Through innovative, smart triggers, the program finds members at risk for serious and costly medical conditions and directs them to specialized programs.
- **MyHealth Advantage:** An early risk management program that is designed to help improve members' compliance with evidence-based care recommendations. The program provides personalized information via MyHealth Note which empowers members to take greater control of their health and health care decision-making.
- **Utilization Management:** Preauthorization is required for nonemergency hospital admissions, inpatient services and select outpatient services. This chart shows the requested authorizations and percentage approved.
- **Why Weight KY:** The weight management program is designed to help members improve their health and achieve safe weight loss goals through support and guidance of a Personal Health Consultant.

Anthem Blue Cross and Blue Shield is the trade name of Anthem Health Plans of Kentucky, Inc. Independent licensees of the Blue Cross and Blue Shield Association. A PPO is a preferred provider network of Anthem Insurance Companies, Inc. The Blue Cross and Blue Shield names and symbols are registered marks of the Blue Cross and Blue Shield Association.

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KEHP Wellness Services Report
2015
Prepared by: Jala Miller

KEHP Service	1 st quarter ending totals	2 nd quarter ending totals	3 rd quarter ending totals	4 th quarter ending totals
HumanaVitality HA	63,579	123,830	122,997	124,736
HumanaVitality Blue Level	149,599	52,711	51,509	53,518
HumanaVitality Bronze Level	105,595	193,949	186,340	182,414
HumanaVitality Silver Level	5,481	10,837	13,729	16,408
HumanaVitality Gold Level	542	1,892	2,802	3,808
HumanaVitality Platinum Level	173	1,285	3,225	5,705
Health Coaching New Members	393	502	427	383
Health Coaching Active	393	521	664	463
Biometric Screenings	22,235	34,593	35,659	38,049



Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2015
Page G-1

Kentucky Employee Health Plan

Performance Guarantee	Reporting Type/Amount at Risk	Definition	1Q	2Q	3Q	4Q
COPD	Client Specific/1.5% of Admin Services Fees	The HEDIS measure that assesses the percentage of COPD exacerbations for Members 40 years of age and older who had an acute inpatient discharge or ED encounter on or between January 1–November 30 of the measurement year and who were dispensed appropriate medications (bronchodilator). Gap Closure Goal: 2.5% Target/Ceiling: 70% This will be calculated using Commonwealth-specific data.	Annual	Annual	Annual	Annual
Asthma Identified Participants	Client Specific/1.5% of Admin Services Fees	There will be either (I) a minimum Compliance Rate of 80%; or (II) a minimum Improvement of 2.5% in the difference between the 80% Compliance Rate and the result from the prior Measurement Period (the Performance Gap) in the percentage of Identified Members with persistent asthma who are prescribed one or more appropriate Prescription Drugs in the current Measurement Period; as shown by Contractor Claims data. The minimum Compliance Rate will be calculated based on the total number of Identified Members with persistent asthma who are prescribed one or more appropriate Prescription Drugs during the Measurement Period as shown by Contractor's Claims data divided by the total number of Identified Members. The Improvement percentage will be calculated by subtracting the Compliance Rate for the prior Measurement Period from the Compliance Rate for the current Measurement Period and dividing the difference by the Performance Gap for the prior Measurement Period. This will be measured with Commonwealth-specific data if there are at least 100 Identified Members. If there are fewer than 100 Identified Members, Contractor will use the enterprise commercial membership (non-Medicare) results to determine if this Guarantee is met.	Annual	Annual	Annual	Annual
Diabetes Identified Participants	Client Specific/1.5% of Admin Services Fee	There will be either (I) a minimum Compliance Rate of 75%; or (II) a minimum Improvement of 2.5% in the difference between the 75% Compliance Rate and the result from the prior Measurement Period (the Performance Gap) in the percentage of Identified Members with diabetes who received at least one HbA1c test in the current Measurement Period; as shown by Contractor Claims data. The minimum Compliance Rate will be calculated based on the total number	Annual	Annual	Annual	Annual

Comprehensive Diabetes Care-Medical Attention for Nephropathy (kidney disease)	Client Specific/1.5% of Admin Services Fee	<p>of Identified Members with diabetes who received at least one HbA1c test during the Measurement Period as shown by Contractor's Claims divided by the total number of Identified Members. The Improvement percentage will be calculated by subtracting the Compliance Rate for the prior Measurement Period from the Compliance Rate for the current Measurement Period and dividing the difference by the Performance Gap for the prior Measurement Period. This will be measured with Commonwealth-specific data.</p> <p>There will be either (i) a minimum Compliance Rate of 75%; or (ii) a minimum Improvement of 2.5% in the difference between the 75% Compliance Rate and the result from the prior Measurement Period (the Performance Gap) in the percentage of Identified Members with CAD who received at least one LDL test in the current Measurement Period; as shown by Contractor Claims data. The minimum Compliance Rate will be calculated based on the total number of Identified Members with CAD who received at least one LDL test during the Measurement Period as shown by Contractor's Claims data divided by the total number of Identified Members. The Improvement percentage will be calculated by subtracting the Compliance Rate for the prior Measurement Period from the Compliance Rate for the current Measurement Period and dividing the difference by the Performance Gap for the prior Measurement. This will be measured with Commonwealth-specific data.</p>	Annual	Annual	Annual	Annual
Heart Failure Identified Participants	Client Specific/1.5% of Admin Services Fee	<p>There will be either (i) a minimum Compliance Rate of 50%; or (ii) a minimum Improvement of 2.5% in the difference between the 50% Compliance Rate and the result from the prior Measurement Period (the Performance Gap) in the percentage of Identified Members with heart failure who are prescribed one or more ACE inhibitors or ARB's in the current Measurement Period; as shown by Contractor Claims Data. The minimum Compliance Rate will be calculated based on the total number of Identified Members with heart failure who are prescribed one or more ACE inhibitors or other appropriate Prescription Drugs during the Measurement Period as shown by Contractor's Claims data divided by the total number of Identified Members. The Improvement percentage will be calculated by subtracting the Compliance Rate for the prior Measurement Period from the Compliance Rate for the current Measurement Period and dividing the difference by the Performance Gap for the prior Measurement Period. This will be measured with Commonwealth-specific data.</p>	Annual	Annual	Annual	Annual

Performance Guarantee	Reporting Type/Amount at Risk	Definition	1Q	2Q	3Q	4Q
Implementation Survey	Year 1: 2% of Admin. Services Fees Year 2/3 - NA	Implementation Satisfaction: Vendor shall effectively manage program implementation and resolve any issues identified with implementation in a timeframe mutually agreed by the Client and the Account Executive.	Annual	Annual	Annual	Annual
Claims Timeliness (14 Calendar Days)	Year 1, 2 and 3: 1% of Admin. Services Fees	<p>A minimum of 90 % of Non-Investigated medical Claims will be processed timely. Non-investigated Claims are defined as medical Claims that process through the system without the need to obtain additional information from the Provider, Subscriber or other external sources. Processed Timely is defined as Non-Investigated medical Claims that have been finalized within 14 calendar days of receipt.</p> <p>This Guarantee will be calculated based on the number of Non-Investigated Claims that Processed Timely divided by the total number of Non-Investigated Claims. The calculation of this Guarantee does not include Claim adjustments. The calculation of this Guarantee also excludes in any quarter Claims for the Commonwealth for requested changes to Plan benefits, until all such changes have been implemented. This will be measured with the Commonwealth specific data.</p>	Annual	Annual	Annual	Annual
Claim Timeliness (30 Calendar Days)	Year 1, 2, and 3: 1% of Admin. Services Fees	<p>A minimum of 98% of Non-Investigated medical Claims will be processed timely. Non-investigated medical Claims are defined as Claims that process through the system without the need to obtain additional information from the Provider, Subscriber, or other external sources. Processed Timely is defined as Non-Investigated medical Claims that have been finalized within 30 calendar days of receipt.</p>	Annual	Annual	Annual	Annual

Adjustments	This Guarantee will be calculated based on the number of Non-Investigated Claims that Processed Timely divided by the total number of Non-Investigated Claims. The calculation of this Guarantee does not include Claim adjustments. The calculation of this Guarantee also excludes in any quarter Claims for the Commonwealth for requested changes to Plan benefits, until all such changes have been implemented. This will be measured with the Commonwealth's specific data.					
	Year 1, 2, and 3: 1% of Admin. Services Fees	The percent of adjustments aged greater than 30 days shall be 5% or less. The measure is based on the percentage of claim adjustments entered into the system that are unresolved within 30 days of company receipt date. This includes adjustments routed to other plans/departments (excluding adjustments resulting from client / plan sponsored benefit changes).	Annual	Annual	Annual	Annual
Claims Financial Accuracy	Year 1, 2, and 3: 1.5% of Admin. Services Fees	A minimum of 99% of medical Claim dollars will be processed accurately. This Guarantee will be calculated based on the total dollar amount of audited medical Claims paid correctly divided by the total dollar amount of audited medical Paid Claims. The calculation of this Guarantee does not include Claim adjustments. The calculation of this Guarantee also excludes in any quarter Claims for the Commonwealth for requested changes to Plan benefits, until all such changes have been implemented. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Performance Guarantee	Reporting Type/Amount at Risk	Definition	1Q	2Q	3Q	4Q
Open Enrollment ID Card Issuance	Year 1: 4% of Admin. Services Fees	100% of ID cards will be mailed to Open Enrollment participants no later than the Commonwealth's effective date provided that Contractor receives an accurate eligibility file. An Accurate Eligibility File is defined as (1) an electronic eligibility file formatted in a mutually agreed upon manner; (2) received by Contractor no later than 30 calendar days prior to the Commonwealth's effective date; and, (3) contains an error rate of less than 1%. This will be measured with the Commonwealth specific data.	Annual	Annual	Annual	Annual
	Year 2/3 - NA					

Processing of Ongoing Eligibility Information	Year 1, 2, and 3: 1% of Admin. Services Fees	100% of the Commonwealth's ongoing electronic eligibility files will be processed timely. Timely Processing is defined as electronic eligibility files processed and updated on the eligibility database within 5 business days of receipt of an eligibility file. This Guarantee only applies to the processing of eligibility files submitted by the Commonwealth outside of an open enrollment period. This Guarantee does not apply to a defective eligibility file. Defective Eligibility File is defined as an eligibility file that has issues that prevent Contractor's processing of the file. Contractor's payment of this Guarantee is conditioned upon receipt of eligibility files in a format mutually agreed upon by the Parties. This Guarantee will be calculated by (1) dividing total number of eligibility files processed within the timeframe set forth above by (2) the number of the Commonwealth's eligibility files processed. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Ongoing ID Cards Issuance	Year 1, 2, and 3: 1% of Admin. Services Fees	A minimum of 99% of ongoing ID cards will be mailed to Members within 10 business days of Contractor's processing of an accurate eligibility file. An Accurate Eligibility File is defined as: (1) an eligibility file formatted in a mutually agreed upon manner; (2) received by Contractor outside of an open enrollment period; and, (3) contains an error rate of less than 1%. This Guarantee will be calculated based on the total number of ongoing ID cards mailed to Members within the timeframe set forth above divided by the total number of Members eligible to receive ongoing ID cards. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Average Speed to Answer	Year 1, 2, and 3: 1% of Admin. Services Fees	The average speed to answer (ASA) will be 30 seconds or less. ASA is defined as the average number of whole seconds Members wait and/or are in the telephone system before receiving a response from a customer care representative (CCR) or an interactive voice response unit (IVR). This Guarantee will be calculated based on the total number of calls received in the customer service telephone system. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Call Abandonment Rate	Year 1, 2, and 3: 1% of Admin. Services Fees	A maximum of 2% of Member calls will be abandoned. Abandoned Calls are defined as Member calls that are waiting for a customer care representative (CCR), but are abandoned before connecting with a CCR. This Guarantee will be calculated based on the number of calls abandoned divided by the total number of calls received in the customer service telephone system. Calls that are abandoned in less than five seconds will not be included in	Annual	Annual	Annual	Annual

		this calculation. This will be measured with the Commonwealth's specific data.				
Performance Guarantee	Reporting Type/Amount at Risk	Definition	1Q	2Q	3Q	4Q
First Call Resolution	Year 1, 2, and 3: 1% of Admin. Services Fees	A minimum of 85% of Member calls will be resolved during the initial contact with no further follow up required. First Call Resolution is defined as Member callers receiving a response to their inquiry during an initial contact with no further follow-up required. This Guarantee will be calculated based on the total number of Members who receive a First Call Resolution divided by the total number of calls received into the customer service telephone system. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Member Satisfaction	Year 1, 2 and 3: 1% of Admin. Services Fees	A minimum average score of 85% will be attained on the service skills component of the Member satisfaction survey. The survey is conducted within 48 hours of a Member calling a Customer Care Representative (CCR). A statistically valid sample of Member callers is asked to rate the CCR on five attributes using a five point scale. The response is scored based on the total number of attributes that a Member caller rates as positive divided by the number of attributes for which the Member caller provides an answer ("Member Score"). This Guarantee will be calculated by determining the average of all Member Scores. This will be measured with the Commonwealth's specific data.	Annual	Annual	Annual	Annual
Account Management Satisfaction	Year 1, 2, and 3: 2% of Admin. Services Fees	A minimum average score of 3 will be attained on the Account Management Satisfaction Survey (AMSS). A minimum of 3 responses per the Commonwealth to the AMSS is required to base the score on Commonwealth-specific responses only. If 3 responses are received from the Commonwealth, an average score is calculated by adding the scores from each respondent divided by the total number of the Commonwealth respondents. If fewer than 3 responses are received, the score will be calculated as follows: <u>2 Commonwealth responses:</u> 2/3 of the score will be based on the Commonwealth-specific AMSS results and 1/3 of the score will be based on the aggregate score of all AMSS results received by the Account	Annual	Annual	Annual	Annual

		Management Team.				
		<p><u>1 Commonwealth response:</u> 1/3 of the score will be based on the Commonwealth- specific AMSS results and 2/3 of the score will be based on the aggregate score of all AMSS results received by the Account Management Team.</p> <p><u>2 Commonwealth responses:</u> The score will be based on the aggregate score of all AMSS results received by the Account Management Team.</p>				
Post Discharge Outreach	Year 1, 2, and 3: 2% of Admin. Services Fees	A minimum of 80% of Identified Members will receive successful contact from an Contractor health coach or receive at least two attempted outreach telephone calls from an Contractor health coach within three business days of notification of discharge, excluding the day of discharge, from a medical or surgical inpatient stay to the home setting where the Identified Member had a length of stay of three days or greater. This Guarantee does not include admissions related to maternity or behavioral health services. This guarantee only includes Members discharged to the home setting and not to Members discharged to an inpatient rehabilitation center or a skilled nursing facility.	Annual	Annual	Annual	Annual
Engagement	Year 1, 2, and 3: 2% of Admin. Services Fees	At least 1.5% of the Client's population will be engaged in case management at some point during the year. Engagement will be defined as contact with the Member or their designee and establishment of a care plan.	Annual	Annual	Annual	Annual
Participant Satisfaction	Year 1, 2, and 3: 1% of Admin. Services Fees	A minimum average score of 80% will be attained on Contractor's Integrated Health Model (IHM) Member satisfaction survey question. Each Member is asked to rate their satisfaction with Contractor's IHM program using a five point scale. The response is scored by dividing (i) the total number of Members who respond positively by (ii) the total number of Members who responded to the IHM Member satisfaction question. The survey will be given to a random sample of Contractor Members enrolled in an IHM product. This Guarantee will be based on all Contractor Members; a minimum of 400 surveys must be completed within a Measurement Period for the score to be valid.	Annual	Annual	Annual	Annual

Kentucky Employees' Health Plan – Status Report
Attachment G

4th Quarter, 2015
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Data Analysis and Reporting	Year 1, 2, and 3: 1% of Admin. Services Fee - ¼ of 1% risk for each Measurement Period	IHM standard monthly and quarterly reports as determined by Contractor will be submitted to the Commonwealth no later than 55 calendar days following the last day of the month/quarter. If the 55 th calendar day is a Saturday or Sunday the report will be submitted on the following Monday. Annual reports are made available 180 days post end of Plan year. The 180 days allows Contractor to include three months of Claims run out to support utilization and clinical (claims based) outcomes. This will be measured with Commonwealth-specific data.	Met	Met	Met	Met
Enrollment	Year 1, 2 and 3: 2.5% Admin. Services Fee	A minimum of 30% of Members in Year 1 will enroll into the IHM program. Member enrollment is defined as: (a) 1 or more successful telephonic contacts with a Contractor IHM coach or enrollment specialist; and (b) verbal permission to participate in the program. This Guarantee will be calculated based on the number of Members who have enrolled divided by the total number of Members outreached by the program. This will be measured with Commonwealth-specific data. Target thresholds will increase by 10% in each consecutive year.	Annual	Annual	Annual	Annual
Utilization Rates – Hospital	Year 1, 2, and 3: 3% of Admin Services Fee	In the first Performance Period there will either be (i) maintenance of or a reduction in the number of Admissions per 1,000 Members (Admission Rate) from the first Measurement Period compared to the Baseline Period; or (ii) an Admission Rate of 65 or less during the Measurement Period. The Baseline Period shall be the twelve months prior to the first Measurement Period. In later Performance Periods there will either be (i) maintenance of or a reduction in the Admission Rate from the prior Measurement Period; or (ii) an Admission Rate of 65 or less during the Measurement Period. Admissions are defined as admissions with Type of Service categories of Medical, Surgical and Behavioral Health. Admissions do not include admissions related to Type Of Service categories of Maternity, NICU or Rehabilitation. Only Members for whom Contractor has at least six months of eligibility information in a Measurement Period, shall be considered for purposes of this Guarantee. In the first Performance Period this Guarantee shall be calculated by subtracting the Admission Rate in the first Measurement Period from the Admission Rate in the Baseline Period. This result shall then be divided by the Admission Rate in the Baseline Period. In later Performance Periods the change in the Admission Rate shall be calculated by subtracting the Admission Rate in the current Measurement Period from the Admission Rate in the prior	Annual	Annual	Annual	Annual

Utilization Rates – Emergency Room		Measurement Period. This result shall then be divided by the Admission Rate in the prior Measurement Period. This will be measured with Commonwealth-specific data.				
	Year 1, 2 and 3: 5% of Admin. Services Fees	In the first Performance Period there will either be (I) maintenance of or a reduction in the number of Avoidable Emergency Room Visits per 1,000 Members (the Avoidable ER Visit Rate) from the first Measurement Period compared to the Baseline Period that is Statistically Significant; or (II) an Avoidable ER Visit Rate of 120 or less during the Measurement Period. The Baseline Period shall be the twelve months prior to the first Measurement Period. In later Performance Periods there will either be (I) maintenance of or a reduction in the Avoidable ER Visit Rate from the prior Measurement Period that is Statistically Significant; or (II) an Avoidable ER Visit Rate of 120 or less during the Measurement Period. Avoidable Emergency Room Visits are defined as low intensity emergency room visits as determined according to Contractor's criteria. Avoidable Emergency Room Visits do not include any emergency room visits that result in an inpatient admission. Statistically Significant is defined as Contractor's use of standard statistical tests that indicate at least a 95% confidence level that any change from the prior Measurement Period is not likely due to random chance alone. Only Members for whom Contractor has at least six months of eligibility information in a Measurement Period, shall be considered for purposes of this Guarantee. In the first Performance Period the change in the Avoidable ER Visit Rate shall be calculated by subtracting the Avoidable ER Visit Rate in the current Measurement Period by the Avoidable ER Visit Rate in the Baseline Period. This result shall then be divided by the Avoidable ER Visit Rate in the Baseline Period. In later Performance Periods the change in Avoidable ER Visit Rate shall be calculated by subtracting the Avoidable ER Visit Rate in the current Measurement Period by the Avoidable ER Visit Rate in the prior Measurement Period. This result shall then be divided by the Avoidable ER Visit Rate in the prior Measurement Period. This will be measured with Commonwealth specific data.	Annual	Annual	Annual	Annual

ROI	N/A	As part of the annual reporting package, Contractor will provide a return on investment ("ROI") for the IHM program using Commonwealth-specific data.	Annual	Annual	Annual	Annual
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Performance Guarantee Tracking & Reporting

Commonwealth of Kentucky

For period ending: 12/31/2015

Guarantees	Target	Thrsh	Q1: Jan - Mar	Q2: Apr - Jun	Q3: Jul - Sep	Q4: Oct - Dec	Year	Penalty Unit	Actual Penalty
01 . Retail Network Pharmacy Access - Nationwide c	Less than or Equal to 5.0 Mile(s)	95	100	100	100	100	F2	15,000. Dollar Per PG	
02 . Paper Claims Turnaround Time - Clean c	Less than or Equal to 5 Business Day(s)	95	5	6	4	2	F2	11,250. Dollar Per PG	11,250.00
03 . Mail Turnaround Time - Clean c	Less than or Equal to 2 Business Day(s)	95	97	97	95	95	F2	11,250. Dollar Per PG	
04 . Mail TAT							F2	11,250. Dollar Per PG	
04a . Mail Turnaround Time - Non Clean c	Less than or Equal to 5 Business Day(s)	97	97	99	99	99	F2	Dollar Per PG	
04b . Mail Turnaround Time - Non Clean - 2	Less than or Equal to 5 Business Day(s)	100	2	2	2	2	F2	Dollar Per PG	
05 . Mail Service Accuracy - Non Std c	Greater Than or Equal To 99.99 %		100.00	100.00	100.00	99.99	F2	11,250. Dollar Per PG	
06 . Electronic Claims Processing Accuracy c	Greater Than or Equal To 99.95 %		100.00	100.00	100.00	100.00	F2	11,250. Dollar Per PG	
07 . Telephone Speed of Answer							F2	37,500. Dollar Per PG	112,500.00
07a . Telephone Average Live Voice Answer c	Less than or Equal to 25 Sec(s)		30	22	31	16	F2	Dollar Per PG	
07b . Telephone Speed of Answer - TSF c	Less than or Equal to 30 Sec(s)	85	75	81	79	88	F2	Dollar Per PG	
08 . Telephone Abandonment Rate - Live Voice c	Less than or Equal to 3 %		2	1	2	1	F2	18,750. Dollar Per PG	
09 . First Call Resolution c	Greater Than or Equal To 95 %		98	97	97	97	F2	37,500. Dollar Per PG	
10a . Written Inquiry - 1 c	Less than or Equal to 5 Business Day(s)	97	100	100	100	99	F2	5,625. Dollar Per PG	



Performance Guarantee Tracking & Reporting

Commonwealth of Kentucky

For period ending: 12/31/2015

Guarantees	Target	Thrsh	Q1: Jan - Mar	Q2: Apr - Jun	Q3: Jul - Sep	Q4: Oct - Dec	Year	Penalty Unit	Actual Penalty
10b . Written Inquiry - 2 c	Less than or Equal to	10 Business Day(s)	100	100	100	100	F2	5,625. Dollar Per PG	
11 . Customer Satisfaction c	Greater Than or Equal To	93 %	F1	F1	F1	F1	96	150,000. Dollar Per PG	
12 . Plan Design Update - 1 c	Less than or Equal to	5 Business Day(s)	F1	F1	F1	F1	Met	75,000. Dollar Per PG	
13 . Annual Reviews c	Equal to	100 %	F1	F1	F1	F1	Met	45,000. Dollar Per PG	
14 . Account Management Satisfaction c	Greater Than or Equal To	4 Rating	F1	F1	F1	F1	4	150,000. Dollar Per PG	
15 . Standard Reporting c	Less than or Equal to	30 Day(s)	Met	Met	Met	Met	F2	11,250. Dollar Per PG	
16 . Eligibility Load Accuracy c	Greater Than or Equal To	98 %	Met	Met	Met	Met	F2	37,500. Dollar Per PG	
17 . Eligibility Updates c	Less than or Equal to	2 Business Day(s)	100	100	100	100	F2	15,000. Dollar Per PG	
18 . Account Management - Meetings c	Equal to	100 %	100	100	Met	Met	F2	18,750. Dollar Per PG	
19 . ID Cards - Ongoing c	Less than or Equal to	4 Business Day(s)	21	100	100	99	F2	11,250. Dollar Per PG	11,250.00



Performance Guarantee Tracking & Reporting

Commonwealth of Kentucky

For period ending: 12/31/2015

Guarantees	Target	Thrsh	Q1: Jan - Mar	Q2: Apr - Jun	Q3: Jul - Sep	Q4: Oct - Dec	Year	Penalty Unit	Actual Penalty
								Penalty Sum:	135,000.00
								Paid Sum:	135,000.00
								Net Due:	0.00

Footnotes:

F1: Quarterly stat not applicable to specific guarantee

F2: Annual stat not applicable to specific guarantee

F3: Stat not applicable to contract years 2 and beyond

c = Client Specific Guarantee

cl = Coalition Specific Guarantee (also indicates
TPA or healthplan specific guarantee)

NOTE: Met = Performance Guarantee Achieved

NOTE: Payment due by Thirty (30) days after Report Card Submission

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Monthly, Quarterly and Semi-annual data are unaudited statistics and are subject to change at year end.

WageWorks Performance Guarantees

PG #	FSA	HRA	COBRA	Guarantee	Measurement Steps Exact Language of Guarantee and Criteria	OCT-15 DATA	NOV-15 DATA	DEC-15 DATA	Q4 2015 DATA
1	Yes	No	No	Debit Cards: Mailing Cards at least ten business days prior to December 31, 2014.	Debit Cards: Mailing Cards at least ten business days prior to December 31, 2014.				n/a
2	Yes	No	No	Pre-implementation Audit: Preparing for on-site visit and claim testing (conducted by a third-party vendor) according to mutually agreeable timeline.	Pre-implementation Audit: Preparing for on-site visit and claim testing (conducted by a third-party vendor) according to mutually agreeable timeline.				n/a
3	Yes	No	No	Satisfaction: Measure satisfaction with overall process using mutually agreeable scorecard	80% of customer service calls as indicated by a response level or neutral or above based upon Post Call Satisfaction Survey.	92.06%	89.29%	93.15%	91.70%
4	Yes	No	No	Systems: Performing internal claim testing at least 15 days prior to December 1, 2014	Systems: Performing internal claim testing at least 15 days prior to December 1, 2014				
5	Yes	No	No	Account Management: Measuring satisfaction using a mutually agreeable scorecard.	Measurement based upon semi-annual survey delivered by Account Service team directly to employer contacts; standard is 90% of responses with a Client Satisfaction rate of 8.0 or higher.	8.3			8.3
6	Yes	No	No	Debit Cards: All Health FSA Participants will receive a separate debit card package within ten (10) Business Days of the posting of a clean enrollment and eligibility file except during the annual Open Enrollment (OE) period. During OE, Participants will receive a separate debit card package within fifteen (15) Business Days of the posting of a clean enrollment and Eligibility file.	100% of cards mailed within ten (10) business days of receipt of a clean enrollment file from Client.	100.00%	100.00%	100.00%	100.00%
7	Yes	No	No	Eligibility File: Load 99% of eligibility files within two business days.	99% of files loaded within two (2) business days of receipt of accurate data.	100.00%	100.00%	100.00%	100.00%
8	Yes	No	No	Eligibility Report: Produce an error report on eligibility files or updates within two business days.	Eligibility Report: Produce an error report on eligibility files or updates within two business days.				100.00%
9	Yes	No	No	Contribution File: Producing a contribution file report	Contribution File: Producing a contribution file report				100.00%
10	Yes	No	No	Financial Accuracy: Reimbursing FSA claims with a financial accuracy of 99%.	99% of payment (financial) accuracy	99.79%	99.64%	99.56%	99.66%

WageWorks Performance Guarantees

PG #	FSA	HRA	COBRA	Guarantee	Measurement Steps Exact Language of Guarantee and Criteria	OCT-15 DATA	NOV-15 DATA	DEC-15 DATA	Q4 2015 DATA
11	Yes	No	No	Member Service - Abandonment Rate: Answering calls to customer service line with an abandonment rate of 3% or less.	<=3%	1.61%	1.71%	2.08%	1.83%
12	Yes	No	No	Average Speed to Answer: Answering calls to customer service line with an average time of 30 seconds or less	80% of calls answered within 30 seconds	87.50%	88.96%	87.91%	88.12%
13	Yes	No	No	Reporting: Producing standard quarterly reports with thirty days of the end of the quarter	Reporting: Producing standard quarterly reports with thirty days of the end of the quarter				n/a
14	No	Yes	No	Account Management: Measuring satisfaction using a mutually agreeable scorecard.	Measurement based upon semi-annual survey delivered by Account Service team directly to employer contacts; standard is 90% of responses with a Client Satisfaction rate of 8.0 or higher.	8.3			8.3
15	No	Yes	No	Debit Cards: All Health HRA Participants will receive a separate debit card package within ten (10) Business Days of the posting of a clean enrollment and eligibility file except during the annual Open Enrollment (OE) period. During OE, Participants will receive a separate debit card package within fifteen (15) Business Days of the posting of a clean enrollment and Eligibility file.	100% of cards mailed within ten (10) business days of receipt of a clean enrollment file from Client.	100.00%	100.00%	100.00%	100.00%
16	No	Yes	No	Eligibility File: Load 99% of eligibility files within two business days.	99% of files loaded within two (2) business days of receipt of accurate data.	100.00%	100.00%	100.00%	100.00%
17	No	Yes	No	Eligibility Report: Produce an error report on eligibility files or updates within two business days.	Eligibility Report: Produce an error report on eligibility files or updates within two business days.				100.00%
18	No	Yes	No	Financial Accuracy: Reimbursing HRA claims with a financial accuracy of 99%.	99% of payment (financial) accuracy	99.79%	99.64%	99.56%	99.66%
19	No	Yes	No	Member Service - Abandonment Rate: Answering calls to customer service line with an abandonment rate of 3% or less.	<=3%	1.61%	1.71%	2.08%	1.83%
20	No	Yes	No	Average Speed to Answer: Answering calls to customer service line with an average time of 30 seconds or less	80% of calls answered within 30 seconds	82.70%	88.05%	85.15%	85.70%

WageWorks Performance Guarantees

PG #	FSA	HRA	COBRA	Guarantee	Measurement Steps Exact Language of Guarantee and Criteria	OCT-15 DATA	NOV-15 DATA	DEC-15 DATA	Q4 2015 DATA
21	No	Yes	No	Reporting: Producing standard quarterly reports with thirty days of the end of the quarter	Reporting: Producing standard quarterly reports with thirty days of the end of the quarter				n/a
22	No	No	Yes	Account Management: Measuring satisfaction using a mutually agreeable scorecard.	Measurement based upon semi-annual survey delivered by Account Service team directly to employer contacts; standard is 90% of responses with a Client Satisfaction rate of 8.0 or higher.				n/a
23	No	No	Yes	Account Management: Sending 98% of all applications and notices within seven business days.	DOL Notices mailed with seven (7) business days of receipt of accurate and complete data.				
24	No	No	Yes	Billing Turnaround: Posting 90% of payments within seven business days	Billing Turnaround: Posting 90% of payments within seven business days				Met
25	No	No	Yes	Collection: Providing accurate premium distribution and reporting by the fifteenth of each month.	Collection: Providing accurate premium distribution and reporting by the fifteenth of each month.	11/10/2015	12/06/2015	01/12/2016	Met
26	No	No	Yes	Eligibility File: Load 99% of qualifying event files within two business days.	Eligibility File: Load 99% of qualifying event files within two business days.				Met
27	No	No	Yes	Eligibility Report: Producing an error report on eligibility files or updates within two business days.	Eligibility Report: Producing an error report on eligibility files or updates within two business days.				Met
28	No	No	Yes	Election Packages: Mailing all COBRA election packages within seven business days.	Mailing all COBRA election packages and DOL Notices within seven (7) business days.	11/10/2015	12/06/2015	01/12/2016	Met
29	No	No	Yes	Reporting: Producing standard monthly reports within thirty days after the end of the month or quarter.	Reporting: Producing standard monthly reports within thirty days after the end of the month or quarter.	11/10/2015	12/14/2015	01/18/2016	Met